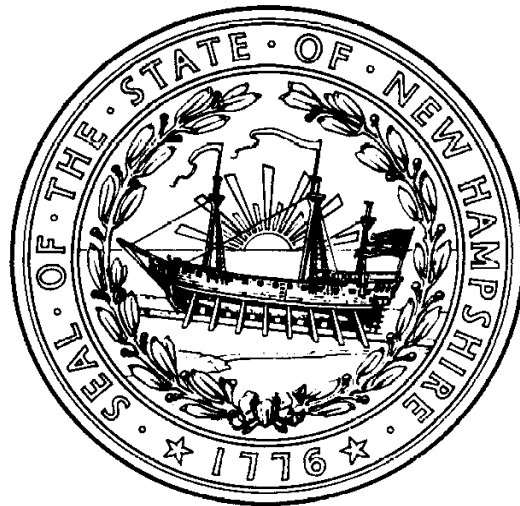
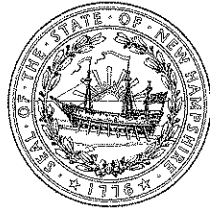


FISCAL COMMITTEE



**Meeting of March 18, 2016
10:00 a.m.
Room 210-211, LOB**



MICHAEL W. KANE, MPA
Legislative Budget Assistant
(603) 271-3161

CHRISTOPHER M. SHEA, MPA
Deputy Legislative Budget Assistant
(603) 271-3161

State of New Hampshire

OFFICE OF LEGISLATIVE BUDGET ASSISTANT
State House, Room 102
Concord, New Hampshire 03301

STEPHEN C. SMITH, CPA
Director, Audit Division
(603) 271-2785

March 10, 2016

To the Members of the Fiscal Committee
of the General Court

The Chairman of the Fiscal Committee of the General Court, as established by RSA 14:30-a, of which you are a member, has requested that you be notified that the Fiscal Committee will hold a regular business meeting on Friday, March 18, 2016, at 10:00 a.m. in Room 210-211 of the Legislative Office Building.

Please find attached information to be discussed at that meeting.

Sincerely,

A handwritten signature in black ink, appearing to read "Michael W. Kane".

Michael W. Kane
Legislative Budget Assistant

MWK/pe
Attachments

FISCAL COMMITTEE
AGENDA

Friday, March 18, 2016 in Room 210-211 of the Legislative Office Building

10:00 a.m.

- (1) Acceptance of Minutes of the February 12, 2016 minutes

NOTE: ALL ITEMS LISTED ON THE AGENDA UNDER CONSENT CALENDAR ARE IN BOLD.

- (2) Old Business:

- (A) Chapter 276:206, Laws of 2015, Department of Health and Human Services; Sununu Youth Services Center; Cost Savings Plan:

FIS 15-229 Department of Health and Human Services – authorization to implement the actions contained in the Cost Savings Plan to support the dual purposes within the Sununu Youth Services Center of providing both secure youth detention and psychiatric residential treatment. (FIS 15-229 Additional Information – Letter from Representative Kurk, dated November 18, 2015, containing two separate provisions relative to the funding of the Sununu Youth Services Center) **Tabled 12/18/15**

Note: These audit reports were held over from the February 12th meeting of the Fiscal Committee and will be presented at this meeting.

2015 Comprehensive Annual Financial Report (Previously distributed)
New Hampshire State Liquor Commission
A Department of The State of New Hampshire

State of New Hampshire (Previously distributed)
Department of Environmental Services
Water Division
Annual Financial Report
State Revolving Fund
For The Fiscal Year Ended June 30, 2015

CONSENT CALENDAR

- (3) **RSA 14:30-a, VI Fiscal Committee Approval Required for Acceptance and Expenditure of Funds Over \$100,000 from any Non-State Source:**

FIS 16-035 The Adjutant General's Department – authorization to accept and expend \$450,000 in federal funds through June 30, 2016

FIS 16-041 Department of Environmental Services – authorization to accept and expend \$300,000 in federal funds through June 30, 2017

FIS 16-042 Department of Resources and Economic Development – authorization to accept and expend \$150,000 in other funds through June 30, 2016

FIS 16-044 Department of Transportation – authorization to accept and expend \$3,000,000 in federal funds through June 30, 2016

FIS 16-048 Department of Justice – authorization to accept and expend \$399,622 in federal funds through June 30, 2017

FIS 16-052 Department of Health and Human Services – authorization to amend FIS 15-034, approved February 19, 2015, by retroactively extending the end date from June 30, 2015 to December 20, 2016, and reallocate \$196,191 in federal funds between expenditure classes

(4) RSA 124:15, Positions Authorized:

FIS 16-036 Department of Corrections – authorization to establish one (1) part-time temporary Victim Witness Specialist (LG 26) position through June 30, 2016

(5) RSA 14:30-a, VI Fiscal Committee Approval Required for Acceptance and Expenditure of Funds Over \$100,000 from any Non-State Source, and RSA 124:15, Positions Authorized:

FIS 16-043 Department of Safety – authorization to; 1) accept and expend \$756,812 in federal funds through June 30, 2017, and 2) establish one (1) part-time temporary Program Assistant II position through June 30, 2017

FIS 16-045 Department of Information Technology – authorization to; 1) accept and expend \$120,000 in other funds through June 30, 2017, and 2) establish class 046 consultant positions through June 30, 2017

FIS 16-046 Department of Justice – authorization to; 1) accept and expend \$132,346 in other funds through June 30, 2017, and subject to approval of #1 authorization to, 2) establish one (1) full-time temporary salaried Elder Abuse Prosecutor position through June 30, 2017

FIS 16-047 Department of Justice – authorization to; 1) accept and expend \$123,454 in other funds through June 30, 2017, and subject to approval of #1 authorization to, 2) establish one (1) full-time temporary Victim Witness Specialist (LG 26) position through June 30, 2017

FIS 16-053 New Hampshire Fish and Game Department – authorization to; 1) accept and expend \$170,170 in federal funds through June 30, 2017, and subject to approval of #1 authorization to, 2) establish a class 046 consultant position through June 30, 2017

FIS 16-055 Office of Professional Licensure and Certification – authorization to; 1) amended FIS 13-164, approved July 10, 2013, by accepting and expending \$452,084 in other funds through June 30, 2017, and subject to approval of #1 authorization to, 2) amend FIS 14-015, approved February 14, 2014, by extending the end date from March 31, 2016 through June 30, 2017 for one (1) full-time temporary Administrator I (LG 27) position, and 3) establish one (1) full-time temporary Administrative Assistant I (LG 16) position through June 30, 2017

(6) RSA 490-F:7, III, Circuit Court Judges; Number:

FIS 16-056 Administrative Office of The Courts – authorization to convert an existing vacant full-time marital master position to a full-time judicial vacancy in the circuit court

(7) Chapter 275:1, Laws of 2015, Department of Corrections; Budget Footnote on Accounting Unit 8236:

FIS 16-049 Department of Corrections – authorization of a 100% General Fund appropriation for an additional \$509,500 for prescription drug expenses to cover projected shortfalls for the remainder of State Fiscal Year 2016

(8) Chapter 276:29, Laws of 2015, Department of Transportation; Transfer of Funds:

FIS 16-037 Department of Transportation – authorization to transfer \$35,000 between Turnpike Fund accounts and classes through June 30, 2016

FIS 16-050 Department of Transportation – authorization to establish a non-budgeted class and to transfer \$289,000 in federal funds in and among accounting units through June 30, 2016

FIS 16-051 Department of Transportation – authorization to transfer \$255,100 between Highway Fund accounts and classes through June 30, 2016

(9) Chapter 276:143, Laws of 2015, Department Of Health And Human Services; Transfer Among Accounts, and RSA 14:30-a, VI, Fiscal Committee Approval Required for Acceptance and Expenditure of Funds Over \$100,000 from any Non-State Source:

FIS 16-057 Department of Health and Human Services – authorization to; 1) accept and expend federal funds in the amount of \$7,460,754 for SFY 2016 and \$14,921,509 for SFY 2017 retroactive to January 5, 2016 through June 30, 2017, and subject to approval of #1 authorization to, 2) transfer general and agency funds in the amounts of \$6,628,814 and \$831,940 respectively for a combined total of \$7,460,754 in SFY 2016 and \$13,257,629 and \$1,663,880 respectively for a combined total of \$14,921,509 in SFY 2017, and subject to approval of #1 and #2 authorization to, 3) accept and expend \$7,460,754 for SFY 2016 and \$14,921,509 for SFY 2017 in federal funds retroactive to January 5, 2016 through June 30, 2017

(10) Chapter 276:198, Laws of 2015, Department of Safety; Transfer Among Accounts:

FIS 16-054 Department of Safety – authorization to transfer \$124,660 in federal funds within accounting units through June 30, 2016

(11) Miscellaneous:

(12) Informational Materials:

LBAO Report on Additional Revenues for the Biennium Ending June 30, 2017 – Fiscal Committee Approvals through February 12, 2016 (RSA 14:30-a, VI)

Letter from Michael W. Kane, Legislative Budget Assistant relative to a hiring, promotion or step increases granted to LBAO employees

Joint Legislative Facilities Committee
Legislative Branch
Detail of Balance of Funds Available
Fiscal Year 2016
As of 02/29/16

FIS 16-038 Department of Environmental Services – RSA 21-O:23, III – report for expenses associated with the participation of department staff in regional discussions regarding a low carbon fuel standard for the period including July 1 to December 31, 2015

FIS 16-039 Department of Resources and Economic Development – RSA 216-A:3-m, II, and RSA 12-A:28 – transfer of funds report for the quarter ending December 31, 2015 for the Mt. Washington Commission and Cannon Mountain accounts

FIS 16-040 State Treasury – RSA 6-B:2, VII – Quarterly report of the New Hampshire State Treasury for the periods ended September 30, 2015 and December 31, 2015

FIS 16-058 Department of Health and Human Services – as of February 29, 2016 Operating Statistics Dashboard report for the fiscal year ending June 30, 2016

FIS 16-059 Department of Administrative Services – Chapter 319:32, Laws of 2003 – State Employee Health Insurance - report regarding the self-funded health benefits program from July 1, 2015 through February 29, 2016

10:30 a.m.

Audits:

State of New Hampshire
Public Utilities Commission
Financial Audit Report
For The Nine Months Ended March 31, 2015

State of New Hampshire
Lottery Commission
Management Letter
For The Fiscal Year Ended June 30, 2015

(13) Date of Next Meeting and Adjournment

FISCAL COMMITTEE OF THE GENERAL COURT

MINUTES

February 12, 2016

The Fiscal Committee of the General Court met on Friday, February 12, 2016 at 10:00 a.m. in Room 210-211 of the Legislative Office Building.

Members in attendance were as follows:

Representative Neal Kurk, Chairman
Representative Ken Weyler
Representative Lynne Ober
Representative Mary Jane Wallner
Representative Daniel Eaton
Senator Jeanie Forrester
Senate President Chuck Morse
Senator Gerald Little
Senator Lou D'Allesandro
Senator Andy Sanborn

Chairman Kurk opened the meeting at 10:03 a.m.

ACCEPTANCE OF MINUTES:

On a motion by Representative Weyler, seconded by Representative Ober, that the minutes of the January 22, 2016 meeting be accepted as written. MOTION ADOPTED.

On a motion by Senator D'Allesandro, seconded by Senator Little, that the minutes of the December 18, 2015 meeting be accepted as amended. MOTION ADOPTED.

OLD BUSINESS:

(A) CHAPTER 276:206, LAWS OF 2015, DEPARTMENT OF HEALTH AND HUMAN SERVICES; SUNUNU YOUTH SERVICES CENTER; COST SAVINGS PLAN:

FIS 15-229 Department of Health and Human Services – authorization to implement the actions contained in the Cost Savings Plan to support the dual purposes within the Sununu Youth Services Center of providing both secure youth detention and psychiatric residential treatment. (FIS 15-229 Additional Information – Letter from Representative Kurk, dated November 18, 2015, containing two separate provisions relative to the funding of the Sununu Youth Services Center). Tabled 12/18/15. No Action Taken.

(B) INFORMATIONAL MATERIALS:

FIS 15-280 Department of Administrative Services – Chapter 319:32, Laws of 2003 – Vicki Quiram, Commissioner, Catherine Keane, Director of Risk and Benefits, and Sarah Trask, Senior Financial Analyst Risk and Benefits, Department of Administrative Services responded to questions of the Committee regarding the State Employee Health Insurance - report regarding the

self-funded health benefits program from July 1, 2015 through October 31, 2015, which was postponed from the December 18, 2015 meeting.

FIS 15-279 Department of Administrative Services – RSA 21-I:19-g, III-b – Vicki Quiram, Commissioner, and Tara Merrifield, Administrator, Bureau of Plant and Property Management, Department of Administrative Services responded to questions of the Committee regarding the Annual report of all light duty trucks whose mileage is at or below the break-even mileage requirement during FY 2015, which was postponed from the December 18, 2015 meeting.

There were no questions regarding the remaining postponed items; FIS 15-276 University System of New Hampshire – RSA 187-A:25-a – Annual Report for the University System of New Hampshire for fiscal year ended June 30, 2015, and FIS 15-283 Department of Administrative Services – informational item in compliance with Executive Order 2014-02 for the period of March 7, 2015 through June 30, 2015 providing detail of the exceptions granted through the Office of the Governor.

The informational items were accepted and placed on file.

NEW BUSINESS:

RSA 14:30-a, VI FISCAL COMMITTEE APPROVAL REQUIRED FOR ACCEPTANCE AND EXPENDITURE OF FUNDS OVER \$100,000 FROM ANY NON-STATE SOURCE:

CONSENT CALENDAR

At the request of the Committee the items under this section were removed from the Consent Calendar.

FIS 16-019 Department of Environmental Services – Susan Carlson, Chief Operations Officer, Department of Environmental Services responded to questions of the Committee.

On a motion by Representative Ober, seconded by Senator D’Allesandro, that the Committee approve the request of the Department of Environmental Services to accept and expend \$163,209 in federal funds through June 30, 2017. MOTION ADOPTED.

FIS 16-022 Department of Safety – Steven Lavoie, Director of Administration, and Kyra Leonard, Administrator, Department of Safety responded to questions of the Committee.

The Committee postponed action in order to allow the Department to call for additional representation to respond to questions regarding the request to accept and expend \$329,164 in other funds through September 15, 2016.

FIS 16-026 Department of Environmental Services – Susan Carlson, Chief Operations Officer, Department of Environmental Services responded to questions of the Committee.

On a motion by Representative Ober, seconded by Senator Little, that the Committee approve the request of the Department of Environmental Services to budget and expend prior year carry forward other funds in the amount of \$75,000,000 through June 30, 2017. MOTION ADOPTED.

The Committee requested the Department verify if, by Federal Law, they are obligated to charge loan interest.

RSA 14:30-a, VI FISCAL COMMITTEE APPROVAL REQUIRED FOR ACCEPTANCE AND EXPENDITURE OF FUNDS OVER \$100,000 FROM ANY NON-STATE SOURCE AND RSA 124:15, POSITIONS AUTHORIZED:

CONSENT CALENDAR

At the request of the Committee the items under this section were removed from the Consent Calendar.

FIS 16-020 Department of Health and Human Services – Jeffrey Meyers, Commissioner, and Marcella Bobinsky, Interim Director, Division of Public Health Services, Department of Health and Human Services presented the request and responded to questions of the Committee.

On a motion by Representative Ober, seconded by Senator Little, that the Committee approve the request of the Department of Health and Human Services to; 1) accept and expend \$678,574 in federal funds through June 30, 2017, and 2) subject to approval of #1, establish two (2) full-time temporary positions through June 30, 2017 consisting of; a Public Health Nurse Coordinator (LG 23) and a Program Assistant II (LG 15). MOTION ADOPTED.

FIS 16-021 Department of Health and Human Services – On a motion by Representative Ober, seconded by Senator D’Allesandro, that the Committee approve the request of the Department of Health and Human Services to; 1) accept and expend \$466,012 in federal funds through June 30, 2017, and 2) subject to approval of #1, establish two (2) full-time temporary positions through June 30, 2017 consisting of; a Microbiologist V (LG 32) and a Program Specialist IV (LG 25). MOTION ADOPTED.

FIS 16-023 Department of Safety – Steven Lavoie, Director of Administration, and Kyra Leonard, Administrator, Department of Safety responded to questions of the Committee.

On a motion by Representative Eaton, seconded by Senator D’Allesandro, that the Committee approve the request of the Department of Safety to; 1) accept and expend \$109,960 in other funds through September 30, 2016, and 2) establish class 046 consultant positions through September 30, 2016. MOTION ADOPTED.

RSA 604-A:1-b ADDITIONAL FUNDING:

FIS 16-028 Judicial Council – Christopher Keating, Executive Director, Judicial Council, presented the request and responded to questions of the Committee.

On a motion by Senator D’Allesandro, seconded by Senator Little, that the Committee approved the request of the Judicial Council to receive an additional appropriation from funds not otherwise appropriated in the amount of \$430,000 in general funds through June 30, 2016. MOTION ADOPTED.

CHAPTER 276:143, LAWS OF 2015, DEPARTMENT OF HEALTH AND HUMAN SERVICES; TRANSFER AMONG ACCOUNTS AND RSA 14:30-a, VI, FISCAL COMMITTEE APPROVAL REQUIRED FOR ACCEPTANCE AND EXPENDITURE OF FUNDS OVER \$100,000 FROM ANY NON-STATE SOURCE:

FIS 16-029 Department of Health and Human Services – Jeffrey Meyers, Commissioner, Department of Health and Human Services, presented the request and responded to questions of the Committee.

On a motion by Representative Ober, seconded by Senator D’Allesandro, that the Committee approve the request of the Department of Health and Human Services to transfer \$751,671 in general funds and increase related federal revenues in the amount of \$1,557,555 and increase related other revenues in the amount of \$61,714 through June 30, 2016. MOTION ADOPTED.

FIS 16-030 Department of Health and Human Services – On a motion by Representative Ober, seconded by Senator Little, that the Committee approve the request of the Department of Health and Human Services to transfer \$12,500 in general funds and increase related federal revenues in the amount of \$4,000 and no change to related other revenues through June 30, 2016. MOTION ADOPTED.

FIS 16-031 Department of Health and Human Services – On a motion by Representative Ober, seconded by Senator Forrester, that the Committee approve the request of the Department of Health and Human Services to transfer \$347,230 in general funds and decrease related federal revenues in the amount of \$74,999 and decrease related other revenues in the amount of \$9,630 through June 30, 2016. MOTION ADOPTED.

CHAPTER 276:219, LAWS OF 2015, DEPARTMENT OF CORRECTIONS; TRANSFERS:

FIS 16-027 Department of Corrections – Doreen Wittenberg, Director of Administration, Department of Corrections, responded to questions of the Committee.

On a motion by Senator D’Allesandro, seconded by Senator Little, that the Committee approve the request of the Department of Corrections to transfer \$1,896,080 in general funds through June 30, 2016. MOTION ADOPTED.

The Committee requested the Department provide statistical overtime data to include, the ranks and the years of service of all officers receiving overtime.

RSA 14:30-a, VI FISCAL COMMITTEE APPROVAL REQUIRED FOR ACCEPTANCE AND EXPENDITURE OF FUNDS OVER \$100,000 FROM ANY NON-STATE SOURCE:

CONSENT CALENDAR

FIS 16-022 Department of Safety – Colonel Robert Quinn, and Captain Matt Shapiro, New Hampshire State Police, responded to questions of the Committee.

On a motion by Representative Eaton, seconded by Senator D’Allesandro, that the Committee approve the request of the Department of Safety to accept and expend \$329,164 in other funds through September 15, 2016. MOTION ADOPTED.

The Committee requested the Department provide statistical data for overtime details by rank, years of service, and the number of overtime hours worked.

INFORMATIONAL MATERIALS:

Jeffrey Meyers, Commissioner, Department of Health and Human Services, addressed the Committee and responded to questions regarding; FIS 16-032 Department of Health and Human Services –Information Item on New Hampshire’s Building Capacity for Transformation Waiver and FIS 16-034 Department of Health and Human Services – January 31, 2016 Operating Statistics Dashboard report for the fiscal year ending June 30, 2016.

The informational items were accepted and placed on file.

10:30 a.m.

Audits:

State of New Hampshire, Health and Human Services, Bureau of Developmental Services, Unspent Appropriations, Performance Audit Report, February 2016 – Stephen Smith, Director of Audits, and Jay Henry, Senior Audit Manager, Audit Division, Office of Legislative Budget Assistant presented the report and responded to questions of the Committee. Marilee Nihan, Deputy Commissioner, and Lorene Reagan, Director, Bureau of Developmental Services, Department of Health and Human Services, were present to respond to questions of the Committee.

On a motion by Representative Weyler, seconded by Senator Sanborn, that the report be accepted, placed on file, and released in the usual manner. MOTION ADOPTED.

The Unique College Investing Plan, Annual Report, September 30, 2015 and The Fidelity Advisor 529 Plan, Annual Report, September 30, 2015 – Stephen Smith, Director of Audits, Audit Division, Office of Legislative Budget Assistant and Rachel Bradley, Partner,

Pricewaterhouse-Coopers, presented the report and responded to questions of the Committee. William Dwyer, State Treasurer, Department of Treasury, was also present to respond to questions of the Committee.

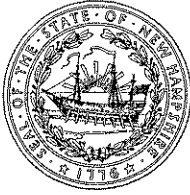
This report was accepted, placed on file and released at the December 18, 2015 meeting of the Fiscal Committee.

Due to the lack of a quorum, presentation of The 2015 Comprehensive Annual Financial Report, New Hampshire State Liquor Commission, A Department of The State of New Hampshire and the State of New Hampshire, Department of Environmental Services, Water Division, Annual Financial Report, State Revolving Fund, For The Fiscal Year Ended June 30, 2015 were postponed until the next meeting.

DATE OF NEXT MEETING AND ADJOURNMENT:

The next regular meeting of the Fiscal Committee was set for Friday, March 18, 2016 at 10:00 a.m. (Whereupon the meeting adjourned at 1:02 p.m.)

Representative Ken Weyler, Clerk



STATE OF NEW HAMPSHIRE
DEPARTMENT OF HEALTH AND HUMAN SERVICES
OFFICE OF HUMAN SERVICES

129 PLEASANT STREET, CONCORD, NH 03301
 603-271-9404 1-800-852-3345 Ext. 9404

Fax: 603-271-4232 TDD Access: 1-800-735-2964 www.dhhs.nh.gov

Nicholas A. Toumpas
 Commissioner

Mary Ann Cooney
 Associate
 Commissioner

November 01, 2015

The Honorable Neal M. Kurk, Chairman
 Fiscal Committee of the General Court
 State House
 Concord, New Hampshire 03301

REQUESTED ACTION

1. Pursuant to the provisions of Chapter 276:206, Laws of 2015, authorize the Department of Health and Human Services, Division for Children, Youth and Families to implement the actions contained in the attached Cost Savings Plan to support the dual purposes within the Sununu Youth Services Center of providing both secure youth detention and psychiatric residential treatment.

EXPLANATION

The Department of Health and Human Services was directed per Chapter 276:206, Laws of 2015 to develop a cost reduction plan for the Sununu Youth Services Center.

Those chapter laws provide:

“276:206 Department of Health and Human Services; Sununu Youth Services Center; Cost Savings Plan. The department of health and human services shall develop a plan to reduce the cost of providing existing services at the Sununu Youth Services Center. The plan shall include privatization of services, offering additional and compatible services, and consider the most appropriate, cost effective, long and short-term uses of the center as described in the January 1, 2014 report issued pursuant to 2013, 249. The department shall submit the plan to the fiscal committee of the general court for approval on or before November 1, 2015, and begin implementation of the plan by January 1, 2016.”

Attached is the Department’s plan for Sununu Youth Services Center, dated November 2015. The attached plan is the most appropriate long-term use of the facility as it would expand services available and meet a defined need for youth with high-need behavioral and mental health issues in New Hampshire while reducing the overall burden on state general funds for the care and treatment of this population of youth.

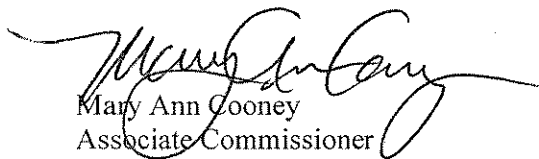
With the assistance of the Office of Legislative Budget Assistant, the Department was able to review seven (7) scenarios for operation of the SYSC (Reference attached memo from the LBAO to Senator Boutin dated October 12, 2015). The Department also analyzed a cost reduction plan to operate the SYSC for \$10,100,000. This option would require a 52% reduction in personnel and make it necessary to close the facility. This option was determined to not be in the best interest of youth in the Department’s care.

As a result of the financial analysis the Department determined that the most cost effective approach was to operate the Center as is over the course of the FY2016-2017 biennium, and develop and begin implementation of a plan to transform the facility to a multiuse treatment environment that adheres to a continuum of care model for juvenile justice involved youth and those at risk for being involved with the juvenile justice system as a result of mental or behavioral health diagnoses.

Also included as reference material is a report dated January 2014 relative to long term and short term uses of SYSC, a SYSC transformation proposal, and a letter from Denise O'Donnell, from the US DOJ, to Mr. Kennedy at DHHS relative to VOITIS funding.

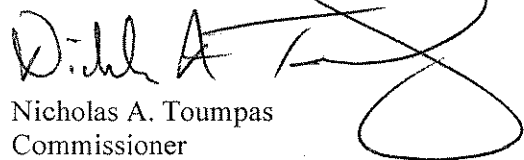
Although the proposed plan will result in greater reductions in general fund expenditures in future years, there remains a near-term need for continued general funding at close to prior levels to support current services while transformation of the facility is underway.

Respectfully submitted,



Mary Ann Cooney
Associate Commissioner

Approved by:

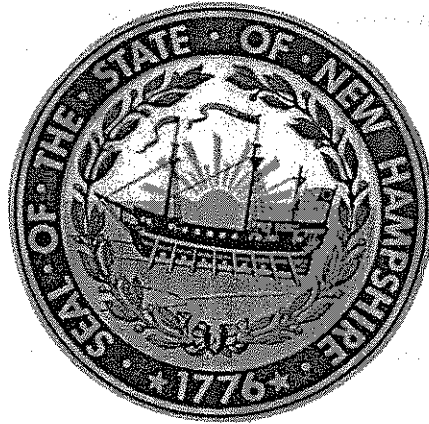


Nicholas A. Toumpas
Commissioner

New Hampshire Department of Health and Human Services Report

Pursuant to Chapter 276:205 and 276:206, Laws of 2015

Report to Fiscal Committee Regarding
Plan to Reduce Costs of Providing
Services at the
Sununu Youth Services Center



November 2015

I. Background

In Chapter 276:205 and Chapter 276:206, Laws of 2015, the legislature directed the Department to reduce general fund appropriations for the Sununu Youth Services Center (SYSC), and to prepare a Cost Savings Plan for achieving the required reductions. Those chapter laws provide:

“276:205 Department of Health and Human Services, Sununu Youth Services Center; Reduction in Appropriation. The department of health and human services is hereby directed to reduce state general fund appropriations to the Sununu Youth Services Center by \$1,721,861 for the fiscal year ending June 30, 2016, and by \$3,496,746 for the fiscal year ending June 30, 2017. The department shall operate the Sununu Youth Services Center within the allotted budget, or may enter into contracts for operation of the Sununu Youth Services Center, including establishing necessary class lines, as long as total operating costs do not exceed \$10,100,000 for the fiscal year ending June 30, 2017.

276:206 Department of Health and Human Services; Sununu Youth Services Center; Cost Savings Plan. The department of health and human services shall develop a plan to reduce the cost of providing existing services at the Sununu Youth Services Center. The plan shall include privatization of services, offering additional and compatible services, and consider the most appropriate, cost effective, long and short-term uses of the center as described in the January 1, 2014 report issued pursuant to 2013, 249. The department shall submit the plan to the fiscal committee of the general court for approval on or before November 1, 2015, and begin implementation of the plan by January 1, 2016.”

In response to this legislative requirement and pursuant to the recommendations from the January 2014 *Report to Fiscal Committee of the General Court As To Most Appropriate, Cost Effective, Long and Short-Term Uses of the Sununu Youth Services Center (attached as Appendix A)*, the Department:

- Established a multi-disciplinary team, including project management support, to identify and implement appropriate near-term and longer-term cost-savings measures;
- Researched current needs of youth at SYSC and across New Hampshire, evaluated options for addressing those needs, and obtained data to evaluate costs/cost savings under a variety of scenarios;
- Researched other states and similar institutions and collected current cost/savings data for privatization of specific services (i.e., education, food service, and maintenance) and for youth detention/treatment at other state and private facilities;
- Determined that repurposing SYSC to include a Psychiatric Residential Treatment Facility (PRTF) is the most appropriate long-term use of the facility. This would expand services available and meet a defined need for youth with high-need behavioral and mental health issues in New Hampshire while reducing the overall burden on state general funds for the care and treatment of this population of youth;
- Consulted with the Federal Center for Medicare and Medicaid Services (CMS), Federal Department of Justice (DOJ), and national accreditation bodies regarding requirements and restrictions on repurposing SYSC to include a PRTF, and pursued steps to obtain necessary approvals from these entities; and
- Evaluated current SYSC operations and identified gaps in staffing and services required to receive accreditation as a PRTF.

Public input regarding the Department's plan to create a PRTF at SYSC, which was provided to the "SYSC Workgroup on Transformational Alternatives" at its town hall meeting in Manchester on September 10, 2015, was overwhelmingly positive with some in attendance sharing personal stories of how the existence of such a facility could have assisted them in the treatment of their own children.

The Department has also explored with its residential service providers whether they would be willing and able to provide secure treatment for these youth at their facilities. None of these providers has expressed a willingness and ability to do so. At the SYSC Workgroup meeting on October 5, 2015, the executive directors of Webster House, Nashua Children's Home and Wediko each addressed the committee and stated that:

- they were not interested in becoming a secure residential facility; and,
- the intensity of services these youth need cannot be provided in their facilities.

Additional reasons given include:

- the need to seek approvals from their board of directors;
- the need for additional funds to convert their facility to become architecturally secure;
- the need for a guarantee from the Department as to the number of detained/committed youth that they would receive in order to become and remain operational; and,
- concerns that the intensive needs of youth who require secure treatment will compromise the safety of other youth at their facilities.

At this same meeting Dr. Bob MacLeod, CEO of NH Hospital told the committee that NH Hospital is experiencing an average of 5.1 patients per day wait list for adolescent admissions and it is not unusual to have a need for 10-12 beds with two-thirds representing the needs of adolescents.

DHHS asserts that establishing a PRTF at SYSC will address the needs of youth waiting for admission for acute care at NHH by enabling those youth ready for discharge to receive medically necessary treatment at a PRTF and not over utilize the more expensive care at NH Hospital.

II. Plan Overview

The Department proposes to repurpose half of the existing facility at the Sununu Youth Services Center to create a Psychiatric Residential Treatment Facility (PRTF), while retaining the other half as a youth correctional facility. The transformation of the Sununu Youth Services Center supports the dual goals of reducing general fund expenditures and improving treatment services to better meet the behavioral and mental health treatment needs of children in the state. Under the DHHS Plan, the Department expects to serve more children for significantly fewer general fund dollars than are currently required to run the Sununu program, saving an estimated \$3.5 million per year in general funds in future years.

Under this plan, the Department asserts that:

- A transformed SYSC will serve an average of 80 youth per day in both programs for a reduced net cost of approximately \$9.9 million general fund dollars (FY 2018 and beyond, excluding inflationary cost increases), as a result of revenue from Medicaid and other health insurance, and compared to the approximately 45 youth per day served in SFY '15 at a cost of \$13.4 million in general fund dollars.
- The vast majority of delinquent youth have significant behavioral, mental health and substance abuse needs that require intensive treatment to effectively address their delinquent behavior.

- Delinquent youth can be treated more cost-effectively in a PRTF, utilizing Medicaid and other insurance payments, than in a correctional setting, where treatment is fully supported by general funds.
- Other populations of youth who require this intensive level of treatment, which is currently unavailable in New Hampshire, will benefit from services provided by the PRTF. These populations include:
 - youth awaiting discharge from NH Hospital to a less acute treatment setting;
 - court involved delinquent and non-delinquent youth in need of this level of care and treatment who are currently being placed out of state; and,
 - youth whose treatment needs simply remain unmet because the level of treatment available or being provided is not intensive enough, resulting in negative outcomes for them, their families, and their communities.
- Children and youth are best served in their homes and communities when it is safe and effective to do so. However, the availability of the PRTF level of care is a critical component of a full continuum of treatment services for youth with behavioral and mental health needs. (*See Appendix B: Issue Brief on Purpose and Need for a PRTF in New Hampshire.*)

Implementation of this Plan will require:

- Approval by the Federal Center for Medicare and Medicaid Services (CMS) of the SYSC PRTF as a Medicaid-eligible facility, authorizing Medicaid reimbursement for treatment of delinquent and other youth treated at the facility;
- Statutory changes and the adoption of administrative rules to support the functioning of SYSC as both a juvenile correctional facility and a PRTF; and,
- Accreditation of the PRTF at SYSC by a recognized accrediting body.

The Department believes that these are all achievable goals.

III. Plan Details and Cost/Cost-Saving Estimates

The SYSC is the ideal site for establishing a PRTF in New Hampshire. The SYSC currently serves as a youth's residence, school and treatment facility. It offers many of the assessments and clinical services that youth in need of this level of intensive treatment require, including comprehensive medical, clinical and educational services. SYSC also is a state-of-the-art facility well-suited to be a psychiatric residential treatment center, and the design of the building is such that it can be easily split into two facilities to ensure separation of the two populations of youth.

DHHS Plan Retains Juvenile Correctional Facility for Detention and Commitment: The population of youth at the SYSC has, consistent with national trends in juvenile justice, declined in recent years for a number of reasons. Among the most prominent reasons are the decline in juvenile crime, which is at historic low levels, and the decline in the use of secure facilities to incarcerate juvenile offenders, as research and experience have demonstrated that incarceration is inappropriate for most juveniles.

Nonetheless, all states maintain secure care and treatment options for the subset of juvenile delinquents who have committed violent crimes or who pose a significant threat to their communities. The Department anticipates that a secure detention/correctional facility will continue to be necessary and the Department would continue to utilize a portion of the SYSC facility to meet this need.

DHHS Plan Provides New Treatment Option within the State: The Department's plan would create a PRTF at the SYSC. A PRTF is designed to be:

- less medically intensive than a psychiatric hospital, while still providing a range of comprehensive services on an inpatient basis under the direction of a physician; and
- a more clinically-intense type of treatment delivered to youth that are more acutely or chronically psychiatrically ill than those served in other residential settings.

Providing PRTF services within the state will enhance the service array available in the Department's *System of Care* and enable the Department to better serve youth in New Hampshire with significant behavioral and mental health treatment needs.

The PRTF Issue Brief, included as Appendix B, provides additional detail on the projected need and purpose of the PRTF.

DHHS Plan Does Not Require Payback of VOI/TIS Funding

The SYSC was built in part with the use of federal Violent Offender Incarceration and Truth in Sentencing, or VOI/TIS, funds (approximately \$13,400,000). Federal law requires that the state seek approval from the U.S. Department of Justice for any change of use of the facility. The Department has requested and received approval from the U.S. Department of Justice for a change of use at the SYSC to include a PRTF. With the DOJ approval, the state will not be required to repay any of the VOI/TIS funds as a result of establishing a PRTF at the SYSC, and as long as “the facility is used for a criminal justice purpose” and the youth are either involved or at risk of involvement with the juvenile justice system .

A copy of the letter of approval, dated October 13, 2015, from the Department of Justice is attached (See Appendix D).

A. Current SYSC Budget and Operations

The SYSC Budget includes costs associated with operation and maintenance of the full 156 acre campus and 17 buildings. Only 5 of these buildings are used by the SYSC program.

Actual expenditures for entire SYSC campus under the SYSC Budget FY 2014/2015:

Budget Information	FY 2014	FY 2015
General Funds	\$13,036,595	\$13,369,580
Total Funds	\$14,329,097	\$14,275,945

For FY 2015, the SYSC program had an average census of around 45 youth per day. To date in FY 2016, the SYSC program has had an average census around 50 youth per day. The facility has experienced an increased number of detained youth with the recent addition of 17 year olds.

B. Impact of DHHS Plan on SYSC Budget

1) Modification of SYSC Facility

The existing SYSC facility is designed for 144 detained and committed youth. By dividing the current facility in half, the Department will create two separate 72-bed facilities; one a juvenile correctional and detention facility, and the other a PRTF. Each half of the divided facility will have its own entrance with separate living and educational areas. Common areas, such as cafeteria and recreational areas will be shared without co-mingling of the youth. Dividing the facility in this manner will maximize the use of the existing infrastructure and enable the different

programs to share operation and maintenance costs. The Department anticipates that overall operation/maintenance costs will be unchanged.

Estimated Additional Costs:

- The Department estimates the one-time cost for building renovations to divide the facility to be \$1,000,000 and that those costs would be incurred in late SFY 16 or /early SFY 17.

2) Psychiatric Treatment at SYSC as Component of Full System of Care

The creation and operation of an accredited, Medicaid-eligible PRTF will require some changes in staffing to include individuals with certain clinical/ medical credentials necessary to support the full range of PRTF services. The Department assumes that additional residential services staff will also be required due to the increased number of youth that will be served.

Treatment services for Medicaid-eligible youth at the SYSC PRTF will receive reimbursement from the Federal government for ½ of costs. Private-payer and insured youth receiving treatment at the SYSC PRTF would be expected to pay the full residential and treatment costs. This will provide additional revenue to support operations of the facility.

Estimated Additional Costs:

- \$1,925,990 per year for additional staff.

Estimated Additional Revenue:

- The Department estimates that the state will receive \$7,660,209 per year in Medicaid, private payer, and insurance payments for PRTF services.

3) Net Impact on SYSC Budget and Required General Fund Appropriation

The Department, in cooperation with the Office of the Legislative Budget Assistant (LBA), estimated the SYSC operating costs and general fund expenditures for SFY 16/17 under several different scenarios. As stated above, the Department is proposing that a Psychiatric Residential Treatment Facility be established at SYSC. The table below represents the FY'16 and FY'17 budget for this to occur.

The full LBA report to the Continuing Resolution Workgroup containing all the cost analyses is attached as Appendix C.

SYSC Budget Adding a PRTF	FY 2016	FY 2017	Total Biennium
Budget for SYSC with a PRTF	0	\$15,589,761	
PRTF Revenue (Medicaid and Private Pay)	0	(\$7,660,209)	
Net State Cost	0	\$7,929,552	
Renovation of Building	0	\$1,000,000	
Additional staff for population increase	0	\$1,925,990	
Budget Needed	\$13,972,211	\$10,855,542	
Budget Passed	\$12,199,072	\$10,791,519	
Unfunded Need	\$1,773,139	\$64,023	\$1,837,162

***Note.** Of the FY 2017 budget amount, \$1,000,000 represents one-time costs to renovate the building. The FY 2017 budget without one-time cost would be \$9.9 million.

The SYSC Operating Budget accounts for all expenditures and reimbursements associated with SYSC's current operations (e.g., reimbursement of educational costs from sending school districts, federal grants for food services). The SYSC Operating Budget also includes operation and maintenance for the full 156 acre campus, including all 17 buildings.

IV. Appendices

- A. Report to Fiscal Committee of the General Court as to Most Appropriate, Cost Effective, Long and Short-Term Uses of the Sununu Youth Services Center, January 2014
- B. PRTF Issue Brief
- C. LBA cost analysis of alternatives
- D. USDOJ letter

OFFICE OF LEGISLATIVE BUDGET ASSISTANT

State House, Room 102
Concord, NH 03301
271-3161

DATE October 12, 2015
REVISED

FROM Michael Hoffman, Senior Budget Officer

SUBJECT Sununu Youth Service Center (SYSC)

TO Senator David Boutin

In response to a request from the Sununu Youth Services Center Working Group, I have worked with the Department of Health and Human Service to review and summarize scenarios for operation of the SYSC. As you know, these scenarios are based on budget and expenditure information that is available and on reasonable assumptions. I reviewed the information, asked questions to better understand the assumptions, and tried to summarize them clearly for your review. The scenarios are in no particular order and our office does not offer an opinion on any of them.

Background

The Sununu Youth Services Center consists of 14 buildings situated on 156 acres. Five of the buildings are used by the program. The State police and the Manchester police also use some of the buildings. There are 25 non-Sununu staff of the Department of Health and Human Services with offices in the administration building. The DHHS budget pays for maintenance on the entire property. The new building was included in the 2003 capital budget and built with state funds and federal funds from the U.S. Department of Justice. The new building has a capacity of 144 beds which was the anticipated future need at the time. The ribbon cutting for the new facility was on April 3, 2006.

Budget Information	ACTUAL		GOVERNOR'S BUDGET		FY 16-17 FINAL BUDGET	
	FY2014	FY 2015	FY 2016	FY 2017	FY 2016	FY 2017
General Fund	\$13,036,595	\$13,369,580	\$13,243,721	\$13,596,746	\$11,470,582	\$ 10,049,157
Total Funds	\$14,329,097	\$14,275,945	\$13,972,211	\$14,339,108	\$12,199,072	\$10,791,519
Staff:						
Classified	141	141	132*	132*	132*	132*
Unclassified	1	1	1	1	1	1
Total	142	142	133	133	133	133

Notes: *In the FY 2016-17 operating budget 10 staff positions are not funded. FY 2016-17 amounts above include the HB2 reduction of \$1,721,861 in FY 2016 and \$3,496,746 in FY 2017.

Staffing / Census history

Fiscal Year	Staff	Average Census
2010	180	63.4
2011	180	61.8
2012	152	60.1
2013	152	59.9
2014	142	60.3
2015	142	45
2016	133	TBD

The FY 2016 average census has been 46.2 youths.

The Governor’s recommended budget was about one percent less than the actual expenditures in the previous biennium. This budget will be considered the current state or “Status Quo” and used as a basis for comparison for the different scenarios.

SCENARIOS

Scenario #1: Status Quo. Continue to operate the SYSC as in the previous biennium.

Assumption: Legislation would be needed to appropriate funds and allow operating costs to exceed the cap for FY 2017 included in HB2.

Based on current operations, funds authorized in the FY 2016-17 budget would last until April or early May and the savings in HB2 would not be realized. The following general fund appropriations would be needed to continue to operate SYSC without program and staff reductions:

FY 2016	\$1,721,861
FY 2017	\$3,496,746
Unfunded Need	\$5,218,607

Over 82% of the appropriation for the SYSC is in in personnel costs including salary, benefits, overtime and holiday pay. Based on the average salary and benefit amount, terminal pay, unemployment costs and COBRA insurance coverage, the budget as passed would require elimination of up to 69 positions. This would amount to a 52% reduction. The Department indicates it could not continue provide the necessary services or properly maintain the facility with the appropriation levels included in the FY 2016-17 operating budget.

Scenario #2: Savings from privatization of education and food services.

Assumptions:

- The food contractor would continue to receive federal school lunch program funding.
- The education contractor would continue to be reimbursed by the sending school districts.
- There would be no changes to the cost of administration, maintenance, or residential treatment programs.
- Approximately 26 employees would be laid off.

- Privatization of education and food services would begin in FY 2017 as would terminal pay for the 26 positions. Time is needed for the RFP and contract approval process.
- There would be providers interested in providing the services and the proposals would be feasible.

Privatize Food and Education	FY 2016	FY 2017	Total
Status Quo	\$13,972,211	\$14,339,108	
Privatization Savings	0	(912,358)	
Payouts Kitchen (6) & Education Staff (20)	0	436,107	
Unemployment Costs	0	324,534	
COBRA	0	151,717	
Budget Needed	13,972,211	14,560,093	
Budget Passed	12,199,072	10,791,519	
Unfunded Need	\$1,773,139	\$3,768,564	\$5,541,703

*Note. Of the FY 2017 budget amount, \$912,358 represents one-time personnel reduction costs. The FY 2017 budget needed without the one-time costs would be \$13.6 million.

Scenario #3: Establish a Psychiatric Residential Treatment Facility (PRTF) with private food and education services.

Assumptions:

- CMS would certify the facility and approve Medicaid coverage of certain services.
- The U.S. Department of Justice would allow a modified use of the facility built partially with funds through the Violent Offender Incarceration and Truth-In-Sentencing Incentive Program.
- Assumes an average census of 65 youth would be served in the PRTF.
- 90% of the 65 youth would generate revenue from Medicaid or private sources.
- Assumes an average census of committed and detained of 15 youth.
- Includes costs for additional clinical staff needed for the facility to be certified as a PRTF.
- Assumes development and approval of the program, procurement and contracting would require the remainder of FY 2016. Program implementation would be in FY 2017.
- Includes privatization of education programs and food services as described in scenario #1.

	FY 2016	FY 2017	Total
Budget for SYSC as a PRTF	0	\$16,810,736	
PRTF Revenue (Medicaid and Private Pay)	0	(7,660,209)	
Net State Cost	0	9,150,527	
Kitchen (6) & Education Staff (20) Payouts	0	436,107	
Additional staff for population increase	0	1,925,990	
COBRA	0	151,717	
Unemployment Costs	0	324,534	
Budget Needed	\$13,972,211	11,988,875	
Budget Passed	\$12,199,072	10,791,519	

Unfunded Need	\$1,773,139	\$1,197,356	\$2,970,495
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*Note. Of the FY 2017 budget amount, \$1,000,000 represents one-time costs to renovate the building.

The FY 2017 budget without the renovation and personnel one-time costs would be \$10.1 million.

Scenario #4: Close the facility and place youth in other existing facilities, likely to be outside of New Hampshire.

Assumptions:

- Neighboring states and/or providers have capacity and are willing to serve/treat additional youth.
- Rates paid would be at the average for New England states at \$565 per day.
- Up to 129 staff at SYSC would be laid off and receive terminal pay.
- Additional unemployment costs would be incurred due to the layoffs.
- Three staff would remain for security, snow removal and maintenance of unoccupied buildings.
- There would be additional travel and staff costs related to family visits.
- 25 DHHS staff with offices in the administration building would need office space elsewhere estimated at \$4,000/person/ year.
- The campus would be vacant but maintained to avoid deterioration.

	FY 2016	FY 2017	Total
Terminal Pay for 129 Staff @ \$14,397 average		\$1,857,213	
Unemployment compensation		\$1,647,632	
COBRA		\$676,481	
Campus Maintenance		\$343,567	
Cold Storage		\$1,600,000	
Office space for 25 DHHS staff		\$100,000	
Services for 50 clients at \$565/ day x 365 days		\$10,311,250	
Budget Needed	\$13,972,211	\$16,536,143	
Budget Passed	\$12,199,072	10,791,519	
Unfunded Need	\$1,773,139	\$5,744,624	\$7,517,763

*Note. Of the FY 2017 budget amount, \$4.2 million represents one-time costs. The FY 2017 estimated budget without the one-time costs would be \$12.35 million.

Scenario #5: Close the facility and place youths in facilities developed in New Hampshire.

Assumptions:

- Providers in NH would be interested in serving committed youth in a secure facility and communities would be willing to accept such a facility.
- Due to the time needed to locate and construct or renovate facilities, the earliest implementation would be in 2017.
- Five small facilities with a capacity of 10 beds could be renovated or built.
- Rate of \$525 per day could be achieved.
- Up to 129 staff at SYSC would be laid off and receive terminal pay.
- Additional unemployment costs would be incurred due to the layoffs.
- Three staff would remain for security, snow removal and maintenance of unoccupied buildings.

- 25 DHHS staff with offices in the administration building would need office space estimated at \$4,000/person/ year.
- The campus would be vacant but maintained to avoid deterioration/mold.

	FY 2016	FY 2017	TOTAL
Costs for running SYSC for 6 months		\$7,169,554	
Renovation/Construction Costs 5 Facilities @ average of \$400k per facility		\$2,000,000	
Terminal Pay for 129 Staff @ \$14,397 average		\$1,857,213	
COBRA		\$676,481	
Unemployment compensation		\$1,647,632	
Campus Maintenance		\$171,784	
Cold Storage (half year)		\$300,000	
Office space for 25 DHHS staff (half year)		\$50,000	
Services for 50 clients at \$525/ day (half year)		\$4,803,750	
Budget Needed	\$13,972,211	\$19,576,114	
FY 2017 Budget Passed	\$12,199,072	\$10,791,519	
Unfunded Need	\$1,773,139	\$8,884,395	\$10,658,034

*Note. Of the FY 2017 budget amount, \$2 million represents one-time costs. The FY 2017 estimated budget without the one-time costs would be \$1.5 million.

Scenario #6: Private provider operates a correctional facility on SYSC property.

Assumptions:

- Maintenance staff would be needed for security, snow removal and maintenance of unoccupied buildings and property. Maintenance staff would be reduced by 30%. Seven staff would remain.
- Administrative building will continue to house 25 non-SYSC DHHS staff.
- There would be a reduction in operating costs; supplies, paint, utilities, etc.
- Equipment costs would be reduced by half since there would be less wear and tear.
- Contractor would assume responsibility for the cost of running the SYSC building including heat, hot water and electricity.
- Treatment would be limited; children treated out of state would remain out of state.

	FY 2016	FY 2017	Total
Status Quo	\$13,972,211		
Terminal Pay for 125 Staff @ \$14,397 average		\$1,799,625	
Unemployment compensation		\$1,596,542	
COBRA		\$655,505	
Campus Operation and Maintenance		\$822,521	
Capital Expense		\$150,000	
Services for 50 clients at \$525/ day x 365 days		\$9,581,250	
Budget Needed		\$14,605,443	
Budget Passed	\$12,199,072	10,791,519	
Unfunded Need	\$1,773,139	\$3,813,924	\$5,587,063

*Note. Of the FY 2017 budget amount, \$4.2 million represents one-time costs. The FY 2017 estimated budget without the one-time costs would be \$10.4 million.

Scenario #7: Establish a Psychiatric Residential Treatment Facility (PRTF) without privatizing food services and education.

Assumptions:

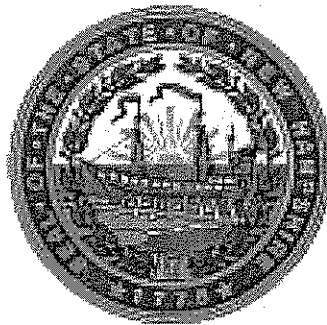
- CMS would certify the facility and approve Medicaid coverage of certain services.
- The U.S. Department of Justice would allow a different use of the facility built partially with funds through the Violent Offender Incarceration and Truth-In-Sentencing Incentive Program.
- Assumes an average census of 65 youth would be served in the PRTF.
- 90% of the 65 youth would generate revenue from Medicaid or private sources.
- Assumes an average census of committed and detained of 15 youth.
- Includes costs for additional clinical staff needed for the facility to be certified as a PRTF.
- Assumes that legislative changes will be approved, and that the facility is certified as a PRTF. Program implementation would be in FY 2017.

	FY 2016	FY 2017	
Budget for SYSC as a PRTF	0	\$16,810,736	
PRTF Revenue (Medicaid and Private Pay)	0	(7,660,209)	
Net State Cost	0	9,150,527	
Remove Privatization Impact as shown for option #2	0	(220,975)	
Additional staff for population increase		1,925,990	Total
Budget Needed	\$13,972,211	10,855,542	
Budget Passed	\$12,199,072	10,791,519	
Unfunded Need	\$1,773,139	\$64,023	\$1,837,162

*Note. Of the FY 2017 budget amount, \$1,000,000 represents one-time costs to renovate the building. The FY 2017 budget without one-time cost would be \$9.9 million.

Report to Fiscal Committee of the General Court As To
Most Appropriate, Cost Effective, Long and Short-Term
Uses of the Sununu Youth Services Center

January 2014



Executive Summary

The New Hampshire Department of Health and Human Services was directed by 2013 Chapter Law 249 to conduct an assessment of the Sununu Youth Services Center (SYSC) to determine advantages and disadvantages of the current facility use, potential alternative uses, the viability of using another facility instead, and ways that current cost could be reduced.

The report that follows reflects the Agency's work over the past five months to engage with stakeholders, advocates and providers to determine the most cost-effective and appropriate programmatic approach to serving youth currently at SYSC.

In order to assess the most appropriate programming for this population, the Department sought technical assistance from the federal Office of Juvenile Justice and Delinquency Prevention (OJJDP) to conduct an evaluation of current services. Legal considerations regarding the current property were also explored. The Department further considered the fiscal implications of several scenarios, including the use of another facility to provide the current programming, and the possibility of contracting with a private provider to provide these services either at the current facility or elsewhere.

Analysis of these reviews indicates that the Department should continue to operate SYSC at the current Manchester site. However, these reviews and input from stakeholders also concluded that there may be fiscal value in exploring contracting with a private provider or decreasing costs for some specific targeted services currently provided by the state at SYSC.

Given the above conclusion, the Department further explored efforts to decrease overall operating costs, and modify or expand the current services at SYSC to better meet the needs of youth, their families and communities. Consistent with national trends, majorities of the youth currently served at SYSC struggle with mental and behavioral health issues and receive treatment for these concerns at SYSC. Additionally, a number of discussions with stakeholders identified secure treatment in these two areas as primary unmet needs for children and youth in New Hampshire. Therefore, the Department has identified both short and long-term options to explore in an effort to reduce costs at SYSC and expand the use of the facility to further meet these needs.

Short-Term Option

The Department will explore with New Hampshire Hospital the possibility of operating a separate unit at SYSC that could provide secure mental and behavioral health treatment to youth who need crisis stabilization or transitional care prior to returning safely to their community. This would potentially expand the service continuum available for these youth, more fully utilize the existing SYSC facility and infrastructure, and reduce SYSC operating costs by generating reimbursement for these services through youth's insurance coverage.

Long-Term Option

The Department will explore steps to become eligible for Medicaid reimbursement for treatment services provided to the current population served at SYSC. As a correctional facility, SYSC is not currently eligible to receive any Medicaid payments for those services. However, the potential exists to transition the facility into a secure psychiatric treatment facility, eligible for Medicaid payments. Many of the structures and practices of such a facility are already in place at SYSC in response to the needs of current population, and any additional necessary changes will be explored.

Introduction:

Over the last three years, SYSC has been engaged in a transformation aimed at enhancing capacity for mental health and substance abuse treatment. The primary role of the Sununu Center has shifted from secure "detention" to the secure "treatment" and detention of youth. In part because of these program improvements, the Department believes and this report identifies the value in continuing to serve this population at the existing SYSC facility in Manchester. However, also in part due to these changes to a more treatment oriented program, the facility is ripe with opportunities to obtain alternative funding to ultimately decrease the general fund costs associated with serving this population of youth.

The Agency's evaluation and planning activities have identified a realistic direction that could align SYSC's current treatment model with the federal definition of a "medical institution." This report outlines short and long- term options for continuing to serve the treatment needs of these youth in a secure and locked setting while pursuing the Department's ability to draw other funds on their behalf.

From the outside looking in there would not be noticeable changes to the facility, in that security procedures would remain the same. However, there could be a significant change in how services are funded at the facility. The Department could proceed in a specific direction that would allow for the enrollment of SYSC as a residential treatment facility, which would ultimately strengthen the ability to be an insurance and/or Medicaid billable program.

This clinical direction is consistent with the direction many juvenile justice programs around the country are taking, and necessary in light of the needs of the current population. It is therefore both programmatically appropriate and more cost effective to pursue other sources of revenue that support the treatment services already in place at the facility. If and when additional funding streams become available, the facility could also enhance the services available to transition youth safely back into the community. These options could also serve to meet some existing service gaps for youth without increasing costs.

It is also critical that the local community/neighborhood be engaged throughout this process so as to clarify or address any concerns that may arise. The SYSC grounds are embedded into a neighborhood, and it is imperative that open communication be maintained so the neighborhood is assured that any operational changes made at the facility will not impact their sense of safety. Should at any time in this process an additional program or program changes occur, the local community will be informed and made a part of that change.

Background:

The Department of Health and Human Services was directed in House Bill 260 (2013 Laws Chapter 249) to assess and report its recommendations to the fiscal committee of the general court as to the most appropriate, cost effective, long and short-term uses of the Sununu Youth Services Center (SYSC). The Department's assessment is required to include an analysis of:

- (1) The advantages and disadvantages of the current use of the facility;
- (2) Potential alternative uses for the facility;
- (3) The viability of using another facility to provide secure detention/committed services given the declining census at the center; and
- (4) Ways that the current costs to run the facility could be reduced.

In conducting its assessment, the Department is required to consult with the community, child welfare, court, and juvenile justice stakeholders to solicit their input as to the most appropriate and fiscally responsible options for addressing the needs of committed and detained youth.

In response to this requirement, the Department explored the advantages and disadvantages of this type of facility for this population, both at SYSC and in other states, legal and fiscal implications regarding use of the current facility or another facility, alternative or additional uses of the current facility, and possible opportunities to reduce operating costs. This process included the formation of an Executive Team comprised of a wide range of community partners, who participated in formulating possible options to meet the legislative objectives above. Input regarding the above questions and possible options was sought from members of the judiciary, law enforcement, community providers, advocates, legislators, juvenile parole board members, and local Manchester leadership. Overall there were more than 45 individuals involved through interviews, focus groups or team meetings, including the New Hampshire Juvenile Justice Advisory Group and the DCYF State Advisory Board. Others were invited but could not or chose not to participate.

The following is a summary of the findings of this process.

Current Program:

The Sununu Youth Services Center (SYSC) is a 144-bed facility located on River Road in Manchester New Hampshire. It provides an architecturally secure treatment program for committed juveniles and for New Hampshire youth involved in the court system prior to their adjudication.

SYSC is comprised of two major programs, as established in statute: the Youth Development Center (RSA 621) and the Youth Services Center (RSA 621-A).

The Youth Development Center

The Youth Development Center provides services to youth adjudicated in court as having committed delinquency offenses and who are in need of secure treatment. Juveniles placed in the Sununu Youth Services Center (SYSC) range in age from 11 to 17 years old. When a youth is committed to SYSC, a systematic process is used to classify and assign them to a secure residential unit where they participate in a prescribed behavioral program. These are adjudicated youth with severe substance abuse and/or severe behavioral challenges or co-occurring mental health conditions that require and would benefit from secure treatment or stabilization in

preparation for returning to their community and reunification with family. The Youth Development Center served a total unduplicated count of 122 committed youth in State Fiscal Year 2012.

The Youth Services Center

The Youth Services Center provides detention services in a unit within the Sununu Youth Services Center. A juvenile may be committed for placement at the Youth Services Center following an arrest for a delinquent offense and the issuance of a NH Circuit Court order.

The Detention Unit is a 24-bed co-educational secure detention center that houses youth who have allegedly committed delinquent offenses and are awaiting disposition of their cases by the courts. It also detains youth wanted in other states in accordance with the provisions of the Interstate Compact. Most youth are detained for less than 48 hours, but others may remain longer awaiting court dispositions, placements and release to their home state or adult certification. Residential staff provides for secure care and respond to youths' recreational needs. All detained youth are provided with close supervision for the duration of their stay. The Youth Services Center served a total unduplicated count of 197 detained youth during State Fiscal Year 2012.

Population

The daily census at SYSC over the past several years has ranged between 58-70 youth at any given time, with additional youth on administrative release or furlough. The youth have a wide range of mental health and behavior disorders and the clinical team is experienced in treating adolescents with challenges including but not limited to:

- Conduct Disorder
- Oppositional Defiant Disorder
- Attention-Deficit Disorder
- Impulse Control Disorder
- Disruptive Behavior Disorders
- Reactive Attachment Disorder
- Depressive Disorders
- Substance Abuse Disorders
- Bipolar or Mood Disorders
- Post-Traumatic Stress Disorders
- Anxiety/Adjustment Disorders

SYSC offers an array of services that address the medical and mental health needs of youth for whom less intensive, community-based services have not produced the outcomes necessary to keep the youth or community safe. SYSC also serves as its own School Administrative Unit (SAU), and as such provides comprehensive and individualized education services to all youth residents. Additionally, as a result of its central location in the state, SYSC is able to serve all youth throughout New Hampshire who require secure treatment.

Assessment of Current Programming Appropriateness:

In order to assess the appropriateness of programming for these youth using the current facility, the Department requested technical assistance from the Office of Juvenile Justice and Delinquency Prevention (OJJDP) National Training and Technical Assistance Center to

determine what practice approaches achieve positive outcomes with this population, and in what type of settings. They were asked to review the Sununu Youth Services Center's (SYSC) use of Evidence-based Programs (EBP), what funding sources are available for programming and what other states provide for services/programming at their respective state centers.

On May 17, 2013, the National Training and Technical Assistance Center transferred the technical assistance project to the National Center for Youth in Custody (NC4YC). The Co-Director Ned Loughran then followed up with DCYF staff who further refined the scope of the technical assistance request and initiated their review.

Mr. Loughran and another NC4YC member, David Crowley, conducted a comprehensive site visit to the facility from June 24, 2013 - June 27, 2013. During the visit, Mr. Loughran and Mr. Crowley toured the facility, observed programming and operations, interviewed administrators, staff and youth residents, and reviewed documents and youth records.

The technical assistance identified the following in regard to the current programming at SYSC, in light of national best practices:

Changing Profile of the Population

- Like most youth corrections facilities throughout the country, SYSC has experienced an influx of youths with serious emotional and mental health problems
- Many of these youths also have a co-occurring disorder of substance and/or alcohol abuse. These high needs youth tend to do better in specialized units with adequately trained staff similar to the H 1 Crisis Management Unit at SYSC
- A large percentage of youth are diagnosed with trauma related issues (female residents with higher rates)
- SYSC offers a comprehensive and effective array of treatment programs (Honest Minds, Restorative Circles, Family Therapy, Emotional Empathy, Compassion, Pet Therapy, AA, NA, etc.)
- Programs are targeted toward this challenging, high needs, resident population

Family Engagement

- SYSC is beginning to explore ways to involve families in their children's treatment plans and reintegration planning
- Family engagement strategies could be increased

Education Program

- SYSC offers a comprehensive school program that includes guidance counseling, special education services, and apprenticeship/vocational opportunities (e.g., automotive, culinary arts, wood working and gardening)
- Teacher to youth ratio is 1:2 (30 certified teachers for 61 youths)
- Youths receive individual credit recovery assistance
- Some residents complete college level work

Residential Program

- Training curriculum for new staff includes topics required for work on a secure-care unit
- Facility is inadequately staffed at times
- Many staff and youth residents noted staff shortages
- Staff desire additional training

Overall, the evaluation identified that SYSC is in the process of movement away from a correctional model to a rehabilitative one, and that this shift is consistent with the direction many juvenile justice programs around the country are taking, and necessary in light of the needs of the current population. The use of evidence-based treatment interventions such as Dialectical Behavior treatment, Trauma Informed Treatment, Aggression Replacement Training, along with family therapy and substance abuse treatment have enriched the treatment program for youths at SYSC. The evaluation confirmed that many of these programs have proven results in addressing the complex needs of adolescent offenders, such as the serious mental health issues that result from early exposure to trauma, substance abuse and family related problems.

According to the evaluator, SYSC's routine use of outcome data to identify issues, implement changes and monitor performance further contributes to the overall safety, health and quality of life for staff and youths in the program.

The evaluator also found that the facility itself creates an environment that is conducive to its primary purpose, the rehabilitation of adolescent offenders. The campus and building has the feel of a high school rather than a secure facility. The exterior design of the building provides a perimeter security, instead of fencing topped with barbed wire or razor ribbon, as is often the case in most secure facilities for young offenders throughout the country.

Among other recommendations related to specific programming, training or policies, key recommendations from this report include:

- Increase staffing levels and optimize staff allocation
- Continue to convert as many half time positions to full time for consistency in operational management
- Utilize supervisors and any available facility support staff for unit shortages
- To relieve staff when counseling youths in Crisis Services Unit, for outside coverage activities, and for additional security on trust level activities
- Continue quality assurance strategies
- Increase staff training (e.g., skill and team building)
- Recognize and reward staff accomplishments

Many of the recommendations were already in progress and/or have since been acted upon. Although there are always opportunities for improvement, as a whole, the technical assistance provider found that the current programming and facility at SYSC is aligned nationally with best practices and treatment of this population.

Assessment of Legal and Fiscal Implications:

Legal Considerations

As part of its assessment the Department also looked at whether there were any deed restrictions, easements or other federal requirements that could potentially limit alternative uses of the facility and found the following:

- A title search completed for the property prior to construction of the facility concluded that there are no encumbrances on the property that would restrict its use for other purposes.
- While certain "contributing buildings" on the SYSC campus may be subject to restrictions or to a preservation easement pursuant to a memorandum of understanding

between the Department of Health and Human Services and the New Hampshire Division of Historical Resources, the new facility is not covered by this memorandum and accordingly the memorandum should have no effect on any proposed changes for the use of the facility.

- The facility was built in large part with the use of federal Violent Offender Incarceration and Truth in Sentencing (VOI/TIS) grant funds. As a condition of funding, the state is required to operate the facility as a correctional facility or jail. The duration of the state's obligation, however, is not specified and federal guidance on the issue provides that grantees are required to use the facility for the originally authorized purpose for as long as they are needed for that purpose. Thus the need for the facility dictates the length of the federal requirement for its continued use.
- As a further condition of funding, however, the state was required to provide assurances that it would not dispose of, modify the use of or change the terms of title to the property without the permission and instructions from the Office of Justice Programs, U.S. Department of Justice (DOJ). Accordingly, any proposed change in the use of the facility would require the approval of the DOJ. The DOJ's Bureau of Justice Assistance which now administers the VOI/TIS grants has stated that it has a general policy of granting all reasonable facility use requests provided that the alternative use of the facility serves a criminal justice purpose, as a general matter, and that the facility must be made available for the originally authorized purpose if the need should arise again.

Fiscal Considerations

Fiscal considerations regarding potential use of another facility to provide secure treatment for this population include the fact that the state owns the Manchester property and buildings, and would continue to incur a cost to at least minimally maintain those facilities should the SYSC program relocate elsewhere. There is the potential requirement as noted above that a portion of the VOI/TIS grant monies awarded to build the current facility would need to be paid back if the facility is no longer in use for that purpose. Additionally, the cost to rent or purchase and renovate a different facility to provide secure treatment would need to be considered. Given these factors, use of a different facility to provide these services, despite the lower census at the center, is not a cost effective option. Please see Appendix A for details on this option.

Private Contracting Considerations

Consideration was also given to the possibility of contracting existing services out to a private provider in the current or a different location, and the programming, legal and fiscal implications of that option. In regards to programming, given the evaluation results above, it is apparent that the current programming at the facility is ideally suited to this population and aligned with best practices nationally. Given this, there is no basis to consider privatization for programming reasons.

As the possibility of contracting services relates to legal and philosophical considerations, this question is closely linked to the issue of statutory authority. It is raised on the basis of the "propriety" of such action rather than with respect to "legality". It is an ideological question that many people feel strongly about. In fact, many staff and stakeholders interviewed believe that the responsibility for secure treatment is and should continue to be the role of the state. They argue that some functions are the "responsibility" of government and cannot or should not be delegated; among these functions are all legislative and judicial activities involved in all stages of the juvenile and criminal justice process. In the instance of privatization, the state would retain legal and ethical responsibility for this critical function, while surrendering direct control of these services to a private party. Others argue that there is a legitimate and necessary role for private

enterprise in the management of juvenile corrections, which in no way constitutes an abrogation of the essential role of government in formulating policy.

The desire to decrease cost of a service that is currently publicly provided is often a significant reason for contracting that service to the private sector. At times, the private sector has a greater potential for innovation and efficiency due to its ability to be more flexible than government regarding personnel and resources. It is critical to note that, while the state agency could relinquish responsibility for performing a service by contracting it out, it in no way relinquishes responsibility for monitoring the private providers. The state remains accountable, through detailed monitoring procedures, for all contracted services. The shift from operating public services to monitoring the provision of public services must include the state's ability to oversee and evaluate performance.

There is also a statutory challenge regarding the authority of private providers as compared to the public sector. Currently the employees of a private provider are not authorized by state law to take and hold juveniles in their care, as current state statutes did not envision private juvenile corrections providers.

In regards to the fiscal considerations of contracting these services to a private provider in a different location, given the costs that would be associated with maintaining the current land and buildings, payment to a provider for the services (which would require renovation of any existing facility in the state to provide adequate security), and costs of the necessary quality monitoring that would still be the responsibility of the state, contracting these services to a private provider would not be a cost effective option. Additionally, as noted above, there is the potential requirement that a portion of the grant monies awarded to build the current facility would need to be paid back if the facility is no longer in use for that purpose, further reducing the fiscal viability of this plan.

The viability of contracting these services to a private provider using the current facility is rife with programming, legal and philosophical concerns, and would still incur some of the same costs noted above. The Department began exploration of this possibility by issuing an RFI (Request for Information) in 2012. Only two responses were received, only one of which was from a provider with a demonstrated history of providing similar residential services, so options for appropriate private providers could be limited for this somewhat unique service. Additionally, the RFI did not require that proposals include budget information, so the responses did not confirm that costs would be reduced through a private contract.

However, there may be fiscal value in exploring contracting with a private provider for some specific targeted services currently provided by the state at SYSC. Education, maintenance or food services could be such examples. In addition, several focus group conversations and interviews clearly identified that there may be room to decrease staffing costs in educational services, given the teacher to student ratios noted earlier. This will continue to be an area for potential reduction of costs depending on the outcome of the short and long term options described below. Please see Appendix B for the current State Fiscal Year budget for Education, Maintenance and Food Services at SYSC.

Findings and Conclusions

Analysis of the information gathered above indicates that **the Department should continue to operate the Sununu Youth Services Center at the Manchester site**, and should turn its attention toward efforts to modify and expand its service continuum to better meet the needs of

the youth, families and community, and toward decreasing overall operating costs. These two goals may in fact be closely connected to one another.

As noted earlier, a significant portion of the youth served at SYSC require and receive treatment for mental and behavioral health issues. Additionally, a number of discussions with stakeholders identified secure treatment in these two areas as primary unmet needs for children and youth in New Hampshire. These discussions indicated that there is often a waiting list for children to receive acute psychiatric services at New Hampshire Hospital, and there are limited secure options for families when children are discharged from the hospital. These children may wait in local hospital emergency rooms for extended periods, where they cannot be provided with the crisis stabilization and treatment they need. Additionally, the hospital is meant to provide time-limited acute care, however in situations where longer secure services are needed; there are few transitional options that allow youth to fully solidify their treatment gains before returning to their community. Lastly, there are inadequate numbers of inpatient resources for youth struggling with substance addictions in our state. Some of the youth facing these challenges enter SYSC currently as a result of a delinquent act, some do not, however the treatment needs and safety concerns regarding youth in both circumstances are very similar.

As a result, the review has identified both short and long-term options for the Department to explore in an effort to reduce costs at SYSC and expand the uses of the facility to meet the needs of children and youth.

Short-term Options

New Hampshire Hospital (NHH) currently provides acute psychiatric treatment services for children, adolescents, adults and elders with severe mental illness. The Department should explore, in conjunction with NHH, the viability of dedicating a unit at SYSC to the hospital for the transition of children and adolescents who need crisis stabilization but not acute psychiatric services, or no longer require acute care but need secure transitional care in order to return safely and permanently to their home and community. New Hampshire Hospital is a certified secure medical treatment facility, and the feasibility of NHH opening a unit at SYSC for children and youth should be investigated.

In addition, a floor separate from other detained or adjudicated youth within SYSC could potentially be designated to provide secure inpatient services for adolescents with substances abuse needs. The program could provide:

- Acute, inpatient medical detoxification
- Safe medical withdrawal
- Inpatient Chemical Dependency Detoxification
- Group therapy, including coping skills, relapse prevention, and alcohol/drug education
- Educational groups
- Transitional Services

Expanded mental health and substance abuse treatment services for children and youth are both potential additional uses of the current facility that would enhance the existing service array available to meet these critical needs, and reduce overall costs as well. Costs could be reduced as a result of income generated through payment from insurance providers for these services. This income could be maximized through the use of existing infrastructure (building, food service, maintenance, recreational, medical facilities, etc.) resulting in decreased overhead costs to provide these services. Further exploration is needed to determine requirements for certification, staffing and billing procedures, among other areas, to confirm the feasibility of these options.

However, as a currently under-utilized asset in the state for the secure treatment of youth who require this level of care, SYSC could offer a fiscally sound addition for these critical services.

Long-term Options

A more long-term solution for reducing costs and enhancing services at the Sununu Youth Services Center could involve the ability to draw federal Medicaid dollars for services provided at SYSC. Review and consultation with individuals from the State of Vermont regarding recent changes at their juvenile correction facility, Woodside, indicates that the services provided to the youth currently served at SYSC may be able to become eligible for Medicaid payments.

Like New Hampshire and most states, Vermont has experienced the same changes in the population at their youth correctional facility, in that most youth are presenting with greater mental health and substance abuse needs that require treatment. Vermont saw the role of Woodside shifting in response from a secure detention facility to a medical/psychiatric treatment facility, so they embarked upon a series of legal and practice changes to reflect that shift. The result of these changes has been to allow the Woodside Center to receive Medicaid payments for all services provided at the facility except education services for those youth insured by Medicaid.

Evaluation of Federal Medicaid Laws and Policies

The discussion below represents Vermont's findings and recommendations for accessing Medicaid funding for services, which would apply to SYSC as well.

Inmate of a Public Institution Exception

Federal Medicaid funds are not available for care or services provided to any individual who is an "inmate of a public institution (except as a patient in a medical institution)." A "public institution" is defined as an institution that is the responsibility of or is administratively controlled by a government unit. However, the term "public institution" excludes medical institutions, intermediate care facilities, publicly operated community residences that serve no more than 16 residents, and child-care institutions."

On the other hand, federal regulations define a "medical institution" as an institution that:

- a. Is organized to provide medical care, including nursing and convalescent care;
- b. Has the necessary professional personnel, equipment, and facilities to manage the medical, nursing, and other health needs of patients on a continuing basis in accordance with accepted standards;
- c. Is authorized under State law to provide medical care; and
- d. Is staffed by professional personnel who are responsible to the institution for professional medical and nursing services.

The services must include adequate and continual medical care and supervision by a physician; registered nurse or licensed practical nurse supervision and services and nurses' aid services, sufficient to meet nursing care needs; and a physician's guidance on the professional aspects of operating the institution.

Institutions for Mental Disease (IMD) Exclusion

Federal law defines an Institution for Mental Disease (IMD) as a hospital, nursing facility or other institution of more than 16 beds that is primarily engaged in providing diagnosis, treatment or care to persons with mental diseases, including medical attention, nursing care, and related services.

Medicaid funding is not available for IMD services, except for inpatient psychiatric services provided to individuals under the age of 21. This exception for individuals under age 21 has been interpreted inconsistently. One interpretation is that inpatient psychiatric services must meet the narrow, institutional definition of inpatient psychiatric services (described in the next section). Another interpretation is that the IMD rules do not apply to individuals under age 21.

Medicaid Reimbursement for Psychiatric Residential Treatment Facilities (PRTF)

Federal Medicaid law recognizes a limited number of institutional settings for which room and board costs may be reimbursed. These settings include hospitals, nursing facilities, intermediate care facilities for the mentally retarded (ICF/MR), and inpatient psychiatric services for children under age 21. Psychiatric services for children may be provided by a psychiatric hospital, psychiatric unit of a general hospital or psychiatric residential treatment facility (PRTF).

Under Centers for Medicare and Medicaid Services (CMS) regulations, a PRTF is a non-hospital facility that delivers inpatient psychiatric services to Medicaid enrolled individuals under age 21. The facility is required to be accredited by the Joint Commission (known as JCAHO) or other accrediting entity, such as the Commission on Accreditation of Rehabilitation Facilities (CARF). The facility also must comply with federal regulations concerning the use of seclusion and restraints.

Findings and Recommendations Regarding Federal Medicaid Laws and Policies

The Department believes that the Sununu Youth Services Center could, as a long-range plan, potentially meet the federal definition of a “medical institution,” subject to modification of New Hampshire law to recognize SYSC as a medical provider. The agency also believes that enrollment of Sununu Youth Services Center as a psychiatric residential treatment facility would strengthen the Medicaid program’s authority to cover SYSC for three reasons:

1. Recognition of SYSC as a *Psychiatric Residential Treatment Facilities (PRTF)* could enable the New Hampshire Medicaid program to cover services offered by SYSC under traditional Medicaid laws.
2. The federal definition of a “medical institution” has not been consistently interpreted and applied, but enrollment of SYSC as a PRTF would meet the narrowly constructed definition of a medical institution.
3. Federal law exempts “inpatient psychiatric services for children under age 21” from the prohibition on Medicaid funding for services. The definition of “inpatient psychiatric services” could be narrowly interpreted to include only traditional Medicaid providers (e.g., hospitals and PRTFs). Enrollment of SYSC as a PRTF could meet the narrow definition of “inpatient psychiatric services for children under age 21.”

Both the designation as a “medical institution” and enrollment as a PRTF would require statutory and process changes, as well as accreditation by an accrediting entity. While it is believed that the

facility, services, staffing and programming currently in place at SYSC would largely meet accreditation requirements, specific analysis will be necessary to determine if additional changes are needed. However, as was true in Vermont, it is anticipated that a large majority of youth presently served at SYSC have treatment needs that would clinically require secure treatment, thereby allowing all costs for their treatment at SYSC except education costs to be covered by Medicaid payments to the state. It is important to note that the Woodside facility continues to provide services to a small number of youth (less than 5-10%) that do not have clinical needs that require secure treatment, but nonetheless require secure placement to ensure community safety. They continue to utilize state general funds to serve this population.

Programmatically, the Woodside Center made a number of changes aligned with the shift to a more treatment-focused approach to these services. New Hampshire is already in the process of making many of these changes as they are recognized as best practice for youth and their families, such as infusing Restorative Practices into the facility, expanding family engagement in treatment, and individualizing youth's programs based on treatment needs. Additional changes made in Vermont that warrant exploration include initial review of all admissions by a clinical team to determine need for secure care, with transition supports to a lower level of care if appropriate. It is also worth considering eliminating the separation of youth based on legal status (detained vs. committed), that would allow for unit assignment of all youth based on treatment need and services, and discharge planning that begins immediately upon admission for all youth.

Given the above information, the Department should explore the feasibility and appropriateness of the identification of the Sununu Youth Services Center as a psychiatric residential treatment facility. This should include, if appropriate, any statutory changes that would be necessary to meet this recommendation. This exploration should include an analysis of any outcome data available regarding the Woodside program or other similar models, and a plan for evaluation of any recommended changes at SYSC. Additionally, the success of a secure treatment model such as the one described here is intricately tied to the availability of adequate community treatment services to support successful discharge from secure treatment. As a result, the Department would propose that a portion of any savings realized through these modifications be allocated to support such community treatment programs.

It should be noted that neither the short nor long term possibilities described above are anticipated to result an increase in daily census at the facility beyond its capacity. The youth currently served at SYSC and New Hampshire Hospital typically struggle with very similar challenges and require similar services in terms of mental health and substance abuse treatment, with the primary differentiation being that youth currently at SYSC have been charged with committing a delinquent act. It is hoped that by making this level of treatment more available to youth who need it before a delinquent act occurs, the youth, their families, and the community will be better and more safely served.

Final Summary:

Short-Term Options

1. The Department will continue to operate the Sununu Youth Services Center at the current Manchester facility.
2. The Department will explore the possibility for SYSC to house an extension of New Hampshire Hospital services for psychiatric and substance abuse care.
3. The Department will explore the programmatic and fiscal impact of contracting specific targeted services provided at SYSC to a private provider.

Long-term Options

1. The Department will explore the feasibility and appropriateness of identifying the SYSC as a Psychiatric Residential Treatment Facility (PRTF) to secure Medicaid funding for services, including any necessary program or statutory changes, and fiscal impact.

General Recommendations

1. The Department will continue to invite stakeholders' participation in exploring the above options.
2. The Manchester neighborhood residents should specifically be involved in the exploration of these possibilities.

The Department worked with community stakeholders and providers to create this report and wants to recognize the time and effort of many in contributing to the creation of this document and the plan going forward. There were focus groups and interviews from which information was gathered and there was a standing executive group that listened to and considered the information in an effort to look at as many options as possible.

The following individuals were part of the Executive Team that met over the past five months.

Executive Team Members - Stakeholder Members

Amy Kennedy, Office of Governor Margaret Wood Hassan
Mayor Theodore Gatsas, Mayor, City of Manchester
Joyce Craig, Alderman, Ward I, City of Manchester
Maria Gagnon, CEO, Child & Family Services
Monica Zulauf, President/CEO of YWCA, NH
Patricia Dowling, Chair, Governor's State Advisory Group on Juvenile Justice
Ellen Feinberg, Executive Director of N.H. Kids Count
Ron Adams, Governor's State Advisory Group on Juvenile Justice
Michael Adamkowski, Chair, DCYF Advisory Board
Amy Pepin, Governor's State Advisory Group on Juvenile Justice
Marty Boldin, Director Office of Youth Services
Michael Sielicki, NH Chiefs of Police Association
Joseph Diament, Director Division of Community Corrections

Appendix A

	Budget Impact - General Funds		
	SFY14	SFY15	TOTAL
Total SYSC Budget	-	(15,182,997)	(15,182,997)
General Fund Portion of SYSC Budget		(14,255,656)	(14,255,656)
Est. cost to send residents to another program		11,315,000	11,315,000 *
Est. cost to administer placement contract	-	1,357,800	1,357,800 **
Est. cost to maintain buildings/campus after closure	-	900,355	900,355 ***
Potential General Funds Savings ¹			(682,501)

¹Note: There are two unknown costs:

- 1) Requirement to pay back VOI/TIS grant
- 2) Costs associated with renovating a non-secure facility into an architecturally secure facility

DETAIL

Estimated cost to send residents to another program:

Average Census # per Day 62
 Assuming an average daily rate of: \$500
 x365 days year 365

\$11,315,000 *

Estimated cost to administer Contract @ 12% **\$1,357,800 ****

\$12,672,800

	SFY 2014 Budget	Program Costs	Facility Costs After Closure
MAINTENANCE/SECURITY BUDGET			
PERSONAL SERVICES PERM CLASS	\$ 469,451	\$ 134,405	\$ 335,046
OVERTIME	\$ 16,314		\$ 16,314
HOLIDAY PAY	\$ 2,330		\$ 2,330
CURRENT EXPENSES	\$ 78,440	\$ 39,220	\$ 39,220
HEAT ELECTRICITY WATER	\$ 845,284	\$ 591,699	\$ 253,585
MAINT OTHER THAN BUILD-GRN	\$ 2,275		\$ 2,275
EQUIPMENT NEW REPLACEMENT	\$ 40,000	\$ 20,000	\$ 20,000
TELECOMMUNICATIONS	\$ 4,975		\$ 4,975
OWN FORCES MAINT BUILD-GRN	\$ 6,970		\$ 6,970
CONTRACTUAL MAINT BUILD-GRN	\$ 52,349		\$ 52,349
PERSONAL SERVICE TEMP APPOIN	\$ 21,195	\$ 21,195	
BENEFITS	\$ 265,711	\$ 98,920	\$ 166,791
EMPLOYEE TRAINING	\$ 1,260	\$ 1,260	
IN STATE TRAVEL REIMBURSEMEN	\$ 5,325	\$ 4,825	\$ 500
OUT OF STATE TRAVEL REIMB	\$ 1	\$ 1	
	\$ 1,811,880	\$ 911,525	\$ 900,355 ***

Appendix B			
Description	ORG	CLASS	SFY 2014
			Budget
MATERIAL MGT & FOOD PREP			
PERSONAL SERVICES PERM CLASS	7913	10	242,008
OVERTIME	7913	18	16,984
HOLIDAY PAY	7913	19	8,010
CURRENT EXPENSES	7913	20	95,640
FOOD INSTITUTIONS	7913	21	289,051
RENTS-LEASES OTHER THAN STAT	7913	22	292
EQUIPMENT NEW REPLACEMENT	7913	30	1,358
TELECOMMUNICATIONS	7913	39	2,800
PERSONAL SERVICE TEMP APPOIN	7913	50	13,385
BENEFITS	7913	60	168,365
EMPLOYEE TRAINING	7913	66	629
IN STATE TRAVEL REIMBURSEMEN	7913	70	467
GENERAL FUNDS			838,989
MAINTENANCE/SECURITY			
PERSONAL SERVICES PERM CLASS	7914	10	469,451
OVERTIME	7914	18	16,314
HOLIDAY PAY	7914	19	2,330
CURRENT EXPENSES	7914	20	78,440
HEAT ELECTRICITY WATER	7914	23	845,284
MAINT OTHER THAN BUILD-GRN	7914	24	2,275
EQUIPMENT NEW REPLACEMENT	7914	30	40,000
TELECOMMUNICATIONS	7914	39	4,975
OWN FORCES MAINT BUILD-GRN	7914	47	6,970
CONTRACTUAL MAINT BUILD-GRN	7914	48	52,349
PERSONAL SERVICE TEMP APPOIN	7914	50	21,195
BENEFITS	7914	60	265,711
EMPLOYEE TRAINING	7914	66	1,260
IN STATE TRAVEL REIMBURSEMEN	7914	70	5,325
OUT OF STATE TRAVEL REIMB	7914	80	1
GENERAL FUNDS			1,811,880
EDUCATION			
PERSONAL SERVICES PERM CLASS	7917	10	2,272,512
OVERTIME	7917	18	4,663
CURRENT EXPENSES	7917	20	20,930
RENTS-LEASES OTHER THAN STAT	7917	22	3,887
ORGANIZATIONAL DUES	7917	26	247
TELECOMMUNICATIONS	7917	39	1,820
AUDIT FUND SET ASIDE	7917	41	0
PERSONAL SERVICE TEMP APPOIN	7917	50	15,685
BENEFITS	7917	60	1,113,920
WORKERS COMPENSATION	7917	62	0
EMPLOYEE TRAINING	7917	66	1,104
IN STATE TRAVEL REIMBURSEMEN	7917	70	284
GRANTS NON FEDERAL	7917	73	1
OUT OF STATE TRAVEL REIMB	7917	80	1
EDUCATIONAL SUPPLIES	7917	537	25,163
			3,460,217
OTHER FUNDS (Agency Income)			-710,205
GENERAL FUNDS			2,750,012

Sununu Youth Services Center Transformation: Psychiatric Residential Treatment Facility Proposal

WHAT IS THE DEPARTMENT'S VISION TO SERVE YOUTH WITH BEHAVIORAL HEALTH TREATMENT NEEDS?

The Department believes that children and youth are best served in their homes and communities when it is safe and effective to do so. For these reasons, the Department, in collaboration with the Children's Behavioral Health Collaborative and other stakeholders, has used federal grant funds to design and implement a System of Care model that includes an expanded and non-traditional service array. The System of Care (SOC) model was developed to meet the intensive behavioral health needs of those children and youth that are not able to be served effectively in their homes and communities with the existing service array. The Department is pursuing a Medicaid State Plan Amendment to continue funding for the System of Care services, as well as for a Psychiatric Residential Treatment Facility (PRTF) and the Sununu Youth Services Center would be the PRTF

WHO ARE THE YOUTH THAT DHHS WANTS TO SERVE?

The youth that DHHS is looking to serve have a variety of intensive behavioral health and treatment needs that cannot be adequately met in their communities, including youth involved with various DCYF programs (abuse/neglect, Children in Need of Services (CHINs), and juvenile justice) as well as other youth not currently involved with the Division for Children, Youth and Families (DCYF).

Youth involved with juvenile justice

The youth currently being served at the Sununu Youth Services Center (SYSC) require an intensive level of care and treatment, due to their significant behavioral health needs and unsuccessful attempts to treat these youth in less restrictive and less intensive programs (refer to example 1).

- A review of seventy-six youth committed to the facility in 2015 indicated that sixty-seven of those youth had three or more mental health diagnoses, with thirty-eight having five or more. All seventy-six youth carried at least one mental health diagnosis.
- Sixty-one of those youth had co-occurring mental health and substance abuse diagnoses.

- The average number of placements prior to commitment for these seventy-six youth was six.
- In addition to not receiving treatment able to meet their needs, these youth caused harm to others while these needs remained unmet. Prior to reaching the point of commitment, these seventy-six youth were petitioned for committing a total of 494 criminal offenses in their homes and communities.

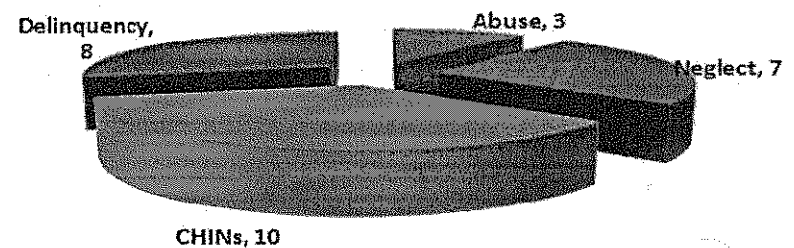
Other youth involved with DCYF

Some youth not placed at SYSC but involved with DCYF through an abuse/neglect (refer to example 2) or CHINS case also need the intensive level of care and treatment.

- Most of the children or youth involved with DCYF are able to be served through existing providers in NH.
- Some children or youth, however, have more intense needs that currently require placement in out-of-state facilities to obtain treatment safely and effectively.
- On 9/1/15, DCYF had twenty-eight children and youth placed in out-of-state facilities.

One such population with very high needs are youth served under the Child in Need of Services (CHINS) statute, Section D:2II(d), which include children and youth who have a diagnosis of a severe emotional/cognitive or other mental health issue who engage in aggressive, fire setting or sexualized behaviors that pose a danger to themselves or others. In calendar year 2014 the Department received 55 requests for this type of CHINS petition. The Department has received 30 requests to date in 2015. These children and youth frequently require residential treatment, and in fact account for a significant portion of out-of-state placements.

of children/youth placed out-of-state by case type



When children and youth are placed out-of-state, it becomes more difficult for them to remain connected to their families and home communities, which can negatively impact treatment progress and discharge planning. Additionally, DCYF does not have oversight of rates and quality measures such as length of stay in these placements. From an economic standpoint, when these placements are necessary, state dollars are being paid to out-of-state agencies rather than in-state providers. For these reasons, every effort is made to serve these children and youth in-state first, which can result in multiple placements and unmet treatment needs for these youth.

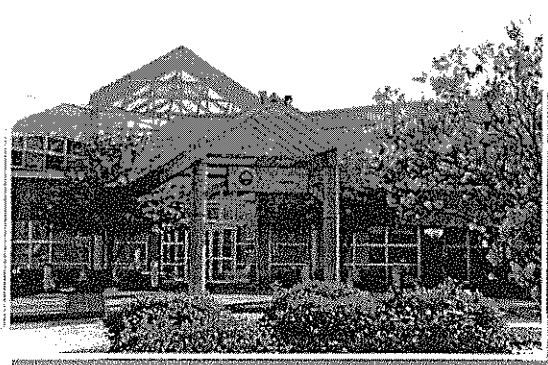
Other youth with behavioral health needs

Additionally, there are youth not in contact with DCYF who require the same intensive level of care and treatment.

- Youth that are in need of highly intensive and/or secure treatment in a clinical milieu but are stable enough for discharge from an acute psychiatric hospital.
- Youth who need an extensive and clinically intensive evaluation to determine appropriate diagnosis and treatment plan.
- Youth who need extended monitoring while undergoing medication trials/ stabilization but no longer need acute care.

Currently, the only option for these youth is a longer stay at New Hampshire Hospital, contributing to a shortage of beds for other youth in need of acute care.

NHH Readmissions of Children/Youth (under 18 years old) – SFYs 2014 & 2015	
DCYF involved	56
Non-DCYF involved	662



Youth with substance use disorders

While many of the youth described above have co-occurring substance use disorders, there are also youth whose primary diagnoses are substance use related who may need an intensive level of care and treatment in a residential setting.

- New Hampshire currently has one adolescent Substance Use Disorder residential treatment program, Phoenix House in Dublin, NH, which is currently able to serve twelve youth. At times, the program is unable to accept new referrals.
- While Phoenix House works diligently to meet the needs of youth in its program, there are youth who cannot be managed at their facility, due to aggressive behaviors or co-occurring mental health issues, and who are therefore discharged from the program without completing their treatment plan. Seven such youth were discharged in 2014.
- Many youth are appropriately diverted from residential care if their initial assessment determines community treatment is the best alternative.
- While many youth with substance use disorders will continue to be effectively treated in the community programs, providing the option of a second residential substance abuse treatment program for adolescents that is able to accept Medicaid or other insurance in another area of the state, and manage more aggressive or co-occurring disorders, will provide a critical service for youth that need it.

WHAT IS A PSYCHIATRIC RESIDENTIAL TREATMENT FACILITY (PRTF)?

A Psychiatric Residential Treatment Facility (PRTF) is a treatment category designed by the federal Centers for Medicare and Medicaid Services (CMS) to support States’ efforts to provide psychiatric services to individuals under age twenty-one in non-hospital settings. New Hampshire does not currently have a PRTF facility.

A PRTF is designed to be:

- less medically intensive than a psychiatric hospital, while still providing a range of comprehensive services on an inpatient basis under the direction of a physician;
- a more clinically intense type of treatment delivered to youth that are more acutely or chronically psychiatrically ill than those served in other residential facilities.

A PRTF IS A CRITICAL COMPONENT OF THE CONTINUUM OF TREATMENT SERVICES IN NEW HAMPSHIRE

The creation of a PRTF is being considered only in conjunction with a permanently expanded array of home and community-based services to provide a full continuum of services for children and youth with the highest levels of behavioral health, and treatment needs. Despite expanding home and community services, a portion of this population continues to require short-term residential treatment episodes, a need that currently is not always met effectively or adequately without a PRTF.

Concern has been raised about the need for a PRTF in light of a federal demonstration project under which nine states tested the cost-effectiveness and outcomes of providing enhanced services in a child's home or community **rather than** a PRTF. The Department believes this project supports its proposal to utilize SYSC as a PRTF in conjunction with an enhanced array of home and community-based services in that the demonstration project concluded that including a number of additional services "significantly enhanced the positive outcomes for children and youth," and, in particular, that "children and youth who were transitioned out of PRTF's had better outcomes on average than children who were diverted from PRTF's." While the better outcomes for youth transitioned out of the PRTF may have resulted from better services or some other factor, it may also have been due to having their more intensive needs met within the PRTF, so that the community-based treatment plan could be more successful. This indicates that having a PRTF available for those youth that need that level of treatment is key to their ultimate outcome.



WHY IS SYSC THE BEST OPTION FOR A PRTF IN NEW HAMPSHIRE?

- The Sununu Youth Services Center (SYSC) currently offers many of the services that youth in need of this level of treatment require, including comprehensive medical, clinical and educational services. The Center utilizes a number of validated assessment instruments to determine treatment needs, and employs an array of evidence-based or research-based treatment interventions with proven results in addressing serious behavioral health issues. SYSC demonstrated positive results in safety, health and programming for youth in the facility as evidenced by the achievement of Level 3 out of 4 in the Performance-Based Standards system, which compares the SYSC's performance to other similar facilities' performance nationally every six months.
- There will be a sustained need for a PRTF level of care for some portion of youth in the juvenile justice system. While positive trends of decreasing juvenile crime rates and the declining use of secure facilities for juveniles continue both nationally and in New Hampshire, all states continue to have secure care and treatment options for juvenile delinquents. In converting SYSC to a PRTF, Medicaid dollars can be used to support treatment services needed by the current population. These Medicaid dollars will offset some of the general fund costs to operate the SYSC.

INSTRUMENTS AND MODELS CURRENTLY AVAILABLE AT SUNUNU YOUTH SERVICES CENTER (SYSC)

Assessment Instruments:

- Beck Suicidal Ideation Assessment
- CRAFT Screening Interview
- UCLA PTSD Reaction Index
- Substance Abuse Subtle Screening Inventory (SASSI)
- Child and Adolescent Needs and Strengths (CANS)
- Woodcock-Johnson III
- Attention Deficit Hyperactivity Disorder (ADHD) Rating Scale
- Depression Anxiety Stress Scales (DASS) 42
- Revised Children's Manifest Anxiety Scale (RCMAS)

Treatment Models:

- Cognitive Behavioral Therapy
- Trauma-Focused Cognitive Behavioral Therapy
- Dialectical Behavioral Therapy
- Anger Management (Prepare Model)
- Aggression Replacement Therapy
- Psychopharmacology

- The use of SYSC as a PRTF for the other populations described above provides a cost-effective way to meet the needs of these youth by making use of existing infrastructure (building, food service, maintenance, recreational, medical facilities, etc.) resulting in lower overhead costs to provide residential treatment services.
- Costs for multiple and longer placements and/or repeat hospitalizations could potentially decrease if youth who truly require a more intensive level of care can receive that care and return to their communities more rapidly and successfully.

Therefore, the Department recommends positioning SYSC as one element of the expanded SOC service array for youth who need short-term PRTF level care, and to utilize SYSC in coordination with expanded home and community-based services. In support of this objective, the federal Centers for Medicare and Medicaid Services (CMS) has offered to send a technical assistance team to NH this fall to evaluate the SYSC facility and assist in developing a transition plan.

NOTE: The SYSC facility was built using federal Violent Offender Incarceration/Truth in Sentencing (VOI/TIS) grant funds that carry certain requirements for use. The proposed transformation of the SYSC facility is expected to meet the use requirements, thereby avoiding the need for a substantial payback of funds used to build the facility. VOI/TIS funds payback could potentially equal \$13,400,000 if NH is required to repay the full amount.

The facility use requirements require that a state request permission from the BJA to cease operation or otherwise change the use of the facility. The guidance document states that the BJA has a general policy of granting all reasonable facility-use requests, provided that the alternative use serves a criminal justice purpose as a general matter and that the facility will be available for the originally authorized purpose if the need should arise again.

In May of 2015, the Department contacted Jonathan Faley, Associate Deputy Director for Programs at the BJA regarding the process for requesting a change of use for the SYSC facility. In conversation with Mr. Faley he stated: that BJA has had 5-6 requests for change of use and that they have approved all of them; and, that they take a broad brush approach to the requirement that the changed use serve a "criminal justice purpose" and that they are more than willing to work with states in situations like ours.

On June 4, 2015, the U.S. Department of Justice sent a letter to Senator Ayotte addressing the change of use and disposition requirements for VOI/TIS funded facilities. The letter refers to the Bureau of Justice Assistance's (BJA) Guidance for States on the Use and Disposition Requirements for VOI/TIS-Funded Facilities. <https://www.bja.gov/Programs/VOITISFacilityGuidance.pdf>

EXAMPLE 1: JUVENILE JUSTICE CASE

Charges:

- Simple Assault
- Aggravated Felonious Sexual Assault
- Acts Prohibited
- Possession of a Controlled Drug (x3 Separate Charges)

History of Placement:

- Phoenix House
- Youth Services Center—Secure Detention
- Mount Prospect Academy - Plymouth
- Mount Prospect Academy - Plymouth
- Mount Prospect Academy - Campton
- Speare Memorial Hospital
- Valley Vista Program - Vermont
- NH Hospital
- Youth Services Center - Secure Detention

Behavioral History:

- Extensive drug abuse on both sides of family

Behavioral History: continued

- Three psychiatric hospitalizations
- Self-injurious behavior
- History of cutting
- One documented suicide attempt
- Began drinking alcohol at the age of 12
- Marijuana use beginning in 8th grade
- Began opioids by 9th grade
- Admitted multiple times for detox treatment
- History of depression
- Alleged sexual abuse by father
- Allegedly sexually abused sister
- Exposed to domestic violence in the home
- Survived highly traumatic divorce

Diagnoses:

- Poly-substance Dependence
- Substance related perceptual disorder
- Mood Disorder
- Bipolar Disorder Type 1
- Posttraumatic Stress Disorder

EXAMPLE 2: ABUSE/NEGLECT CASE

History of Placement:

- Relative Placement
- Spaulding Youth Center
- New England Salem Children's Trust
- NFI – Massachusetts, Riverside
- Spaulding Youth Center
- Spurwink Services – Brunswick, ME
- Devereaux – Rutland, MA

Behavioral History:

- Significant, lengthy history of extremely violent, assaultive & aggressive behavior with family, peers, professional staff (all settings)
- Explosive outbursts
- Suicidal threats (trying to hang herself, throwing self out of moving vehicle),
- Threatening self-harm (cutting of body parts),
- Threatening to harm others
- Homicidal threats (staff, family, peers)
- Self-harming behaviors (scratching, cutting)

Behavioral History continued:

- Impulsivity
- Irritability
- Agitation
- Depression
- Hopelessness
- Threatening high-risk behaviors (prostitution)
- 5 psychiatric hospitalizations

Diagnoses:

- Physical Abuse of Child
- Neglect of Child
- Parent/child Relational Problem
- Gender Dysphoria of Childhood
- Bipolar Disorder
- Mood Disorder, NOS
- Borderline Personality Disorder
- Mild Cognitive Impairment (IQ 65)
- Asthma
- History of Pseudo-seizures



U.S. Department of Justice

Office of Justice Programs

Bureau of Justice Assistance

Office of the Director

Washington, D.C. 20531

October 13, 2015

Byry Kennedy, Esq.
Director of Legal Services
Division for Children Youth & Families
NH Department of Health and Human Services
129 Pleasant Street
Concord, NH 03301

Dear Mr. Kennedy,

This letter is to inform you that I have reviewed and approved your email request, dated October 2, 2015, seeking permission from the Bureau of Justice Assistance (BJA) to allow the State of New Hampshire to repurpose a portion of the Sununu Youth Services Center, a juvenile detention center built with federal Violent Offender Incarceration and Truth-in-Sentencing (VOI/TIS) Program grant funds for "use as a Psychiatric Residential Treatment Facility (PRTF)".

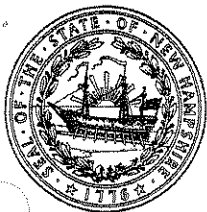
This request is approved based on the fact that the State plans to continue using the VOI/TIS facility for a criminal justice purpose (i.e., for use as a PRTF to service youth involved in the juvenile justice system or at risk for involvement), as required in BJA's *Guidance for States on the Use and Disposition Requirements for VOI/TIS-Funded Facilities*. The proposed PRTF, as I understand from reading the attached proposal, would serve a criminal justice purpose in that the youth served in the PRTF will be either involved with the juvenile justice system or at risk for involvement. In particular, our Office of the General Counsel has advised us that the youth described in the proposal that are not currently involved with juvenile justice system fall within the categories of youth that are typically considered at risk for juvenile justice involvement.

The State should be prepared to convert the VOI/TIS facility back to the originally funded purpose if the need arises. Furthermore, the State must continue to request and receive prior approval from BJA in order to make future changes regarding the use of the facility, to cease operating the facility, or to dispose of or sell the facility.

Thank you for your request. Should you have any questions, please feel free to contact Jonathan Faley, BJA Associate Deputy Director, by telephone at 202-514-2350 or by email at jonathan.faley@usdoj.gov.

Sincerely,

Denise E. O'Donnell
Director



State of New Hampshire FIS 15-229
Additional Information

GENERAL COURT

33 North State Street
Legislative Office Building, Rooms 210-211
Concord, NH 03301-6334

TEL: (603) 271-3165

TDD Access: Relay NH 1-800-735-2964

FISCAL COMMITTEE

(RSA 14:30-a)

Neal M. Kurk
Chairman

November 18, 2015

Dear Members of the Committee,

Chapter 276, Laws of 2015 (HB 2) contains two separate provisions relative to the funding of the Sununu Youth Services Center.

Ch. 276:205, L'15 – Reduction in Appropriation

276:205 Department of Health and Human Services, Sununu Youth Services Center; Reduction in Appropriation. The department of health and human services is hereby directed to reduce state general fund appropriations to the Sununu Youth Services Center by \$1,721,861 for the fiscal year ending June 30, 2016, and by \$3,496,746 for the fiscal year ending June 30, 2017. The department shall operate the Sununu Youth Services Center within the allotted budget, or may enter into contracts for operation of the Sununu Youth Services Center, including establishing necessary class lines, as long as total operating costs do not exceed \$10,100,000 for the fiscal year ending June 30, 2017.

This provision does not require or authorize any further action by the Fiscal Committee. Both of these requirements are mandated by law, and any changes to these requirements must be made through legislation. The Committee should monitor the Department's adherence to these requirements throughout the biennium.

Ch. 276:206, L'15 - Cost Savings Plan:

276:206 Department of Health and Human Services; Sununu Youth Services Center; Cost Savings Plan. The department of health and human services shall develop a plan to reduce the cost of providing existing services at the Sununu Youth Services Center. The plan shall include privatization of services, offering additional and compatible services, and consider the most appropriate, cost effective, long and short-term uses of the center as described in the January 1, 2014 report issued pursuant to 2013, 249. The department shall submit the plan to the fiscal committee of the general court for approval on or before November 1, 2015, and begin implementation of the plan by January 1, 2016.

This provision requires the Department of Health and Human Services (DHHS) to submit a cost savings plan to the Fiscal Committee for approval. The implementation date of the plan, if

approved, will begin on January 1, 2016. DHHS has submitted a plan which will be included on the Committee's agenda at the December 18, 2015 meeting. The following is a description of motions for the agenda item:

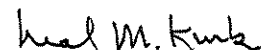
1. **Approve.** If Fiscal Committee approves the plan, DHHS will begin implementation on January 1, 2016. This plan would require future Fiscal Committee approval for transfer requests and possibly the acceptance and expenditure of additional funds (federal or other). It is probable that the plan would require further legislative changes which must go through the standard legislative process, and the Department would work with legislators to determine what types of statutory changes would be necessary to effectuate the plan if approved by the Committee.
2. **Amend and Approve.** Although the Committee has amended and approved items in the past, Ch. 276:206 specifically states "*the department shall develop a plan...*" The Fiscal Committee does not have the legislative authority to develop a different plan for the SYSC. The development of a different plan for SYSC should be undertaken by the entire General Court through the legislative process.
3. **Deny.** If Fiscal Committee denies the plan, DHHS cannot begin implementation of the proposed plan on January 1, 2016. However, nothing prohibits DHHS from seeking legislation to effectuate the plan.
4. **Table.** A tabling motion would have the same impact as denying the plan, whereas absent a special meeting, there would be no action taken by the Committee which would allow implementation of the plan beginning January 1, 2016.

Please note that the cost savings plan under section 206 is not directly related to the appropriation reduction requirements under section 205. As a result, irrespective of the action taken by the Fiscal Committee relative to the Department's cost savings plan proposal, the Department will still be required to reduce SYSC appropriations in FY 2016 and FY 2017 pursuant to current law.

The item is currently being reviewed by the Office of Legislative Budget Assistant and the Department of Health and Human Services to ensure compliance with the chapter law requirements. When the item is finalized, the LBAO will send a copy of the item to Committee members for their review prior to the December 18th vote.

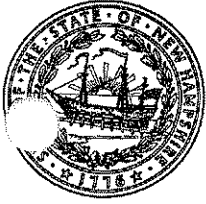
Please contact me or the LBA if you have questions.

Sincerely,



Representative Neal M. Kurk
Chairman

Cc: Nicholas Toumpas, Commissioner
Department of Health and Human Services



STATE OF NEW HAMPSHIRE
THE ADJUTANT GENERAL'S DEPARTMENT

FIS 16 035

BUSINESS ADMINISTRATION
STATE MILITARY RESERVATION
4 PEMBROKE ROAD
CONCORD, NEW HAMPSHIRE 03301-5652

William N. Reddel, III, Major General
The Adjutant General

Phone: 603-225-1360
Fax: 603-225-1341
TDD Access: 1-800-735-2964

Warren M. Perry, Colonel (ret.)
Deputy Adjutant General

February 18, 2016

Stephanie L. Milender
Administrator

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court
State House
Concord, New Hampshire 03301

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
State House
Concord, New Hampshire 03301

REQUESTED ACTION

Pursuant to RSA 14:30-a, VI, the Adjutant General's Department respectfully request authorization to accept and expend additional federal funds in the amount of \$450,000.00 from the federal government (National Guard Bureau) as part of the five-year Federal-State Master Cooperative Agreement to cover the cost of increased security at the State Military reservation (SMR) in Concord, New Hampshire. Effective upon Joint Fiscal Committee and Governor and Council approval through June 30, 2016. **100% Federal Funds.**

Funds are to be budgeted in the account titled:
02-12-12-120010-22660000 Adjutant General - Army Guard Security
RSRC 403723
Amount: \$450,000.00

<u>Object Class</u>	<u>Description</u>	<u>Current Appropriation</u>	<u>This Request</u>	<u>Revised appropriation</u>
041-500801	Audit Fund Set-Aside	\$700.00	\$450.00	\$1,150.00
103-500736	Contract Repairs; Bldg, Grounds	\$766,926.40	\$449,550.00	\$1,216,476.40
	Totals:	\$767,626.40	\$450,000.00	\$1,217,626.40
Source of Funds				
000-403723	Federal Government	(\$767,626.40)	(\$450,000.00)	(\$1,217,626.40)

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council

February 18, 2016

Page 2 of 2

EXPLANATION

The Adjutant General's Department requests authorization to accept and expend additional federal funds for the purpose of increased security at the State Military Reservation (SMR) in Concord, New Hampshire. Recent threats and actual attacks, revealed through various intelligence and law enforcement sources, indicate that terrorists, directed or inspired by the Islamic State of Iraq and Levant (ISIL), view U.S. Military members and facilities as legitimate targets for attacks. Targets in recent ISIL-linked terrorist plots included places of public gatherings, government entities, mass transit modes, and religious facilities. In light of these vicious threats and attacks in the Paris and California, remaining vigilant is paramount. The National Guard Bureau has made additional funding available specifically for the purpose of increased security.

Your favorable action on this request would be appreciated.

Respectfully submitted,



William N. Reddel, III
Major General, NH National Guard
The Adjutant General

Encl: Signature page, Master Cooperative Agreement
 Appendix 3 modification dated 2/17/16 (FFY 16)
 Appendix 3 modification dated 1/25/16 (FFY 15)

The Adjutant General's Department
Army National Guard Security Cooperative Agreement

FISCAL SITUATION 02-12-12-120010-22660000

SFY 2016 Budget Appropriation (FFY 2016 Grant Award)	\$640,000.00
SFY 2016 Budget Appropriation (FFY 2015 Grant Award)	<u>\$577,626.40</u>
Total Available Funds (Org. 22660000)	<u>\$1,217,626.40</u>
Less: SFY 2016 Current Appropriation	(\$767,626.40)
Cooperative Agreement Funds Available	\$450,000.00
This Request	\$450,000.00

COOPERATIVE AGREEMENT MODIFICATION

AGREEMENT NO. W912TF-16-2-1003
 MODIFICATION NO. P15004
 ISSUED BY: COL Luis N. Maldonado
 NATIONAL GUARD BUREAU

FY15

PAGE 1 OF 1
 DATE: 21-Jan-2016
 ISSUED TO: Maj Gen William N. Reddel III
 THE STATE OF NEW HAMPSHIRE

A. This Modification is issued pursuant to NGR 5-1, 28 May 2010, Chapter 3 and the W912TF-16-2-1003, Section 703. This Modification may be further explained in accompanying attachments.

B. This Modification reflects administrative and/or funding changes.

C. Description: ARNG SECURITY COOPERATIVE AGREEMENT

- a. DECREASE FEDERAL FUNDS (\$21,372.21) FY15 A18ZM 206510D15131 QPSM
- b. See attached.

Section Funding Limitations will read as follows:

	Previous	Adjustment	New Appendix Total
100% Federal Share			
Direct Funds	\$666,600.00	-\$21,372.21	\$645,227.79
IKA	\$0.00	\$0.00	\$0.00
Reimbursable Funds	\$0.00	\$0.00	\$0.00
GFE	\$0.00	\$0.00	\$0.00
IKA	\$0.00	\$0.00	\$0.00
75% Federal Share			
Direct Funds	\$0.00	\$0.00	\$0.00
Reimbursable Funds	\$0.00	\$0.00	\$0.00
50% Federal Share			
Direct Funds	\$0.00	\$0.00	\$0.00
Reimbursable Funds	\$0.00	\$0.00	\$0.00
FEDERAL SUBTOTAL	\$666,600.00	-\$21,372.21	\$645,227.79
50% State Share			
50% State Share	\$0.00	\$0.00	\$0.00
25% State Share	\$0.00	\$0.00	\$0.00
Program Income	\$0.00	\$0.00	\$0.00
STATE SUBTOTAL	\$0.00	\$0.00	\$0.00
Grand Total	\$666,600.00	-\$21,372.21	\$645,227.79

THE STATE OF NEW HAMPSHIRE

BY: 
 BY: Maj Gen WILLIAM N. REDDEL III
 Name

ADJUTANT GENERAL

Title

Date: 1/25/2016

NATIONAL GUARD BUREAU


 BY: COL LUIS N. MALDONADO
 Name

USPFO FOR NEW HAMPSHIRE

Title

Date: 2-5 Jan 16

Approved as to legal form:

 Counsel

Approved as to legal form:

 Counsel

COOPERATIVE AGREEMENT MODIFICATION

AGREEMENT NO. W912TF-16-2-1003

PAGE 1 OF 1

MODIFICATION NO. P16003

FY16

DATE:

17-Feb-2016

ISSUED BY: COL Luis N. Maldonado

ISSUED TO: Maj Gen William N. Reddel III

NATIONAL GUARD BUREAU

THE STATE OF NEW HAMPSHIRE

A. This Modification is issued pursuant to NGR 5-1, 28 May 2010, Chapter 3 and the W912TF-16-2-1003, Section 703. This Modification may be further explained in accompanying attachments.

B. This Modification reflects administrative and/or funding changes.

C. Description: ARNG SECURITY COOPERATIVE AGREEMENT

a. INCREASE FEDERAL FUNDS FY16 \$450,000.00; A18ZM 206510D16131 QPSM

b. See attached.

Section Funding Limitations will read as follows:

	Previous	Adjustment	New Appendix Total
100% Federal Share			
Direct Funds	\$190,000.00	\$450,000.00	\$640,000.00
IKA	\$0.00	\$0.00	\$0.00
Reimbursable Funds	\$0.00	\$0.00	\$0.00
GFE	\$0.00	\$0.00	\$0.00
IKA	\$0.00	\$0.00	\$0.00
75% Federal Share			
Direct Funds	\$0.00	\$0.00	\$0.00
Reimbursable Funds	\$0.00	\$0.00	\$0.00
50% Federal Share			
Direct Funds	\$0.00	\$0.00	\$0.00
Reimbursable Funds	\$0.00	\$0.00	\$0.00
FEDERAL SUBTOTAL	\$190,000.00	\$450,000.00	\$640,000.00
50% State Share			
	\$0.00	\$0.00	\$0.00
25% State Share			
	\$0.00	\$0.00	\$0.00
Program Income			
	\$0.00	\$0.00	\$0.00
STATE SUBTOTAL	\$0.00	\$0.00	\$0.00
Grand Total	\$190,000.00	\$450,000.00	\$640,000.00

THE STATE OF NEW HAMPSHIRE

BY: Maj Gen WILLIAM N. REDDEL III

Name

ADJUTANT GENERAL

Title

Date: 2/17/2016

NATIONAL GUARD BUREAU

BY: COL LUIS N. MALDONADO

Name

USPFO FOR NEW HAMPSHIRE

Title

Date: 17 Feb 16

Approved as to legal form:

Counsel

Approved as to legal form:

Counsel

MASTER COOPERATIVE AGREEMENT

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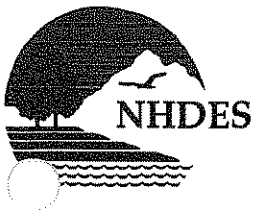
AGREEMENT NO. W912TF-16-2-1000			PAGE 1 OF 22 PAGES		
ISSUED BY: NATIONAL GUARD BUREAU			ISSUED TO: THE STATE OF NEW HAMPSHIRE		
ARTICLE	DESCRIPTION	PAGES	ARTICLE	DESCRIPTION	PAGES
I	SCOPE, PURPOSE AND AUTHORITY	1	VII	GENERAL PROVISIONS	2
II	OBLIGATIONS OF THE PARTIES	1	VIII	APPLICABLE LAWS AND REGULATIONS	6
III	COSTS	2	IX	PROCUREMENT	1
IV	FUNDING LIMITATIONS	1	X	PROPERTY	1
V	PAYMENT	1	XI	LEGAL AUTHORITY	1
VI	DEFINITIONS	3	XII	TERMINATION, ENFORCEMENT, CLAIMS, DISPUTES RESOLUTION AND APPEALS	1
			ATTACHMENTS	APPENDICES	

EXECUTION

By executing this Master Cooperative Agreement, the parties agree to the terms and conditions contained herein, including attachments.

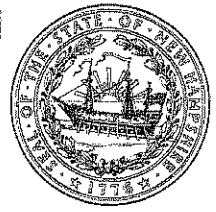
IN WITNESS WHEREOF, the parties by their authorized representatives, execute this Master Cooperative Agreement.

<p>THE STATE OF NEW HAMPSHIRE</p> <p>BY: <u><i>William N. Reddel III</i></u> WILLIAM N. REDDEL III Major General, NHNG The Adjutant General</p> <p>Date: <u>24 SEP 15</u></p>	<p>NATIONAL GUARD BUREAU</p> <p>BY: <u><i>M Maldonado</i></u> LUIS N. MALDONADO COL, NGB USPFO for New Hampshire</p> <p>Date: <u>24 SEP 15</u></p>
<p>Approved as to legal form: <u><i>[Signature]</i></u> New Hampshire Attorney General's Office</p> <p>Date: <u>8/24/2015</u></p>	<p>Approved as to legal form: <u><i>[Signature]</i></u> Jeffrey S. Chang MAJ, NHNG Staff Judge Advocate</p> <p>Date: <u>6 August 2015</u></p>



The State of New Hampshire
Department of Environmental Services

FIS 16 041



Thomas S. Burack, Commissioner

February 22, 2016

The Honorable Neal Kurk, Chairman
 Fiscal Committee of the General Court
 State House
 Concord, NH 03301

Her Excellency, Governor Margaret Wood Hassan
 and the Honorable Council
 State House
 Concord, New Hampshire 03301

REQUESTED ACTION

Pursuant to RSA 14:30-a, VI, authorize the New Hampshire Department of Environmental Services (NHDES) to accept and expend funds in the amount of \$300,000 from the U.S. Environmental Protection Agency (EPA), effective upon Fiscal Committee and Governor and Council approval through June 30, 2017. 100% Federal Funds. Funding is available in the account as follows.

Exchange Network Projects
 03-44-44-440010-5091
 (FY 2016)

Class	Budget Category	Current Budget	Requested Action	Revised Budget
Income				
000-405239	Exchange Network Project	\$0	(\$300,000)	(\$300,000)
Expenditures				
018-500106	Overtime	\$0	\$1,750	\$1,750
020-500200	Current Expense	\$0	\$362	\$362
027-500799	Transfers to DoIT	\$0	\$211,331	\$211,331
037-500165	Technology - Hardware	\$0	\$7,000	\$7,000
038-500175	Technology - Software	\$0	\$3,000	\$3,000
040-500800	Indirect Costs	\$0	\$7,080	\$7,080
041-500801	Audit Fund Set Aside	\$0	\$300	\$300
042-500620	Additional Fringe Benefits	\$0	\$3,794	\$3,794
050-500109	Personnel Full-Time Temp	\$0	\$41,605	\$41,605
060-500601	Benefits	\$0	\$22,328	\$22,328
080-500716	Out-of-State Travel	\$0	\$1,450	\$1,450
	TOTAL	\$0	\$300,000	\$300,000

www.des.nh.gov

29 Hazen Drive • PO Box 95 • Concord, NH 03302-0095
 (603) 271-3503 • TDD Access: Relay NH 1-800-735-2964

The Honorable Neal Kurk, Chairman
Fiscal Committee of the General Court, and

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council

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EXPLANATION

NHDES obtained a competitive Federal grant from the EPA's Environmental Information Exchange Network (the Exchange Network); a partnership of states, territories, tribes and the EPA that is working to improve the sharing and availability of environmental data in ways that are standardized, of high quality, and secure. NHDES, in partnership with NHDoIT, is working to support and maintain the hardware and software and data quality necessary to fully benefit from participation in the Exchange Network.

This grant provides funding for NHDES and NHDoIT to work together to evaluate and implement certain shared information technology services provided by the Exchange Network that could significantly reduce the development, operation, and maintenance costs of sharing environmental data and of meeting federal electronic reporting requirements under the EPA's Cross-Media Electronic Reporting Rule (CROMERR). The specific shared services being evaluated are the Virtual Exchange Services that would replace some of the hardware and software currently being maintained by NHDoIT to enable data sharing, and the Virtual CROMERR Services, that would eliminate the need for NHDES and NHDoIT to develop a New Hampshire-specific solution for complying with this Rule. One of the primary benefits of participation in the Exchange Network is the availability of such shared information technology services that otherwise have to be replicated in each of the states, territories and tribes.

NHDES is requesting these federal grant funds to be budgeted as follows:

Class 018 Overtime – To cover the costs of overtime hours incurred.

Class 020 Current Expense – To cover costs of supplies and printing.

Class 027 Transfer to DoIT – To cover the cost of business analysis, programming, testing, and decision support in evaluating and implementing the software solutions identified in this project.

Class 037 Hardware – To cover the purchase of additional hardware needed by DoIT to ensure that their virtual environment is able to successfully interface with the USEPA's Virtual Exchange Services and Virtual CROMERR Services.

Class 038 Software – To cover the purchase of additional software needed by DoIT to ensure that their virtual environment is able to successfully interface with the USEPA's Virtual Exchange Services and Virtual CROMERR Services.

Classes 040 (Indirect Costs), 041 (Audit Fund Set-Aside), 042 (Additional Fringe Benefits), and 060 (Benefits) – All budgeted in accordance with state guidelines, and reflective of the staff costs included in the table below.

Class 080 Out-of-State Travel – To cover travel costs to attend meetings with other states to evaluate existing installations and maintenance methods. Also covers the costs to attend a national conference to present the work conducted and outcomes achieved in fulfillment of grant requirements.

The Honorable Neal Kurk, Chairman
Fiscal Committee of the General Court, and

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council

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Class 50 Personnel Services; Temporary – These funds are available in support of expanded or new program activities not previously budgeted. Employee support from existing NHDES programs will be used to conduct activities under this grant. No new positions will be created. These funds will be used to supplant funding for the following positions, which are currently budgeted, unless federal funds are not available.

Position #	Title	Account	Funding Mix	Amount
41460	Administrator III	03-44-44-440010-3853	100% General	\$ 8,249
43494	Innovation Specialist	03-44-44-440010-3853	100% General	\$14,294
14654	Business System Analyst I	03-44-44-440010-1002	100% General	\$15,776
40793	Information Specialist	03-44-44-440010-1002	100% General	\$ 3,286

Funding in the amount of \$41,607 which is budgeted for the current positions, will either be lapsed or closed to control resulting in a net zero increase in budgeted funds.

These funds were not included in the FY 16/17 operating budget, as this funding opportunity is a competitive grant process and was not something that the department could reliably include in the budget. In the event that the Federal funds are not available, additional General Funds will not be requested to support this project.

We respectfully request your approval.



for Thomas S. Burack, Commissioner



U.S. ENVIRONMENTAL PROTECTION AGENCY

Grant Agreement

GRANT NUMBER (FAIN): 83584901	DATE OF AWARD 05/22/2015
MODIFICATION NUMBER: 0	MAILING DATE 06/01/2015
PROGRAM CODE: OS	ACH# 10108
TYPE OF ACTION New	
PAYMENT METHOD: ACH	

RECIPIENT TYPE: State	Send Payment Request to: Las Vegas Finance Center
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RECIPIENT: New Hampshire D.E.S. 29 Hazen Drive, P.O. Box 95 Concord, NH 03302-0095 EIN: 02-6000618	PAYEE: New Hampshire D.E.S. ATTN: Grants Management - Accountant III 29 Hazen Drive, P.O. Box 95 Concord, NH 03302-0095
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PROJECT MANAGER Chris Simmers 29 Hazen Drive, P.O. Box 95 Concord, NH 03302-0095 E-Mail: chris.simmers@des.nh.gov Phone: 603-271-8989	EPA PROJECT OFFICER Ed Kim US EPA Region 1 5 Post Office Square, Suite 100 Boston, MA 02109-3912 E-Mail: kim.ed@epa.gov Phone: 617-918-1945	EPA GRANT SPECIALIST Jacob Burney 1200 Pennsylvania Ave. NW, 3903R Washington, DC 20460 E-Mail: burney.jacob@epa.gov Phone: 202-564-2907
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PROJECT TITLE AND DESCRIPTION FY 2015 Exchange Network Grant Program: NHDES The New Hampshire Department of Environmental Services will replace its existing Node 2.0 with a Virtual Node instance; flow Integrated Compliance Information System of Air (ICIS-Air) to the virtual node; flow Air Quality System (AQS) to the virtual node; ensure flows currently flowed through Node 2.0 are successfully flowed to the Virtual Node; and submit Cross-Media Electronic Reporting Regulation (CROMERR) compliance application for completeness review.

BUDGET PERIOD 10/01/2015 - 09/30/2018	PROJECT PERIOD 10/01/2015 - 09/30/2018	TOTAL BUDGET PERIOD COST \$300,000.00	TOTAL PROJECT PERIOD COST \$300,000.00
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NOTICE OF AWARD

Based on your Application dated 11/24/2014 including all modifications and amendments, the United States acting by and through the US Environmental Protection Agency (EPA) hereby awards \$300,000. EPA agrees to cost-share 100.00% of all approved budget period costs incurred, up to and not exceeding total federal funding of \$300,000. Recipient's signature is not required on this agreement. The recipient demonstrates its commitment to carry out this award by either: 1) drawing down funds within 21 days after the EPA award or amendment mailing date; or 2) not filing a notice of disagreement with the award terms and conditions within 21 days after the EPA award or amendment mailing date. If the recipient disagrees with the terms and conditions specified in this award, the authorized representative of the recipient must furnish a notice of disagreement to the EPA Award Official within 21 days after the EPA award or amendment mailing date. In case of disagreement, and until the disagreement is resolved, the recipient should not draw down on the funds provided by this award/amendment, and any costs incurred by the recipient are at its own risk. This agreement is subject to applicable EPA regulatory and statutory provisions, all terms and conditions of this agreement and any attachments.

ISSUING OFFICE (GRANTS MANAGEMENT OFFICE)	AWARD APPROVAL OFFICE
ORGANIZATION / ADDRESS Grants and Interagency Agreement Management Division 1200 Pennsylvania Ave, NW Mail code 3903R Washington, DC 20460	ORGANIZATION / ADDRESS Environmental Protection Agency Office of Environmental Information 1200 Pennsylvania Ave, NW Washington, DC 20460

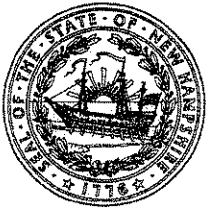
THE UNITED STATES OF AMERICA BY THE U.S. ENVIRONMENTAL PROTECTION AGENCY

Digital signature applied by EPA Award Official for Jill D. Young - Chief - Grants Management Branch A & B Phillip Schindel - Award Official delegate	DATE 05/22/2015
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Department of Environmental Services
Fiscal Situation
FY 2016
Exchange Network Projects
03-44-44-440010-5091

Total Grant Authorization	300,000.00
Less Expenditures through 6/30/15	0.00
Remaining Authorization to Budget	<u>300,000.00</u>
Less Current FY2016 Budget Authorization	0.00
Total Available for Budgeting	300,000.00
REQUESTED ACTION	<u>300,000.00</u>
Available to Budget at a Later Date	0.00

Grant Award	Award Amount	Expenditures to 06/30/2015	Balance
OS83584901	300,000.00	-	300,000.00
Total	300,000.00	0.00	0.00
		0.00	300,000.00



STATE OF NEW HAMPSHIRE
DEPARTMENT of RESOURCES and ECONOMIC DEVELOPMENT
DIVISION OF PARKS AND RECREATION
Bureau of Historic Sites

172 Pembroke Road Concord, New Hampshire 03301
Phone: 603-271-3556 www.nhstateparks.org

February 24, 2016

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court
and
Her Excellency, Governor Margaret Wood Hassan
and the Honorable Executive Council
State House
Concord, New Hampshire 03301

REQUESTED ACTION

Pursuant to RSA 14:30-a, VI, authorize the Department of Resources and Economic Development, Division of Parks and Recreation, Bureau of Historic Sites to accept and expend funds from a Settlement Agreement between Groton Wind, LLC and Counsel to the Public, approved by the New Hampshire Site Evaluation Committee in Docket No. 2010-01 the amount of \$150,000 upon Fiscal Committee and Governor and Executive Council approval through June 30, 2016. **100% Agency Income**

Funding to be budgeted as follows:

03-35-35-351510-52040000 Groton Wind Settlement Fund		2016 Requested Budget
009-407079	Agency Income	(\$150,000)
103-502664	Contracts for Operational Services	\$150,000
<i>Total:</i>		\$150,000

EXPLANATION

In accordance with the Settlement Agreement between Groton Wind, LLC and Counsel to the Public, approved by the New Hampshire Site Evaluation Committee (SEC) in Docket No. 2010-01, the New Hampshire Department of Justice (NHDOJ) accepted payment on behalf of the State in the amount of \$160,000. A portion of the funding (\$10,000) is to be retained by the NHDOJ to defray its expenses associated with SEC Docket No. 2010-01. The remaining funds (\$150,000) are to be provided to the Bureau of Historic Sites to support improvements at Livermore Falls.

The following appropriation is being requested for Fiscal Year 2016:

Class 103 Contracts for Operational Services - \$150,000, amount budgeted to cover contracts for interpretive signs, infrastructure repairs, safety access, and parking area improvements.

Concurred,

Jeffrey J. Rose
Commissioner

State of New Hampshire
Department of Resources and Economic Development
Division of Economic Development

FISCAL SITUATION

03-35-35-351510-52040000 Groton Wind Settlement Fund

Fiscal Year 2016 Appropriation Request

\$150,000

Total Request: \$150,000

SETTLEMENT AGREEMENT

This Settlement Agreement ("Agreement") is made as of this 9th day of July, 2014, ("Effective Date"), by and between, Groton Wind, LLC, an Oregon limited liability company ("Groton Wind") and Peter C.L. Roth, in his capacity as Counsel to the Public ("Counsel for the Public") in New Hampshire Site Evaluation Committee ("SEC") Docket No. 2010-01. Groton Wind and Counsel for the Public are sometimes referred to herein individually as a "Party" and collectively as the "Parties."

RECITALS

- A. Groton Wind is the owner of an electric generating wind power project ("Wind Project") in Groton, New Hampshire.
- B. Counsel for the Public is a party to SEC Docket No. 2010-01 and has asserted, among other things, that: Groton Wind constructed its Wind Project not in accordance with its SEC certificate in violation of RSA 162-H:5, I; the SEC should order Groton Wind to terminate the violation and move the Wind Project's operations and maintenance ("O&M") building to its originally certificated location; and Groton Wind's Environmental, Health and Safety Plan (filed October 11, 2013) is deficient (the "Enforcement Claims").
- C. Groton Wind and Counsel for the Public recognize that claims and concerns of certain owners of property in close proximity to the O&M building (i.e. Mario Rampino, Mary Ann Peabody; and Greg Saulnier) have been addressed and settled as to these property owners.
- D. Groton Wind and Counsel for the Public recognize that Groton Wind and the Office of the State Fire Marshal have executed a Compliance Agreement to satisfy the State Fire Marshal's issues pending in SEC Docket No. 2010-01, (the "Compliance Agreement"), and have substantially performed the responsibilities required thereby, and that Groton Wind has filed, and the State Fire Marshal has accepted, the Groton Wind Plant "Emergency Plan", filed with the SEC on June 20, 2014 (the "Emergency Plan").
- E. Groton Wind and Counsel for the Public are entering into this Agreement to compromise, settle and resolve Counsel for the Public's Enforcement Claims presently pending before the SEC, for Counsel for the Public to permanently withdraw said claims, and for Groton Wind to make a payment in the amount of \$160,000 for the public benefit (the "Payment") and to take additional steps described below in paragraph 2.

AGREEMENT

NOW THEREFORE in consideration of the promises, terms and conditions set forth herein the Parties mutually agree as follows:

1. Withdrawal of Enforcement Claims. Within five (5) days after full execution of this Agreement, Counsel for the Public shall: (a) cause all of his claims, protests and objections currently pending before the SEC against Groton Wind and/or the Wind Project related to the Enforcement Claims to be withdrawn by filing a notice of withdrawal with the SEC. Such withdrawal shall become permanent upon receipt by Counsel for the Public of the Payment. Notwithstanding the foregoing, Counsel for the Public reserves the right to participate, in accordance with his powers under RSA 162-H:9, in an adjudicative proceeding with respect to Groton Wind's requests to amend its certificate of site and facility, but agrees that he will not demand that the Wind Project's O&M building be removed or relocated, nor will he seek or assist others with seeking a determination that Groton Wind has violated the terms of its certificate of site and facility, or any provision of law.
2. Payments and Actions by Groton Wind. Groton Wind shall make the Payment to the New Hampshire Department of Justice ("NHDOJ") on or before May 1, 2015. Up to \$10,000 of said Payment funds may be retained by NHDOJ to defray its expenses associated with SEC Docket No. 2010-01; these funds shall be used at the discretion of the Attorney General. The remaining funds shall be used by NHDOJ for the public purpose of supporting the "Livermore Falls Project" in Grafton County by the New Hampshire Department of Resources and Economic Development for park management and park infrastructure at Livermore Falls. Groton Wind shall also continue to comply with all of the terms and conditions of the Compliance Agreement and shall maintain in effect an emergency plan satisfactory to the State Fire Marshal, substantially similar to the Emergency Plan.
3. Release. Upon the delivery of the Payment by Groton Wind to Counsel for the Public, Counsel for the Public, on his own behalf, and on behalf of his representatives, employees, contractors, attorneys, agents, successors, and assigns, and any other person or entity claiming by or through any of them, will release, acquit and discharge Groton Wind from the Enforcement Claims. This release expresses a full and complete settlement of the Enforcement Claims, regardless of the adequacy of the above consideration.
4. Warranties and Representations. In addition to the representations and warranties contained elsewhere in this Agreement, the Parties warrant and represent to each other as follows:
 - a. By signing below, each signatory represents and warrants that he/she is authorized to sign this Agreement on the Party's behalf and to thereby bind such Party to the terms of the Agreement.
 - b. Each Party represents and warrants to all other Parties that neither the execution of this Agreement nor the performance of any obligations

hereunder will violate, or constitute a default under or breach of, any other agreement to which any Party is bound.

5. Settlement and Compromise. It is understood and agreed that this Agreement is a settlement and compromise of disputed claims described herein. The Parties agree to the following Iberdrola Renewables statement:

Iberdrola Renewables is a company that prides itself on transparency and clear communication. Iberdrola Renewables acknowledges that certain communications and decisions surrounding its construction of the operations and maintenance building of the Groton Wind Project did not meet the expectations of state officials and some members of the local community and was not explicitly authorized by the certificate. While we believe that we acted appropriately, we regret that this situation has resulted in misunderstandings and a lack of trust with those impacted. Iberdrola Renewables is working diligently to reestablish its reputation as a good corporate citizen within the State of New Hampshire, as it does at all of its nearly 60 renewable projects throughout the United States and has taken a number of important steps to rectify the situation with respect to the operations and maintenance facility.

Iberdrola Renewables also acknowledges that a lack of close coordination with the State Fire Marshal's office ahead of construction has led to further misunderstanding and a lack of trust when it comes to fire safety issues. Safety is the number one concern for Iberdrola Renewables, both for the communities it serves and its own employees and contractors. With all of this in mind, we have reached an agreement with the State Fire Marshall's office on an action plan which addresses all concerns.

6. SEC Approval. The Parties agree that within 10 days of its full execution, they will develop a Joint Motion for Approval of Settlement Agreement and provide the Motion and Settlement Agreement to the parties in SEC Docket No. 2010-01 for concurrence, at which time the terms of this Agreement shall be public. The Parties agree to cooperate in advocating that this Agreement be approved by the SEC in its entirety and without modification. This Agreement is expressly conditioned upon the SEC's acceptance and approval of all of its provisions without change or condition. If the SEC does not accept this Agreement in its entirety in full satisfaction of all of the pending claims against Groton Wind and/or the Wind Project, or if the SEC makes changes to this Agreement, or issues conditions or findings that go beyond the scope of this Agreement, the Settling Parties shall negotiate reasonable modifications to this Agreement if necessary and possible. Such modifications shall take into account the SEC's determinations, and to the extent possible, maintain the original language and intent of this Agreement.
7. Entire Agreement. This Agreement sets forth the entirety of the agreement between the Parties hereto and supersedes all prior negotiations, agreements, representations, and understandings between the Parties with respect to the subject matter hereof, and any reliance upon any prior negotiations, agreements, representations, and understandings between the Parties is expressly waived and disclaimed.

8. Confidentiality of Settlement Negotiations. This Agreement is the product of confidential settlement negotiations. The content of these negotiations, including any documents prepared during such negotiations for the purpose of reaching settlement, shall be privileged and all offers of settlement shall be confidential and without prejudice to the position of any party presenting such offer.
9. Counterparts. This Agreement may be signed in counterparts and facsimile signatures will be accepted in lieu of original signatures.
10. Successors. The terms of this Agreement shall be binding upon the heirs, representatives, successors and assigns of each Party hereto.
11. Governing Law. This Agreement shall be governed by, and construed in accordance with, the laws of the State of New Hampshire.
12. Negotiated Agreement. This Agreement shall not be construed as if it were prepared by one of the Parties, and shall be deemed to have been negotiated by and between the Parties, who are separately represented by counsel. All provisions of this Agreement have been negotiated at arm's length, and this Agreement shall not be construed for or against any Party by reason of the authorship or alleged authorship of any provision hereof.
13. Survival. All representations and warranties set forth in, and all provisions of, this Agreement shall survive the consummation of the transactions contemplated by this Agreement, and the delivery of any instruments required to be delivered hereunder and be fully enforceable thereafter.
14. Performance of Additional Acts. Each Party agrees that it shall execute and deliver any and all documents and perform any and all acts required on its part or those which may be reasonably necessary to effectuate and complete and facilitate the provisions of this Agreement.
15. Modification of Agreement. Any modification of this Agreement shall be in writing and signed by the Parties.
16. Breach and Remedies. Each Party shall retain its rights to pursue legal and/or equitable actions to remedy breach(es) of this Agreement by the other Party.
17. Third Party Beneficiaries. The Parties hereto do not intend to benefit any third parties and this Agreement shall not be construed to confer any such benefit.

IN WITNESS WHEREOF, the Parties have executed this Agreement as of the Effective Date.

Saura Maynard
Witness

Peter C.L. Roth
Peter C.L. Roth
Counsel for the Public

Van Nguyen
Witness

GROTON WIND, LLC,
an Oregon Limited Liability
Company

By: [Signature]
Name: Kevin Devlin
Authorized Representative
Its: _____

LEGAL
7/2

Van Nguyen
Witness

By: [Signature]
Name: Scott Jacobson
Authorized Representative
Its: _____



Victoria F. Sheehan
Commissioner

THE STATE OF NEW HAMPSHIRE
DEPARTMENT OF TRANSPORTATION



William Cass, P.E.
Assistant Commissioner

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court
State House
Concord, New Hampshire 03301

Division of Aeronautics, Rail and Transit
February 11, 2016

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
State House
Concord, New Hampshire 03301

REQUESTED ACTION

Pursuant to RSA 14:30-a, VI, authorize the New Hampshire Department of Transportation to accept and expend revenue in the amount of \$3,000,000 from the Congestion, Mitigation and Air Quality (CMAQ) program for the purchase of five state-owned commuter coaches upon the date of Fiscal Committee and Governor and Council approval through June 30, 2016. 100% Federal Funds.

04-096-096-964010-2916	Current Budget FY 2016	Requested Change	Revised Budget FY 2016
Public Transportation			
Expenses:			
010 500100 Personal Services – Perm	\$344,341	\$0	\$344,341
018 500106 Overtime	6,999	0	6,999
020 500200 Current Expense	10,500	0	10,500
022 500255 Rents-Leases Other than State	518	0	518
024 500225 Maint Other than Build-Ground	100,000	0	100,000
026 500251 Organizational Dues	8,250	0	8,250
029 500290 Intra-Agency Transfers	6,000	0	6,000
030 500311 Equipment - New Replacement	1,144,620	3,000,000	4,144,620
035 509035 Shared Services Support	3,594	0	3,594
037 500174 Technology – Hardware	56,500	0	56,500
038 500177 Technology- Software	35,500	0	35,500
039 500180 Telecommunications	4,350	0	4,350
040 500800 Indirect Costs	30,569	0	30,569
046 500463 Consultants	4,500	0	4,500
047 500140 Own Forces Maint Build-Ground	20,000	0	20,000
048 500226 Contractual Maint Build-Ground	852,813	0	852,813
057 500535 Books Periodicals Subscriptions	100	0	100
060 500601 Benefits	170,283	0	170,283
066 500546 Employee Training	500	0	500
067 500557 Training of Providers	25,000	0	25,000

070 500704 In-State Travel Reimbursement	1,250	0	1,250
072 500575 Grants Federal	11,738,726	0	11,738,726
Grants Non Federal	300,160	0	300,160
081 509081 Out of State Travel Fed Reimb	3,750	0	3,750
103 502664 Contracts for Operational Svcs	2,479,348	0	2,479,348
Construction Repair Materials	6,000	0	6,000
Intra-Indirect Costs	55,996	0	55,996
	\$17,410,167	\$3,000,000	\$20,410,167
Source of Funds			
Revenue:			
000 404622 Federal Funds	\$17,007,247	\$3,000,000	\$20,007,247
005 402759 Private Local Funds	301,545	0	301,545
000 000010 General Funds	101,375	0	101,375
Total	\$17,410,167	\$3,000,000	\$20,410,167

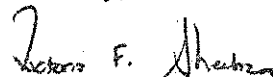
EXPLANATION

The Department requests authorization to accept and expend CMAQ Federal Funds in the amount of \$3,000,000 for the procurement of five state-owned commuter coaches that will be operated under contract by Boston Express Bus and C&J Bus. These commuter coaches will replace other state-owned coaches, currently operated under contract by the entities, which have met their useful life requirement. Subsequent to the development of the 2016-2017 budget, the funds were approved by the CMAQ Committee and included in the Department's Statewide Transportation Improvement Plan and Ten Year Plan. All expenditures are federally reimbursable. These federally-funded vehicles will be procured through the Department of Administrative Services and the Department of Transportation will enter into agreements with Boston Express Bus and C&J Bus, respectively, to maintain continuing control of the state-owned commuter coaches.

These funds were originally Federal Highway Administration (FHWA) CMAQ funds and will be transferred to the Federal Transit Administration (FTA) and administered as FTA Section 5307 Urbanized Area Program funds by the Bureau of Rail & Transit. Per FHWA and FTA rules, these funds may be used to purchase vehicles for transit operations such as the commuter services provide by Boston Express Bus and C&J Bus. Upon approval from Capital Budget and Oversight Committee, the Federal funds will be matched with Turnpike Toll Credits. FHWA does not reimburse Indirect Costs (Class 040) or Audit Fund Set-Aside (Class 041) and this is not requested.

Your approval of this resolution is respectfully requested.

Sincerely,



Victoria F. Sheehan
Commissioner

Department of Transportation
FISCAL SITUATION FISCAL YEAR 2016
04-096-096-960010-2916

Congestion, Mitigation and Air Quality (CMAQ)

Estimated revenue budgeted:	\$	12,045,325
Prior year carryforward revenue (SFY 2015):	\$	4,961,922
Additional non-budgeted revenue:	\$	<u>3,000,000</u>
Amount available to budget:	\$	20,007,247
Less current FY16 budget authorization:	\$	<u>17,007,247</u>
Total available for budgeting:	\$	3,000,000
Amount to be budgeted this request:	\$	<u>3,000,000</u>
Amount available to budget with future requests:	\$	<u>-</u>

Source of Non-Budgeted Revenue	Amount	Expenses through 2/25/2016	Balance
Federal Funds 000-404622	\$ 3,000,000	\$ -	\$ 3,000,000
Totals	<u>\$ 3,000,000</u>	<u>\$ -</u>	<u>\$ 3,000,000</u>

Amounts from Statement of Appropriations for Org 2916 Dated 2-26-2016

ATTORNEY GENERAL
DEPARTMENT OF JUSTICE

FIS 16 048

33 CAPITOL STREET
CONCORD, NEW HAMPSHIRE 03301-6397

JOSEPH A. FOSTER
ATTORNEY GENERAL



ANN M. RICE
DEPUTY ATTORNEY GENERAL

February 17, 2016

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court
State House
Concord, New Hampshire 03301

Her Excellency Governor, Margaret Wood Hassan
And the Honorable Council
State House
Concord, NH 03301

REQUESTED ACTIONS

1. Pursuant to RSA 14:30-a VI, authorize the Department of Justice to accept and expend a new grant award from the US Department of Justice Office on Violence Against Women, titled Sexual Assault Justice Initiative (SAJI), in the amount of \$399,622 for the purpose of implementing best practices for the investigation and prosecution of adult sexual assaults and enhancing the coordinated response procedures for adult sexual assaults in New Hampshire upon the Fiscal Committee and Governor and Executive Council approvals through June 30, 2017. 100 % Federal Funds.

Funds are to be budgeted in 02-20-20-201510-52020000, Department of Justice, Grant Administration, titled FY 2016 SAJI Grant as follows:

<u>Class/Expense</u>	<u>Class Title</u>	<u>Amount</u>
040-500800	Indirect Costs	\$984
041-500801	Audit Fund Set-Aside	\$3,996
072-502627	Grants Federal-Discretionary	\$394,642
Total		<u>\$399,622</u>
 <u>Source of Funds</u>		
000-401859	Federal Funds	<u>\$399,622</u>

2. Upon approval of paragraph 1, authorize the Department of Justice to enter into subgrants with the entities listed below in the amount of \$383,708, from the FY 2016 SAJI to improve services to adult victims of sexual assault through the implementation of best practices relating to the investigations and prosecutions of such crimes upon Governor and Executive Council approval through June 30, 2017. 100% Federal Funds.

Funding is available in account number 02-20-20-201510-5202, Department of Justice entitled FY 2016 SAJI grant as follows:

<u>Class/Expense</u>	<u>Agency</u>	<u>Vendor #</u>	<u>Amount</u>
072-500575	Department of Safety	177878-B001	\$369,008
072-500576	NH Coalition Against Domestic and Sexual	155510-B001	\$ 14,700

EXPLANATION

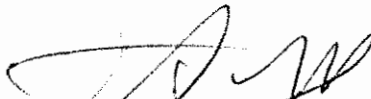
The Department of Justice requests authorization to accept and expend this discretionary grant titled Sexual Assault Justice Initiative (SAJI) from the US Department of Justice, Office on Violence Against Women. These funds were not previously budgeted because the funding was awarded on a competitive basis with no guarantee that New Hampshire would receive an award. This funding is designed to enhance the community response to adult sexual violence with an emphasis on investigation and prosecution.

The Department of Safety, Division of State Police (DOS) will be the lead subgrantee on this program to coordinate and develop the best practices for investigators and prosecutors relating to adult sexual violence. The six rural county attorney offices partnering on, and benefiting from, this project include Grafton, Sullivan, Belknap, Carroll, Coos and Cheshire. This funding will provide the necessary training to help standardize investigations and prosecutions of sexual assault cases in New Hampshire. Funding to the NH Coalition Against Domestic and Sexual Violence (NHCADSV) will be utilized to reimburse the cost of county victim advocates' travel to court hearings in their continued efforts to support adult victims of sexual assault.

In the event that Federal Funds are no longer available, General Funds will not be requested to support this program.

Please let me know if you have any questions concerning this request. Your consideration is greatly appreciated.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'J. A. Foster', written over a horizontal line.

Joseph A. Foster
Attorney General

JAF/shd
Enclosures

#1354360

Department of Justice
GRANTS FISCAL SITUATION FISCAL YEAR 2016
02-20-20-201510-52020000 Sexual Assault Justice Initiative Grant

Total Agency Authorization	\$ 399,622
Less Expenditures to 06/30/2015	\$ -
Remaining Authorizatin to Budget	\$ 399,622

Less Current Budget Authorization	\$ -
Total Available for Budgeting	\$ 399,622

Available to Budget at Later Date	\$ -
REQUESTED ACTION	\$ 399,622

Grant Award Number	Award Amount	Expenses to 06/30/2015	Balance
2016-SI-AX-K001	\$ 399,622	\$ -	\$ 399,622
Prior Year Grant Award	\$ -	\$ -	\$ -
Total			\$ 399,622



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Office on Violence Against Women

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1. RECIPIENT NAME AND ADDRESS (including Zip Code) New Hampshire Department of Justice 33 Capitol Street Concord, NH 03301-6397		4. AWARD NUMBER: 2016-SI-AX-K001	
		5. PROJECT PERIOD: FROM 01/01/2016 TO 12/31/2017 BUDGET PERIOD: FROM 01/01/2016 TO 12/31/2017	
		6. AWARD DATE 01/07/2016	7. ACTION Initial
2a. GRANTEE IRS/VENDOR NO. 026002618	8. SUPPLEMENT NUMBER 00		
2b. GRANTEE DUNS NO. 808591127	9. PREVIOUS AWARD AMOUNT \$ 0		
3. PROJECT TITLE Rural Six-County Sexual Assault Justice Initiative		10. AMOUNT OF THIS AWARD \$ 399,622	
		11. TOTAL AWARD \$ 399,622	
12. SPECIAL CONDITIONS THE ABOVE GRANT PROJECT IS APPROVED SUBJECT TO SUCH CONDITIONS OR LIMITATIONS AS ARE SET FORTH ON THE ATTACHED PAGE(S).			
13. STATUTORY AUTHORITY FOR GRANT This project is supported under 42 U.S.C. 13971 (OVW - Rural)			
14. CATALOG OF DOMESTIC FEDERAL ASSISTANCE (CFDA Number) 16.590 - The Community-Defined Solutions to Violence Against Women Grant Program			
15. METHOD OF PAYMENT GPRS			
AGENCY APPROVAL		GRANTEE ACCEPTANCE	
16. TYPED NAME AND TITLE OF APPROVING OFFICIAL Bea Hanson Principal Deputy Director		18. TYPED NAME AND TITLE OF AUTHORIZED GRANTEE OFFICIAL Kathleen Carr Director of Administration	
17. SIGNATURE OF APPROVING OFFICIAL 		19. SIGNATURE OF AUTHORIZED RECIPIENT OFFICIAL 	19A. DATE 1/8/16
AGENCY USE ONLY			
20. ACCOUNTING CLASSIFICATION CODES FISCAL FUND BUD. DIV. YEAR CODE ACT. OPC. REG. SUB. POMS AMOUNT X A W5 29 00 00 399622		21. W516D00002	

OJP FORM 4000/2 (REV. 5-87) PREVIOUS EDITIONS ARE OBSOLETE.

OJP FORM 4000/2 (REV. 4-88)



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SPECIAL CONDITIONS

1. The recipient agrees to comply with the financial and administrative requirements set forth in 2 CFR Part 200 and the current edition of the Department of Justice (DOJ) Grants Financial Guide.
2. The recipient acknowledges that failure to submit an acceptable Equal Employment Opportunity Plan (if recipient is required to submit one pursuant to 28 C.F.R. Section 42.302) that is approved by the Office for Civil Rights is a violation of the Standard Assurances executed by the recipient, and may result in suspension of funding until such time as the recipient is in compliance, or termination of the award.
3. The recipient agrees to comply with the applicable audit requirements of 2 CFR Part 200 or OMB Circular A-133, and further understands and agrees that funds may be withheld, or other related requirements may be imposed, if outstanding audit issues (if any) are not satisfactorily and promptly addressed as further described in the audit requirements and the current edition of the DOJ Grants Financial Guide.
4. Recipient understands and agrees that it cannot use any federal funds, either directly or indirectly, in support of the enactment, repeal, modification or adoption of any law, regulation or policy, at any level of government without the express prior written approval of OVW, in order to avoid violation of 18 USC § 1913. The recipient may, however, use federal funds to collaborate with and provide information to federal, state, local, tribal and territorial public officials and agencies to develop and implement policies and develop and promote state, local, or tribal legislation or model codes designed to reduce or eliminate domestic violence, dating violence, sexual assault, and stalking (as those terms are defined in 42 USC 13925(a)) when such collaboration and provision of information is consistent with the activities otherwise authorized under this grant program.
5. The recipient and any subrecipients must promptly refer to the DOJ OIG any credible evidence that a principal, employee, agent, subrecipient, contractor, subcontractor, or other person has -- (1) submitted a claim for award funds that violates the False Claims Act; or (2) committed a criminal or civil violation of laws pertaining to fraud, conflict of interest, bribery, gratuity, or similar misconduct involving award funds. Potential fraud, waste, abuse, or misconduct should be reported to the OIG by - mail: Office of the Inspector General U.S. Department of Justice Investigations Division 950 Pennsylvania Avenue, N.W. Room 4706 Washington, DC 20530 e-mail: oig.hotline@usdoj.gov hotline: (contact information in English and Spanish): (800) 869-4499 or hotline fax: (202) 616-9881 Additional information is available from the DOJ OIG website at www.usdoj.gov/oig



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6. Restrictions and certifications regarding non-disclosure agreements and related matters

No recipient or subrecipient under this award, or entity that receives a contract or subcontract with any funds under this award, may require any employee or contractor to sign an internal confidentiality agreement or statement that prohibits or otherwise restricts, or purports to prohibit or restrict, the reporting (in accordance with law) of waste, fraud, or abuse to an investigative or law enforcement representative of a federal department or agency authorized to receive such information.

The foregoing is not intended, and shall not be understood by the agency making this award, to contravene requirements applicable to Standard Form 312 (which relates to classified information), Form 4414 (which relates to sensitive compartmented information), or any other form issued by a federal department or agency governing the nondisclosure of classified information.

1. In accepting this award, the recipient --

a. represents that it neither requires nor has required internal confidentiality agreements or statements from employees or contractors that currently prohibit or otherwise currently restrict (or purport to prohibit or restrict) employees or contractors from reporting waste, fraud, or abuse as described above; and

b. certifies that, if it learns or is notified that it is or has been requiring its employees or contractors to execute agreements or statements that prohibit or otherwise restrict (or purport to prohibit or restrict), reporting of waste, fraud, or abuse as described above, it will immediately stop any further obligations of award funds, will provide prompt written notification to the agency making this award, and will resume (or permit resumption of) such obligations only if expressly authorized to do so by that agency.

2. If the recipient does or is authorized to make subawards or contracts under this award --

a. it represents that --

(1) it has determined that no other entity that the recipient's application proposes may or will receive award funds (whether through a subaward, contract, or subcontract) either requires or has required internal confidentiality agreements or statements from employees or contractors that currently prohibit or otherwise currently restrict (or purport to prohibit or restrict) employees or contractors from reporting waste, fraud, or abuse as described above; and

(2) it has made appropriate inquiry, or otherwise has an adequate factual basis, to support this representation; and

b. it certifies that, if it learns or is notified that any subrecipient, contractor, or subcontractor entity that receives funds under this award is or has been requiring its employees or contractors to execute agreements or statements that prohibit or otherwise restrict (or purport to prohibit or restrict), reporting of waste, fraud, or abuse as described above, it will immediately stop any further obligations of award funds to or by that entity, will provide prompt written notification to the agency making this award, and will resume (or permit resumption of) such obligations only if expressly authorized to do so by that agency.

7. Recipient understands and agrees that it cannot use any federal funds, either directly or indirectly, in support of any contract or subaward to either the Association of Community Organizations for Reform Now (ACORN) or its subsidiaries, without the express prior written approval of OVW.

8. The recipient agrees to comply with any additional requirements that may be imposed during the grant performance period if the agency determines that the recipient is a high-risk grantee.

KC 1/8/16



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9. The recipient agrees to comply with applicable requirements regarding registration with the System for Award Management (SAM) (or with a successor government-wide system officially designated by OMB and OVW). The recipient also agrees to comply with applicable restrictions on subawards to first-tier subrecipients that do not acquire and provide a Data Universal Numbering System (DUNS) number. The details of recipient obligations are posted on the Office on Violence Against Women web site at <http://www.ovw.usdoj.gov/docs/sam-award-term.pdf> (Award condition: Registration with the System for Award Management (SAM) and Universal Identifier Requirements), and are incorporated by reference here. This special condition does not apply to an award to an individual who received the award as a natural person (i.e., unrelated to any business or non-profit organization that he or she may own or operate in his or her name).
10. Pursuant to Executive Order 13513, "Federal Leadership on Reducing Text Messaging While Driving," 74 Fed. Reg. 51225 (October 1, 2009), the Department encourages recipients and sub recipients to adopt and enforce policies banning employees from text messaging while driving any vehicle during the course of performing work funded by this grant, and to establish workplace safety policies and conduct education, awareness, and other outreach to decrease crashes caused by distracted drivers.
11. The recipient understands and agrees that any training or training materials developed or delivered with funding provided under this award must adhere to the OVW Training Guiding Principles for Grantees and Subgrantees, available at <http://www.ovw.usdoj.gov/grantees.html>.
12. The recipient understands and agrees that award funds may not be used to discriminate against or denigrate the religious or moral beliefs of students who participate in programs for which financial assistance is provided from those funds, or of the parents or legal guardians of such students.
13. The recipient understands and agrees that - (a) No award funds may be used to maintain or establish a computer network unless such network blocks the viewing, downloading, and exchanging of pornography, and (b) Nothing in subsection (a) limits the use of funds necessary for any Federal, State, tribal, or local law enforcement agency or any other entity carrying out criminal investigations, prosecution, or adjudication activities.
14. The grantee agrees to follow the applicable set of general terms and conditions which are available at <http://www.justice.gov/ovw/grantees>. These do not supersede any specific conditions in this award document.
15. The Violence Against Women Reauthorization Act of 2013 added a new civil rights provision that applies to all OVW grants issued in FY 2014 or after. This provision prohibits OVW grantees from excluding, denying benefits to, or discriminating against any person on the basis of actual or perceived race, color, religion, national origin, sex, gender identity, sexual orientation, or disability in any program or activity funded in whole or in part by OVW. The grantee acknowledges that it will comply with this provision.
16. The recipient acknowledges that they are responsible for maintaining updated contact information in the Grants Management System. To update information in GMS for either the point of contact and/or the authorized representative, grantees must submit a Grant Adjustment Notice.
17. The grantee agrees that funds will be used to supplement, not supplant, non-federal funds that would otherwise be available for the activities under this grant.



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18. The recipient agrees to comply with all applicable laws, regulations, policies, and guidance (including specific cost limits, prior approval and reporting requirements, where applicable) governing the use of federal funds for expenses related to conferences (which is defined to include meetings, retreats, seminars, symposiums, trainings, and other events), including the provision of food and/ or beverages at such events, and costs of attendance at such events. Information on pertinent laws, regulations, policies, and guidance is available at <http://www.ovw.usdoj.gov/grantees.html>.
19. The grantee agrees to comply with all relevant statutory and regulatory requirements which may include, among other relevant authorities, the Violence Against Women Act of 1994, P.L. 103-322, the Violence Against Women Act of 2000, P.L. 106-386, the Omnibus Crime Control and Safe Streets Act of 1968, 42 U.S.C 3711 et seq., the Violence Against Women and Department of Justice Reauthorization Act of 2005, P.L. 109-162, the Violence Against Women Reauthorization Act of 2013, P.L. 113-4, and OVW's implementing regulations at 28 CFR Part 90.
20. The grantee must be in compliance with specifications outlined in the solicitation under which the approved application was submitted. The program solicitation is hereby incorporated by reference into this award.
21. The recipient understands and agrees that misuse of award funds may result in a range of penalties, including suspension of current and future funds, suspension or debarment from federal grants, recoupment of monies provided under an award, and civil and/or criminal penalties.
22. The recipient understands and agrees that grant funds may be frozen if the recipient does not respond in a timely fashion to requests to address Office of the Inspector General audit findings and financial or programmatic monitoring findings.
23. Grant funds may be used only for the purposes in the recipient's approved application. The recipient shall not undertake any work or activities that are not described in the grant application, and that use staff, equipment, or other goods or services paid for with OVW grant funds, without prior written approval from OVW.
24. The Director of OVW, upon a finding that there has been substantial failure by the recipient to comply with applicable laws, regulations, and/or the terms and conditions of the award or relevant solicitation, will terminate or suspend until the Director is satisfied that there is no longer such failure, all or part of the award, in accordance with the provisions of 28 CFR Part 18, as applicable mutatis mutandis.
25. The grantee agrees that if they receive any funding that is duplicative of funding received under this grant, they will notify their OVW grant manager as soon as possible so that a Grant Adjustment Notice (GAN) can be issued modifying the budget and project activities to eliminate the duplication. Further, the grantee agrees and understands that any duplicative funding that cannot be re-programmed to support non-duplicative activities within the program's statutory scope will be deobligated from this award and returned to OVW.
26. The grantee agrees to comply with the provisions of 42 U.S.C. 13925(b)(2), nondisclosure of confidential or private information, which includes creating and maintaining documentation of compliance, such as policies and procedures for release of victim information. The grantee also agrees to ensure that any subgrantees meet these requirements.
27. The grantee agrees to submit semiannual progress reports that describe project activities during the reporting period. Progress reports must be submitted within 30 days after the end of the reporting periods, which are January 1 - June 30 and July 1 - December 31 for the duration of the award. Future awards may be withheld if progress reports are delinquent. Grantees are required to submit this information online, through the Grants Management System (GMS), on the semi-annual progress report for the relevant OVW grant programs.



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28. Under the Government Performance and Results Act (GPRA), VAWA 2000 and subsequent legislation, grantees are required to collect and maintain data that measure the effectiveness of their grant-funded activities. Accordingly, the grantee agrees to submit semi-annual electronic progress reports on program activities and program effectiveness measures. Grantees are required to collect the information that is included on the Measuring Effectiveness Progress Report for the OVW Program under which this award is funded.
29. A final report, which provides a summary of progress toward achieving the goals and objectives of the award, significant results, and any products developed under the award, is due 90 days after the end of the award. The Final Progress Report should be submitted to the Office on Violence Against Women through the Grants Management System with the Report Type indicated as "Final".
30. The recipient agrees that it will submit quarterly financial status reports to OVW on-line (at <https://grants.ojp.usdoj.gov>) using the SF 425 Federal Financial Report form (available for viewing at www.whitehouse.gov/omb/grants/standard_forms/ff_report.pdf), not later than 30 days after the end of each calendar quarter. The final report shall be submitted not later than 90 days following the end of the award period.
31. Funds allocated for OVW-sponsored technical assistance may not be used for any other purpose without prior approval by OVW. To request approval, grantees must submit a Program Office Approval Grant Adjustment Notice (GAN) via the Grants Management System (GMS). The grantee must include a copy of the event's brochure, curriculum and/or agenda, a description of the hosts or trainers, and an estimated breakdown of costs should be attached to the GAN. The GAN request must be submitted to OVW at least 20 days prior to registering for the event. Approval to attend non-OVW sponsored events will be considered on a case-by-case basis. This prior approval process also applies to requests for the use of OVW-designated technical assistance funds to pay a consultant or contractor not designated as an OVW technical assistance provider to develop and/or provide training and/or technical assistance.
32. The grantee agrees to attend and participate in OVW-sponsored technical assistance. Technical assistance includes, but is not limited to, national and regional conferences, audio conferences, webinars, peer-to-peer consultations, and workshops conducted by OVW-designated technical assistance providers. All training will be coordinated by OVW-designated technical assistance providers.
33. First-time grantees, or continuation grantees if requested, must agree to have key staff members, as identified by OVW, attend the OVW grantee orientation seminar, which may be offered in-person, online, or a combination of both. Additionally, if there is a change in the project director/coordinator during the grant period, the grantee agrees, at the earliest opportunity, to send the new project director/coordinator, regardless of prior experience with this or any other federal award, to an OVW grantee orientation seminar or require completion of the orientation online, whichever is available.
34. Approval of this award does not indicate approval of any consultant rate in excess of \$650 per day or \$81.25 per hour. A detailed justification must be submitted to and approved by the Office on Violence Against Women prior to obligation or expenditure of such funds. Although prior approval is not required for consultant rates below these specified amounts, grantees are required to maintain documentation to support all daily or hourly rates.
35. The recipient agrees to submit one copy of all required reports and any other written materials or products that are funded under this project not less than twenty (20) days prior to public release for OVW review and approval. Prior review and approval of all such material is required if project funds are to be used to publish or distribute any written material developed under this award.



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36. All materials and publications (written, visual, or sound) resulting from award activities shall contain the following statements: "This project was supported by Grant No. _____ awarded by the Office on Violence Against Women, U.S. Department of Justice. The opinions, findings, conclusions, and recommendations expressed in this publication/program/exhibition are those of the author(s) and do not necessarily reflect the views of the Department of Justice, Office on Violence Against Women."
37. The grantee agrees to comply with the applicable requirements of 28 C.F.R. Part 38, the Department of Justice regulation governing "Equal Treatment for Faith Based Organizations" (the "Equal Treatment Regulation"). The Equal Treatment Regulation provides in part that Department of Justice grant awards of direct funding may not be used to fund any inherently religious activities, such as worship, religious instruction, or proselytization. Recipients of direct grants may still engage in inherently religious activities, but such activities must be separate in time or place from the Department of Justice funded program, and participation in such activities by individuals receiving services from the grantee or a sub-grantee must be voluntary. The Equal Treatment Regulation also makes clear that organizations participating in programs directly funded by the Department of Justice are not permitted to discriminate in the provision of services on the basis of a beneficiary's religion.
38. The grantee agrees that grant funds will not support activities that compromise victim safety and recovery, such as: procedures or policies that exclude victims from receiving safe shelter, advocacy services, counseling, and other assistance based on their actual or perceived sex, age, immigration status, race, religion, sexual orientation, gender identity, mental health condition, physical health condition, criminal record, work in the sex industry, or the age and/or sex of their children; pre-trial diversion programs not approved by OVW or the placement of offenders in such programs; mediation, couples counseling, family counseling or any other manner of joint victim-offender counseling; mandatory counseling for victims, penalizing victims who refuse to testify, or promoting procedures that would require victims to seek legal sanctions against their abusers (e.g., seek a protection order, file formal complaint); the placement of perpetrators in anger management programs; or any other activities outlined in the solicitation under which the approved application was submitted.
39. The grantee agrees to submit for OVW review and approval any anticipated addition of, removal of, or change in collaborating partner agencies or individuals who are signatories of the Memorandum of Understanding, and if applicable, the Internal Memorandum of Agreement.
40. Pursuant to 2 CFR §200.315(b), the recipient may copyright any work that is subject to copyright and was developed, or for which ownership was acquired, under this award. The Office on Violence Against Women reserves a royalty-free, nonexclusive and irrevocable right to reproduce, publish or otherwise use the work, in whole or in part (including in the creation of derivative works), for Federal purposes, and to authorize others to do so.

The Office on Violence Against Women also reserves a royalty-free, nonexclusive and irrevocable right to reproduce publish or otherwise use, in whole or in part (including in the creation of derivative works), any work developed by a subrecipient of this award, for Federal purposes, and to authorize others to do so.

In addition, the recipient (or subrecipient, contractor or subcontractor) must obtain advance written approval from the Office on Violence Against Women program manager assigned to this award, and must comply with all conditions specified by the program manager in connection with that approval, before: 1) using award funds to purchase ownership of, or a license to use, a copyrighted work; or 2) incorporating any copyrighted work, or portion thereof, into a new work developed under this award.

It is the responsibility of the recipient (and of each subrecipient, contractor or subcontractor as applicable) to ensure that this condition is included in any subaward, contract or subcontract under this award.

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41. The recipient agrees to comply with applicable requirements to report first-tier subawards of \$25,000 or more and, in certain circumstances, to report the names and total compensation of the five most highly compensated executives of the recipient and first-tier subrecipients of award funds. Such data will be submitted to the FFATA Subaward Reporting System (FSRS). The details of recipient obligations, which derive from the Federal Funding Accountability and Transparency Act of 2006 (FFATA), are posted on the Office on Violence Against Women web site at: <http://www.ovv.usdoj.gov/docs/ffata-award-term.pdf> (Award condition: Reporting Subawards and Executive Compensation), and are incorporated by reference here. This condition, and its reporting requirement, does not apply to grant awards made to an individual who received the award as a natural person (i.e., unrelated to any business or non-profit organization that he or she may own and/or operate in his or her name).
42. The cost allowed for logistical conference planning (this is applicable regardless of whether the recipient is planning in-house or is contracting with an outside conference planner) is limited to \$50 for each attendee (costs of trainers, instructors, presenters and facilitators are to be included as attendees when calculating the planning threshold), not to exceed a cumulative total of \$8,750. For example, if the number of attendees at a conference is 100, the cost allowed for a logistical planner is \$5,000 (\$50 X 100 attendees). Indirect cost rates must be applied to conference planning costs in accordance with negotiated agreements and must be included when calculating the planning thresholds. If it is expected that the conference planning will meet these limitations, no further justification is required. If these limitations are expected to be exceeded, the recipient must justify the costs in writing and those costs must be approved by the Office on Violence Against Women before the recipient proceeds with the logistical planning.
43. The cost allowed for programmatic conference planning (this is applicable regardless of whether the recipient is planning in-house or is contracting with an outside programmatic conference planner) is limited to \$200 for each attendee (costs of trainers, instructors, presenters and facilitators are to be included as attendees when calculating the planning threshold) not to exceed a cumulative cost total of \$35,000. For example, if the number of attendees at the conference is 100, the cost allowed for a programmatic planner is \$20,000 (\$200 X 100 attendees). Indirect cost rates must be applied to conference planning costs in accordance with negotiated agreements and must be included when calculating the planning thresholds. If these limitations are met, no further justification or approval is required. If it is expected that these limitations will be exceeded, the costs must be justified in writing and approved by the Office on Violence Against Women before the recipient proceeds with the programmatic planning.
44. Recipients must limit the cost of conference space and audio-visual equipment to \$25 per day per attendee, not to exceed a total of \$20,000 for the conference. Indirect cost rates must be applied to conference space and audio-visual equipment costs in accordance with negotiated agreements, and must be included when calculating this threshold. If these limitations are going to be exceeded the recipient must submit a justification, in writing to the Office on Violence Against Women for approval before the recipient enters into any contract for the use of conference space and audio-visual equipment.
45. Trinkets (items such as hats, mugs, portfolios, t-shirts, coins, etc., regardless of whether they include the conference name or logo) must not be purchased with funds made available under this agreement. Basic supplies that are necessary for use during the conference (e.g., pens, paper, name tags) may be purchased.
46. Funds made available under this agreement may not be used for costs of entertainment, including amusement, diversion, social activities and any costs directly associated with such costs (such as tickets to shows or sports events, meals, lodging, rentals, transportation, and gratuities).



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47. Subject to OVW prior approval, and under limited circumstances, OVW funds may be used to purchase food and/or beverages for meals served during a meeting, conference or training and under very specific circumstances, during refreshment breaks. Refreshment breaks will only be considered where there are unique and extenuating circumstances and require significant justification. OVW may approve the use of funds to purchase food and/or beverages served at a working meal if the recipient can justify that provision of the meal is necessary to accomplish official business and enhance the cost effectiveness of the conference. For example, a meal may be permissible where the conference would need to be extended if the working meal is not provided.

Furthermore, if a meal is approved by OVW, the cost of any individual meal, plus taxes and any hotel service costs (e.g., labor cost for room setup), must not exceed 150 percent of the General Services Administration (GSA) Meals and Incidental Expenses (M&IE) rate for that meal in that locality per attendee. OVW strongly encourages costs to stay at or below 100% of the applicable per diem rate for any meal provided, including any service costs. The current GSA M&IE rate breakdown by meal and by locality can be found at <http://www.gsa.gov/portal/content/101518>. This restriction does not impact direct payment of per diem amounts to individuals in a travel status under your organizations travel policy.

48. The recipient must complete and submit the Conference and Events Approval Form to OVW for review and approval prior to entering into any contract (with the exception of logistical or programmatic planning contracts) or expending any funds for any meeting, conference, training, or other event.
49. Within 30 days after the end of any conference, meeting, retreat, seminar, symposium, training activity, or similar event funded, in whole or in part, under this award, and the total cost of which exceeds \$20,000 in award funds, the recipient must provide the program manager with a completed Conference and Events Reporting Form found at <http://www.ovw.usdoj.gov/receive-grant.html>. (Note that the conference expenditures reported on this form should be all those that were paid with OVW funds; do not report those that were funded by another entity.)
50. Grant funds may not be used to directly address child abuse, or other family violence issues such as violence perpetrated by a child against a parent, or violence perpetrated by a sibling against another sibling. Grant funds also may not be used for caregiver abuse of elders and other vulnerable adults.
51. The grantee agrees that grant funds will be used to address services for children only in cases linked to services provided to a victim of domestic violence, sexual assault, stalking or dating violence unless the child receiving services is a victim of sexual assault and the grant project has been specifically approved to address child sexual assault.
52. The grantee agrees that no more than 30% of project activities and budget will be dedicated to Rural Program Purpose Area 3.
53. The grantee agrees that funds will only be used to carry out programs serving rural areas or rural communities as defined by 42 USC section 13925(a)(26), and as documented in the final application project narrative. By statute a rural area is (a) any area or community, respectively, no part of which is within an area designated as a standard metropolitan statistical area by the Office on Management and Budget; or (b) any area or community, respectively, that is i) within an area designated as a metropolitan statistical area or considered as part of a metropolitan statistical area; and ii) located in a rural census tract.
54. The grantee agrees that funds will not be used for prevention activities (e.g., outreach to elementary and secondary schools, implementation of educational programs regarding domestic and dating violence intervention, and public awareness campaigns). The grantee may use funds to provide outreach regarding the specific services offered under the grant.



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SPECIAL CONDITIONS

55. TERMS OF COOPERATIVE AGREEMENT

The Office on Violence Against Women (OVW) has elected to enter into a Cooperative Agreement with the New Hampshire Department of Justice (NHDOJ) to participate in the Sexual Assault Justice Initiative (SAJI). SAJI is a special initiative that will develop performance measures for sexual assault prosecution that look beyond conviction rates and correspond to recommended practices for a victim-centered prosecutorial response. The decision to partner with NHDOJ reflects a strong mutual interest in improving the justice system's response to sexual assault. Furthermore, OVW anticipates a significant level of federal involvement in planning and implementing this project.

STATEMENT OF FEDERAL INVOLVEMENT

The Office on Violence Against Women will:

1. Provide the services of a Federal Program Manager as a single point of contact for administration of this cooperative agreement.
2. Review and approve or disapprove the content and format of materials produced in conjunction with this project.
3. Collaborate closely with the recipient—as well as the technical assistance provider and the project evaluator—to plan and implement the Sexual Assault Justice Initiative (SAJI).
4. Participate in, and monitor the progress of, all project activities.
5. Monitor program development and implementation, and fulfill an oversight function regarding the project, including:
 - a) participating in project-related planning meetings and conference calls;
 - b) reviewing and approving or disapproving all written and web-based materials produced in relation to this project;
 - c) assisting in the identification of individuals to serve as trainers or consultants, and approving final selections;
 - d) approving sites and dates for all project-related activities;
 - e) providing input, re-directing the project as needed, and actively monitoring the project by methods including, but not limited to, ongoing contact with the recipient; and
 - f) approving or disapproving any modifications to the project scope, key project partners, deliverables, and timeline for all project-related activities, including but not limited to substantive changes to previously-approved materials.



Department of Justice
Office on Violence Against Women

**AWARD CONTINUATION
SHEET**
Cooperative Agreement

PAGE 11 OF 12

PROJECT NUMBER 2016-SI-AX-K001

AWARD DATE 01/07/2016

SPECIAL CONDITIONS

56. TERMS OF COOPERATIVE AGREEMENT

STATEMENT OF RECIPIENT RESPONSIBILITIES

The New Hampshire Department of Justice (NHDOJ) and its project partners will comply with all terms and conditions in this cooperative agreement, including those described below.

1. Work in collaboration with project partners--the County Attorneys' Offices in Grafton, Coos, Sullivan, Carroll, Cheshire and Belknap Counties; the New Hampshire State Police, Troop F; and local sexual assault victim services providers in the targeted jurisdiction(s)--to implement best and promising practices for prosecuting sexual assault, and enhance the the coordinated response to sexual assault in the target jurisdictions. Ensure that project partners have meaningful opportunities to participate in the development and implementation of the project and are compensated for their involvement, as appropriate.
2. Adopt the SAJI performance measures. Ensure that the recipient has the necessary staffing and systems in place to track performance measures.
3. Participate in OVW-sponsored technical assistance, which will be delivered through in-person and web-based methods.
4. Participate fully in the evaluation of the SAJI.
5. Provide training for prosecutors in the six counties.
6. Fund a prosecution consultant that will also serve as the project coordinator, and a State Police investigation consultant to assist in implementing best practices for investigating sexual assault.
7. Establish formal partnerships with, and allot funds for, local sexual assault victim services providers to offer advocacy before and during court proceedings.
8. Ensure funding for the participating counties to develop, collect, monitor, and use performance measures.
9. Work cooperatively with OVW in the development and implementation of this project, including providing a meaningful opportunity for OVW staff to:
 - a) participate in project-related planning meetings and conference calls;
 - b) review and approve or disapprove all written and web-based materials produced in relation to this project;
 - c) approve the content and format of all materials in the development, editorial and final stages;
 - d) assist in the identification of individuals to serve as consultants or trainers, and approve final selections;
 - e) approve sites, dates, and agendas for all project-related activities; and
 - f) approve or disapprove any modifications to the project scope, key project partners, deliverables, and timeline for all project-related activities, including, but not limited to, substantive changes to previously approved materials.
10. Participate in OVW-sponsored meetings and trainings. Funds designated for participation in OVW TA provider meetings cannot be used to support other travel without the express permission of the OVW program manger through the issuance of a Grant Adjustment Notice.

KC 1/8/16



Department of Justice
Office on Violence Against Women

**AWARD CONTINUATION
SHEET**
Cooperative Agreement

PAGE 12 OF 12

PROJECT NUMBER 2016-SI-AX-K001

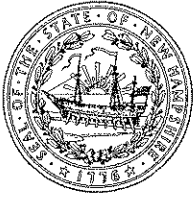
AWARD DATE 01/07/2016

SPECIAL CONDITIONS

57. The recipient's budget is pending review and approval. The recipient may obligate, expend and draw down funds for travel related expenses to attend OVW-sponsored technical assistance events up to \$10,000, unless there is another condition on the award prohibiting obligation, expenditure, and drawdown of any funds in which case the condition prohibiting any obligation, expenditure or drawdown of funds will control. Remaining funds will not be available for draw down until the Office on Violence Against Women, Grants Financial Management Division has approved the budget and budget narrative, and a Grant Adjustment Notice has been issued removing this special condition. Any obligations or expenditures incurred by the recipient prior to the budget being approved are made at the recipient's own risk. If applicable, the Indirect Cost Rate will be identified in the Grant Adjustment Notice when the budget is approved.

STATE OF NEW HAMPSHIRE DEPARTMENT OF JUSTICE GRANT AWARD		
Agency Name:	Dept. of Safety-State Police	Vendor No.: 177878-B001
Program Name:	Sexual Assault Investigative Services	Amount: \$ 369,008.00
Grant Start Date:	Pending	State Grant Number: 2016SAJI03
Grant End Date:	06/30/2017	Federal Grant Number: 2016-SI-AX-K001
Appropriation No.:	02-20-20-201510-5202-072-500574	
Head of Agency	Project Director	Fiscal Officer
John J. Barthelmes Safety Commissioner 33 Hazen Drive Concord, NH 03305 603-223-3856	Lieutenant Scott Gilbert Commander, Major Crimes Dept. of Safety 33 Hazen Drive Concord, NH 03305	Administrator III Department of Safety Division of State Police Office (603)223-8355 Fax (603)271-0336
Federal Grant Name:	Sexual Assault Justice Initiative	
Federal Agency:	United States Department of Justice	
Bureau/Office:	Office of Justice Programs	
CFDA Number:	16.017	
Purpose of Grant:	Sexual Assault Investigative Services	
Program Requirements:	Adherence to Program Conditions and Guidelines.	
Match Requirements:	Match must be spent on program allowable activities.	
Program income Requirements:	Program Income must be reported and spent on program allowable activities.	
Reporting Requirements:	Monthly or quarterly Financial reports. Required Performance reports and audit. Adherence to Program Conditions and Guidelines. Completion of Monitoring forms and processes.	
Approval	Program Agency	NH Department of Justice
Name	John J. Barthelmes	Kathleen B. Carr
Title	Safety Commissioner	Director of Administration
Date		
All terms of this grant award are not valid unless signed by both authorized parties.		

STATE OF NEW HAMPSHIRE DEPARTMENT OF JUSTICE GRANT AWARD		
Agency Name:	NHCADSV	Vendor No.: 155510-B001
Program Name:	Sexual Assault Services	Amount: \$ 14,700.00
Grant Start Date:	Pending	State Grant Number: 2016SAJ101
Grant End Date:	06/30/2017	Federal Grant Number: 2016-SI-AX-K001
Appropriation No.:	02-20-20-201510-5202-072-500575	
Head of Agency	Project Director	Fiscal Officer
Lyn Schollett Executive Director PO Box 353 Concord, NH 03302 603-224-8893	Program Director PO Box 353 Concord, NH 03302 603-224-8893	Pamela English Administrative Director PO Box 353 Concord, NH 03302 603-224-8893
Federal Grant Name:	Sexual Assault Justice Initiative	
Federal Agency:	United States Department of Justice	
Bureau/Office:	Office of Justice Programs	
CFDA Number:	16.017	
Purpose of Grant:	Sexual Assault Services to Victims	
Program Requirements:	Adherence to Program Conditions and Guidelines.	
Match Requirements:		
Program income Requirements:		
Reporting Requirements:	Monthly or quarterly Financial reports. Required Performance reports and audit. Adherence to Program Conditions and Guidelines. Completion of Monitoring forms and processes.	
Approval	Program Agency	NH Department of Justice
Name	Lyn Schollett	Kathleen B. Carr
Title	Executive Director	Director of Administration
Date		
All terms of this grant award are not valid unless signed by both authorized parties.		



FIS 16 052

STATE OF NEW HAMPSHIRE
DEPARTMENT OF HEALTH AND HUMAN SERVICES
OFFICE OF MEDICAID BUSINESS AND POLICY

Jeffrey A. Meyers
Acting Commissioner
Kathleen A. Dunn
Associate Commissioner

129 PLEASANT STREET, CONCORD, NH 03301-3857
603-271-9422 1-800-852-3345 Ext. 9422
Fax: 603-271-8431 TDD Access: 1-800-735-2964 www.dhhs.nh.gov

February 25, 2016

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
State House
Concord, New Hampshire 03301

REQUESTED ACTION

Pursuant to RSA 14:30-a,VI, Additional Revenues, authorize the Department of Health and Human Services, Office of Business and Policy, to amend Fiscal Item #15-034, originally approved February 19, 2015, (Governor and Council Item #11, approved April 8, 2015), the acceptance of additional grant funds entitled "Adult Medicaid Quality grant," from the United States Department of Health and Human Services, Center for Medicare and Medicaid Services (DHHS/CMS), by retroactively extending the end date from June 30, 2015 to a new end date of December 20, 2016, and additionally to authorize the reallocation of appropriations between expenditure classes in the amount of \$196,191 to allow for the best utilization of available federal funds for executing the Medicaid Quality Program at the state level, effective upon Fiscal Committee and Governor and Council approval.

Funding source: 100% Federal Funds.

05-95-47-470010-7946, HEALTH AND SOCIAL SERVICES, HEALTH AND HUMAN SVCS DEPT OF HHS: OFC OF MEDICAID & BUS PLCY, OFF. OF MEDICAID & BUS POLICY, AFFORDABLE CARE ACT

Table with 5 columns: CLASS OBJ, CLASS TITLE, CURRENT AUTHORIZED BUDGET, INCREASE/DECREASE, REVISED MODIFIED BUDGET. Rows include Federal Funds and General Fund entries, totaling \$1,066,268.

CLASS OBJ	CLASS TITLE	CURRENT AUTHORIZED BUDGET	INCREASE/ (DECREASE)	REVISED MODIFIED BUDGET
010-500100	Personal Services - Perm. Class	\$276,986	\$0	\$276,986
020-500200	Current Expenses	\$21,818	\$5,000	\$26,818
030-500300	Equipment	\$0	\$5,000	\$5,000
041-500801	Audit Set Aside	\$6,912	\$0	\$6,912
060-500600	Benefits	\$357,227	(\$196,191)	\$161,036
067-500557	Training	\$0	\$3,200	\$3,200
070-500704	In-State Travel Reimbursement	\$1,539	\$0	\$1,539
080-500714	Out-of State Travel Reimbursement	\$0	\$1,000	\$1,000
101-500729	Medical Payments to Providers	\$0	\$0	\$0
102-500731	Contracts for Program Services	\$401,786	\$181,991	\$583,777
	Total Expenditures	\$1,066,268	\$0	\$1,066,268

EXPLANATION

The Department of Health and Human Services, Office of the Commissioner requests a retroactive, no-cost grant date extension for the Adult Medicaid Quality Grant. The Adult Medicaid Quality Grant is a competitive, federally funded initiative from the Center for Medicare and Medicaid Services (CMS) to assist states in building quality oversight to manage the state's Medicaid Programs. The Adult Medicaid Quality Grant program has three goals: 1) test and evaluate methods for data collection to measure the quality of the Medicaid programs. 2) develop staff capacity to monitor and improve the quality of the Medicaid programs and 3) conduct quality improvement projects related to CMS Adult Core Focus areas.

Through this grant, the Department has been able to establish the New Hampshire Medicaid Quality Program that produces information to support policy development, public reporting, and program management while leading quality improvement and assurance initiatives.

The Adult Medicaid Quality grant was originally a 3-year project from December of 2012 through December 2015, which included a 1-year no cost extension. CMS offered grantees the option of a second no cost extension of the grant for one additional year. New Hampshire applied and received approval from the CMS program office and final approval from the CMS Office of Acquisition and Grants Management for the second no cost extension on December 22, 2015 for the twelve month period through December 20, 2016.

Consistent with CMS' approval of the first no-cost extension, the Department brought forth FIS 15-034 which requested and was approved for an extension and re-alignment of the grant funding through June 30, 2015. At the time of submission of FIS 15-034, the Department had originally requested that the extension be granted through December 22, 2015 as that was the end date granted by CMS. Anticipating that CMS might approve a second no-cost extension in December 2015, the plan was to submit a new a fiscal item to add the extension through December 20, 2016. The request to have FIS 15-034 cover the time period through December 22, 2015 was denied as it went beyond the end of SFY 2015. However in the explanation of FIS 15-034 it is clear that the intent of the Department was to seek the authority to extend the expenditure of the grant funds through December 22, 2015, not June 30, 2015.

The inconsistency in dates in FIS 15-034 was discovered in December 2015 when the Department went to submit another fiscal item for an approved second no-cost extension from CMS for the AQM grant. With the discovery

The Honorable Neal M. Kurk, Chairman, and
Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council

February 25, 2016

Page 3 of 4

of this inconsistency, the Department is now mandated to request retroactive approval of the no-cost extension back to July 1, 2015 through December 20, 2016.

In addition to seeking retroactive approval of a second no-cost extension of the AQM grant, the Department is also requesting a realignment of the funds within the appropriation.

DHHS is transferring benefit funds as the Department initially anticipated but subsequently did not hire new project staff within the original timeframes of the grant resulting in a surplus of funds in the benefits line. This transfer of appropriations is required for New Hampshire to complete the work of the Adult Medicaid Quality Grant by utilizing the remaining 100% federal funds.

Funds are requested to be transferred to Current Expenses (Class 020) for quality manuals and materials needed for reporting measures required by the grant.

Funds are requested to be transferred to Equipment (Class 030) for purchase of computer monitors that are capable of supporting large excel spreadsheets and the Medicaid Quality Initiative System website.

Funds are requested to be transferred to Training (Class 067) for quality staff to attend LEAN trainings offered by the Division of Personnel to assure the collection and reporting of data is as efficient as possible.

Funds are requested to be transferred to Out-of-state travel (Class 080) for quality staff to attend required out-of-state conferences and trainings.

Funds are budgeted for Contracts for Program Services (Class 102) for a contract amendment to further develop the Medicaid Quality Information System, a web-based application allowing transparent reporting of Medicaid data. When fully operational, this system will be used by internal and external stakeholders to inform policy decisions and program management to assure the quality and efficiency of the NH Medicaid.

The following information is provided in accordance with the Budget Officer's instructional memorandum dated April 17, 1985 to support the above requested actions:

- A. Justification: As noted above, this transfer of existing funds is necessary to continue the data consolidation service efforts.
- B. Does transfer involve continuing programs or one-time projects? This transfer involves the continuation of a one-time project of completing the work of the grant, to include the completion of the data system to be used to monitor the quality of the Medicaid programs.
- C. Is this transfer required to maintain existing program level or will it increase the program level? This transfer is required to maintain and complete the work of an existing grant.
- D. Cite any requirements which make this program mandatory. This program has been federally approved by enabling Congressional language and is being 100% funded by a federal award. The additional funds are needed to complete tasks committed to by the State to CMS
- E. Identify the source of funds on all accounts listed on this transfer. 100% federal funds.
- F. Will there be any effect on revenue if this transfer is approved or disapproved? There will be no impact on revenue.

- G. Are funds expected to lapse if this transfer is not approved? Yes, funds will lapse to CMS if this transfer is not approved.
- H. Are personnel services involved? No personnel services are impacted by this transfer.

H-1: Number of positions budgeted in each account: Not applicable

H-2: Number of positions filled in each account. Not applicable

H-3: Reason for vacant positions: Not applicable

H-4: Have any positions been transferred previously in these accounts? Not applicable

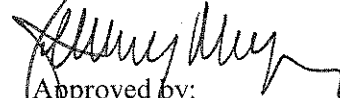
H-5: Will any positions be transferred as a result of this request? Not applicable
Geographic area served: Statewide

Source of Funds: These are 100% Federal Funds Adult Medicaid Quality Grant awarded to the New Hampshire Department of Health and Human Services.

Respectfully submitted,



Kathleen A. Dunn, MPH
Associate Commissioner



Approved by:
Jeffrey A. Meyers
Commissioner



STATE OF NEW HAMPSHIRE
 DEPARTMENT OF HEALTH AND HUMAN SERVICES
 OFFICE OF MEDICAID BUSINESS AND POLICY

Nicholas A. Toumpas
 Commissioner

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Kathleen A. Dunn
 Associate Commissioner

January 9, 2015

The Honorable Neal M. Kurk, Chairman
 Fiscal Committee of the General Court

Her Excellency, Governor Margaret Wood Hassan
 and the Honorable Council
 State House
 Concord, New Hampshire 03301

REQUESTED ACTION

1) Pursuant to RSA 14:30-a, VI, Additional Revenues, authorize the Department of Health and Human Services, Office of Medicaid Business and Policy, to accept and expend additional federal funds from the United States Department of Health and Human Services, Centers for Medicare and Medicaid Services in the amount of \$2,932,930.00 effective upon approval by the Fiscal Committee and Governor and Council through June 30, 2015, and further authorize the allocation of these funds in the account below. 100% Federal Funds.

2) Pursuant to Chapter 3:7, II, Laws of 2014, Transfer Among Accounts, authorize the Department of Health and Human Services, Office of the Commissioner, to transfer \$301,672.86 within federal grants entitled "Adult Medicaid Quality Grant," from the United States Department of Health and Human Services, Center for Medicare and Medicaid Services. This request for transfer of funds is to re-align appropriated funds for the purpose of executing the mission of the Medicaid Quality Program at the state level, effective upon Fiscal Committee, and Governor and Executive Council, approvals through June 30, 2015, and further authorize the allocation of these funds in the account below. 100% Federal Funds.

05-95-47-470010-7946, HEALTH AND SOCIAL SERVICES, HEALTH AND HUMAN SVCS DEPT OF HHS: OFC OF MEDICAID & BUS PLCY, OFF. OF MEDICAID & BUS POLICY, AFFORDABLE CARE ACT

CLASS OBJ	CLASS TITLE	CURRENT AUTHORIZED BUDGET	INCREASE/ DECREASE	REVISED MODIFIED BUDGET
000-400146	Federal Funds	\$8,445.00	\$0.00	\$8,445.00
000-400388	Federal Funds	\$663,458.24	\$0.00	\$663,458.24
000-403978	Federal Funds	\$10,962,735.25	\$2,932,930.00	\$13,895,665.25
	General Funds	\$111,380.61	\$0.00	\$111,380.61
	Total Revenue	\$11,746,019.10	\$2,932,930.00	\$14,678,949.10

CLASS OBJ	CLASS TITLE	CURRENT AUTHORIZED BUDGET	INCREASE/ DECREASE	REVISED MODIFIED BUDGET
010-500100	Personal Services - Perm. Class	\$743,139.25	(\$301,672.86)	\$441,466.39
020-500200	Current Expenses	\$46,879.09	\$0.00	\$46,879.09
030-500300	Equipment	\$6,455.71	\$0.00	\$6,455.71
041-500801	Audit Set Aside	\$18,448.40	\$2,930.00	\$21,378.40
060-500600	Benefits	\$426,169.40	\$0.00	\$426,169.40
070-500705	In-State Travel Reimbursement	\$1,571.00	\$0.00	\$1,571.00
101-500729	Medical Payments to Providers	\$9,716,598.00	\$2,930,000.00	\$12,646,598.00
102-500731	Contracts for Program Services	\$786,758.25	\$301,672.86	\$1,088,431.11
Total Expenditures		\$11,746,019.10	\$2,932,930.00	\$14,678,949.10

EXPLANATION

Requested Action #1

The NH Department of Health and Human Services, Medicaid Program, under Section 1202 of the Affordable Care Act, is required to increase primary care reimbursement to parity with Medicare for calendar years 2013 and 2014. The Affordable Care Act implements Medicaid payment for primary care services furnished by certain physicians in calendar years 2013 and 2014 at rates not less than the Medicare rates in effect in those calendar years. This minimum payment level applies to specified primary care services furnished by a physician with a specialty designation of family medicine, general internal medicine, or pediatric medicine, and also applies to services paid through Medicaid managed care plans. It is a 100 percent federal matching rate for any increase in payment above the amounts that would be due for these services under the provisions of the State plan as of July 1, 2009. The rate increase is the difference between the enhanced rate and the 2009 NH fee for service rate.

Acceptance of these additional federal funds is needed in order to process payment for the final quarterly payment ending December 31, 2014.

- Class 041 The funds will be used to pay audit fund set aside expense.
- Class 101 The funds will be used to make the primary care reimbursement as referenced herein.

In response to the anticipated two-part question, "Can these funds be used to offset general funds?" and "What is the compelling reason for not offsetting general funds?" The Department offers the following information: These 100% federal cannot be used to offset general funds, as they are restricted to the activities referenced herein. Should the request be denied, the funds in question must be returned to the Federal Government and the State of New Hampshire will be out of compliance with Federal requirements.

Requested Action #2

The Department of Health and Human Services seeks approval to transfer funds between class codes to continue building a quality oversight program, under the Adult Medicaid Quality grant project, to manage the Department's Medicaid Programs. The Centers for Medicare and Medicaid Services originally awarded the Adult Medicaid Quality grant to the Department for the period of December 2012 through December 2014. The Department received approval from the Centers of Medicare and

The Honorable Neal M. Kurk, Chairman, and
Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council

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Medicaid Services Office of Acquisition and Grants Management on December 4, 2014 for a twelve month no cost extension through December 20, 2015. See attached grant award.

The Department was unable to hire all the projected staff within the original timeframes of the grant resulting in unspent funding on salaries and benefits. With the extension of the grant period, the Department is requesting the unspent grant funds to be transferred to the contract class code (Class 102) in State Fiscal Year 2015 to continue the Quality Oversight project by:

- 1) Completing the Medicaid Quality Information System, a web-based application allowing transparent reporting of Medicaid data. When fully operational, this system will be used by internal and external stakeholders to inform policy decisions and program management to assure the quality and efficiency of the New Hampshire Medicaid Programs; and
- 2) Calculating the quality measures required by the Centers for Medicare and Medicaid Services.

The following information is provided in accordance with the Budget Officer's instructional memorandum dated April 17, 1985 to support the above requested actions:

- A. Justification: As noted above, this transfer of existing funds is necessary to continue the data consolidation service efforts.
- B. Does transfer involve continuing programs or one-time projects? This transfer involves the continuation of a one-time project of completing the work of the grant, to include the completion of the data system to be used to monitor the quality of the Medicaid programs, and to calculate the quality measures required by Centers for Medicare and Medicaid Services.
- C. Is this transfer required to maintain existing program level or will it increase the program level? This transfer is required to maintain and complete the work of an existing grant.
- D. Cite any requirements which make this program mandatory. There are no requirements that make this grant mandatory. However, this program has been federally approved by enabling Congressional language and is being 100% funded by a federal award.
- E. Identify the source of funds on all accounts listed on this transfer. 100% federal funds.
- F. Will there be any effect on revenue if this transfer is approved or disapproved? There will be no impact on revenue.
- G. Are funds expected to lapse if this transfer is not approved? Yes, funds will lapse if this transfer is not approved.
- H. Are personnel services involved? No personnel services are impacted by this transfer.
- H-1: Number of positions budgeted in each account: Not applicable
- H-2: Number of positions filled in each account. Not applicable
- H-3: Reason for vacant positions: Not applicable
- H-4: Have any positions been transferred previously in these accounts? Not applicable
- H-5: Will any positions be transferred as a result of this request? Not applicable

The Honorable Neal M. Kurk, Chairman, and
Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
Page 4 of 4

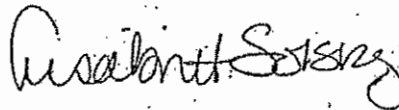
Geographic area served: Statewide

Source of Funds:

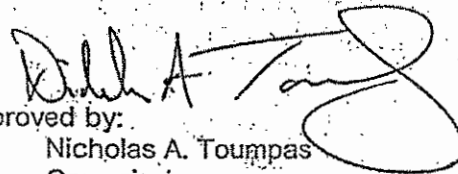
Requested Action #1: 100% Federal Funds from the United States Department of Health and Human Services, Centers for Medicare and Medicaid Services.

Requested Action #2: 100% Federal Funds from the United States Department of Health and Human Services, Center for Medicare and Medicaid Services, Adult Medicaid Quality Grant.

Respectfully submitted,



for Kathleen A. Dunn, MPH
Associate Commissioner



Approved by:
Nicholas A. Toumpas
Commissioner

1. DATE ISSUED MM/DD/YYYY 12/04/2014	2. CFDA NO. 93.609	3. ASSISTANCE TYPE Project Grant
1a. SUPERSEDES AWARD NOTICE dated 12/20/2013 except that any additions or restrictions previously imposed remain in effect unless specifically rescinded		
4. GRANT NO. 1F1CMS331103-01-02 Formerly	5. ACTION TYPE Post Award Amendment	
6. PROJECT PERIOD From MM/DD/YYYY 12/21/2012	Through MM/DD/YYYY 12/20/2015	
7. BUDGET PERIOD From MM/DD/YYYY 12/21/2012	Through MM/DD/YYYY 12/20/2015	

Department of Health and Human Services
Centers for Medicare & Medicaid Services
Office of Acquisitions and Grants Management
7500 Security Boulevard
Baltimore, MD 21244

NOTICE OF AWARD
AUTHORIZATION (Legislation/Regulations)
Affordable Care Act, Section 2701, Adult Health Quality Measures

4. TITLE OF PROJECT (OR PROGRAM)
Adult Medicaid Quality

9a. GRANTEE NAME AND ADDRESS
New Hampshire Dept of Health & Human Services
129 Pleasant St
Medicaid Business and Policy
Concord, NH 03301-3852

10a. GRANTEE AUTHORIZING OFFICIAL
Valerie Brown
129 Pleasant Street
Medicaid Business and Policy
Concord, NH 03301
Phone: (603) 271-9434

9b. GRANTEE PROJECT DIRECTOR
Mr. Patrick McGowan
129 Pleasant St
Concord, NH 03301-3852
Phone: 603-271-9534

10b. FEDERAL PROJECT OFFICER
Virginia Roney
7500 Security Boulevard
Baltimore, MD 21244-null
Phone: 410-786-6117

ALL AMOUNTS ARE SHOWN IN USD

11. APPROVED BUDGET (Excludes Direct Assistance)	
I Financial Assistance from the Federal Awarding Agency Only	
II Total project costs including grant funds and all other financial participation <input checked="" type="checkbox"/>	
a. Salaries and Wages	114,673.14
b. Fringe Benefits	59,631.00
c. Total Personnel Costs	174,304.14
d. Equipment	15,000.00
e. Supplies	7,400.00
f. Travel	13,272.00
g. Construction	0.00
h. Other	87,080.00
i. Contractual	1,685,887.86
j. TOTAL DIRECT COSTS	1,982,944.00
k. INDIRECT COSTS	0.00
l. TOTAL APPROVED BUDGET	1,982,944.00
m. Federal Share	1,982,944.00
n. Non-Federal Share	0.00

12. AWARD COMPUTATION	
a. Amount of Federal Financial Assistance (from item 11m)	1,982,944.00
b. Less Unobligated Balance From Prior Budget Periods	0.00
c. Less Cumulative Prior Award(s) This Budget Period	1,982,944.00
d. AMOUNT OF FINANCIAL ASSISTANCE THIS ACTION	0.00
13. Total Federal Funds Awarded to Date for Project Period	1,982,944.00

14. RECOMMENDED FUTURE SUPPORT (Subject to the availability of funds and satisfactory progress of the project)			
YEAR	TOTAL DIRECT COSTS	YEAR	TOTAL DIRECT COSTS
a. 2		d. 5	
b. 3		e. 6	
c. 4		f. 7	

15. PROGRAM INCOME SHALL BE USED IN ACCORD WITH ONE OF THE FOLLOWING ALTERNATIVES:

a. DEDUCTION	<input checked="" type="checkbox"/>
b. ADDITIONAL COSTS	
c. MATCHING	
d. OTHER RESEARCH (Add / Deduct Option)	
e. OTHER (See REMARKS)	

16. THIS AWARD IS BASED ON AN APPLICATION SUBMITTED TO, AND AS APPROVED BY, THE FEDERAL AWARDING AGENCY OR THE ABOVE TITLED PROJECT AND IS SUBJECT TO THE TERMS AND CONDITIONS INCORPORATED EITHER DIRECTLY OR BY REFERENCE IN THE FOLLOWING:

a. The grant program legislation
b. The grant program regulations
c. This award notice including terms and conditions, if any, noted below under REMARKS.
d. Federal administrative requirements, cost principles and audit requirements applicable to this grant.

In the event there are conflicting or otherwise inconsistent policies applicable to the grant, the above order of precedence shall prevail. Acceptance of the grant terms and conditions is acknowledged by the grantee when funds are drawn or otherwise obtained from the grant payment system.

REMARKS (Other Terms and Conditions Attached - Yes No)

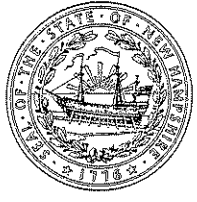
This Notice of Award approves the Key Personnel staff changes, the revised budget narrative, and the 12 month No cost extension per the grantee's request. Please feel free to contact our office with any questions or concerns.

GRANTS MANAGEMENT OFFICER: Michelle Peagins, Grants Management Officer

17. OBJ CLASS 41405	18a. VENDOR CODE 1026000618E5	18b. EIN 026000618	19. DUNS 011040545	20. CONG. DIST. 02
FY-ACCOUNT NO.	DOCUMENT NO.	ADMINISTRATIVE CODE	AMT ACTION FIN ASST	APPROPRIATION
21. a. 4-5991064	b. AMQG131103	c. AMQG	d. \$0.00	e. 7530509
22. a.	b.	c.	d.	e.
23. a.	b.	c.	d.	e.

SMW

FIS 16 036



STATE OF NEW HAMPSHIRE
DEPARTMENT OF CORRECTIONS
DIVISION OF ADMINISTRATION

William L. Wrenn
Commissioner

Bob Mullen
Director

P.O. BOX 1806
CONCORD, NH 03302-1806

603-271-5610 FAX: 603-271-5639
TDD Access: 1-800-735-2964

February 9, 2016

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court
State House
Concord, New Hampshire 03301

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Executive Council
State House
Concord, New Hampshire 03301

REQUESTED ACTION

1. Pursuant to RSA 124:15, and contingent on the approval of request number two, authorize the NH Department of Corrections to establish one (1) temporary part-time Victim Witness Specialist, Labor Grade 26, in class 050, for the purpose of the Victim Offender Dialogue Coordinator/Trainer for the NH Department of Corrections Victim Services Division in support of the Victims of Crime Act sub grant, effective upon Fiscal Committee and Governor and Executive Council approval through June 30, 2016. 100% Agency Income (federal funds at origin).
2. Authorize the NH Department of Corrections to accept and expend funds made available through a Victims of Crime Act sub grant from the NH Department of Justice in the amount of \$16,512.00 for assistance to crime victims and their families, effective from the date of the Governor and Executive Council approval through June 30, 2016. 100% Agency Income (federal funds at origin).

Funds are to be budgeted in an account, Victims Services Coordinator, Accounting Unit 02-46-46-460510-83380000 as follows:

Grant Title: Victims of Crime Act (VOCA)		SFY 2016	REQUESTED	SFY 2016
Account:	Description:	CURRENT	ACTION	ADJUSTED
010-500100	Personal Services Perm	161,402.00	0.00	161,402.00
020-500200	Current Expense	2,457.00	0.00	2,457.00
026-500251	Organizational Dues	650.00	0.00	650.00
039-500190	Telecommunications	205.00	0.00	205.00
042-500620	Additional Fringe Benefits	10,762.00	0.00	10,762.00
050-500109	Personal Services-Temp	0.00	14,900.00	14,900.00
060-500602	Benefits	74,313.00	612.00	74,925.00
066-500546	Employee Training	500.00	0.00	500.00
070-500704	In-State Travel	642.00	0.00	642.00
080-500710	Out Of State Travel	800.00	1,000.00	1,800.00
102-500731	Contracts For Program Services	14,500.00	0.00	14,500.00
Appropriations		266,231.00	16,512.00	282,743.00
Source of Funds:				
009-406390	Agency Income	(142,688.00)	(16,512.00)	(159,200.00)
	General Funds	(123,543.00)	0.00	(123,543.00)
Total Funds		(266,231.00)	(16,512.00)	(282,743.00)

EXPLANATION

Each state fiscal year the New Hampshire Department of Corrections (NHDOC) applies for a Victims of Crime Act (VOCA) sub grant administered by the New Hampshire Department of Justice (NHDOJ) to provide services to New Hampshire's victims of crime. This federal grant requires state match equivalent to 25% of federal funds or 20% of the budget. The match requirement may be met utilizing cash match, in-kind match, or a combination thereof. The SFY 2016 VOCA sub grant award could potentially supply a maximum of 80% of Salary and Benefits for the NHDOC Administrator of Victim Services position and provide a maximum of 90% of the Salary and Benefits cost for the Program Assistant's position, 100% of the Salary and Benefits for the requested temporary part-time Victim Witness Specialist position, a portion of Current Expense, and Out of State Travel for the Administrator of Victim Services or designee to attend annual victim services conference/training. General Fund appropriations will be utilized, in part, to fund the full-time Victim Witness Specialist position specifically assigned to the successful prevention and effective response to reports of sexual assault within the correctional facilities and General Funds also subsidizes the required VOCA match. The remaining match requirement will be met with In Kind Match.

The VOCA grant provides ongoing direct services and other activities necessary to ensure quality direct services for crime victims and survivors, including:

- > Notification of offender status changes
- > Advocacy and support during reduced custody processes
- > Accompaniment at Parole Board hearings
- > Reasonable protection from offender intimidation and harassment
- > Victim-offender dialogue (upon victim request)
- > Restitution advocacy
- > Public awareness and outreach
- > Information and referral
- > Evaluation of victim satisfaction with corrections-based services

The VOCA sub grant was included in the NH Department of Corrections SFY16 budget in the amount of \$142,688.00. This request to accept and expend will realign the budget to the SFY16 VOCA award of \$159,200.00 and establish one (1) temporary part-time Victim Witness Specialist position. This position's established responsibilities will be as the Victim Offender Dialogue Coordinator/Trainer. Victim Offender Dialogue (VOD) is the means by which a victim/survivor initiated request to meet constructively with their offender to assist in recovery and healing. The role of the VOD facilitator is to provide a safe and structured format for the victim to have a conversation with the offender which may include a discussion of the crime and feelings related to the offender's victimizing behavior.

The requested revised appropriations are to be expended as follows:

Class 050 – Personal Services-Temp Salary for a Temporary Part-Time Victim Witness Specialist, Position #TMPPT5166, LG 26, not to exceed 29 hours a week.

Class 060 – Benefits Benefits to support a Temporary Part-Time Victim Witness Specialist.

Class 080 – Out of State Travel Travel costs for Administrator of Victim Services or designee to attend annual training/conference.

The following information is provided in accordance with the comptroller's instructional memorandum dated September 12, 1981.

- 1) List of personnel involved: One (1) temporary part-time Victim Witness Specialist.
- 2) Nature, Need, and Duration: This position would act as the Victim Offender Dialogue Coordinator/Trainer, under the direction of the NH Department of Corrections Victim Services Administrator, and will be responsible for the implementation of victim initiated requests for dialogue with the offender. This position would also provide essential training to members of NH DOC and the community on becoming VOD facilitators to improve the services offered to victims of crime. The VOCA funding for this position is currently available through June 30, 2016.
- 3) Relationship to existing agency programs: This position will provide support and enhance the services offered through the NH Department of Corrections Victim Services division.
- 4) Has a similar program been requested of the legislature and denied? No.
- 5) Why wasn't funding included in the agency's budget request? The grant funds to support the position were unanticipated at the time the budget was created.
- 6) Can portions of the grant funds be utilized? Grant funds are being utilized for this position.
- 7) Estimate the funds required to continue the position: If grant funds are not continually made available, the position will not continue.

The VOCA grant award budget does not include Indirect Costs as this cost is not identified as part of the sub grant award. Audit Fee Set Aside is not included in the grant budget as it is the responsibility of the direct recipient of the federal grant (NHDOJ) to include Audit Fee Set Aside as part of their agency's budget.

All supplies purchased through this VOCA Grant will be the property of NH Department of Corrections at the completion of the project.

Source of Funds: 56% Agency Income, 44% General Funds.

In the event that these grant funds no longer become available additional state general funds will not be requested to support this program.

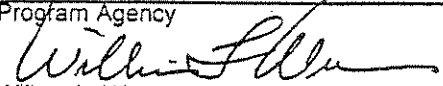
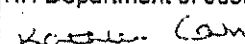
Respectfully Submitted,



William L. Wrenn
Commissioner

Fiscal Situation
Victim Services (Grant #2015-VA-GX-0007)
Accounting Unit 02-46-46-460510-8338

	Grant	General Fund	TOTAL APPROPRIATION
Total SFY 2016 VOCA Sub Grant Authorized	\$159,200.00		
LESS: SFY 2016 Current Appropriations	142,688.00	\$123,543.00	\$266,231.00
VOCA Grant Authorization Available	\$16,512.00		
This Request	16,512.00	\$0.00	16,512.00
 SFY 2016 Revised Appropriations	 \$159,200.00	 \$123,543.00	 \$282,743.00

STATE OF NEW HAMPSHIRE DEPARTMENT OF JUSTICE GRANT AWARD		
Agency Name: NH DOC		Vendor No.: 177896B001
Program Name: Victim Services		Amount: \$ 159,200.00
Grant Start Date:	07/01/2015	State Grant Number: 20160053
Grant End Date:	06/30/2018	Federal Grant Number: 2015VAGX0007
Appropriation No.: 02-20-20-201510-5021-072-500576		
Head of Agency	Project Director	Fiscal Officer
William L. Wrenn Commissioner PO Box 1806 Concord, NH 03302-1806 271-5603	Helen Hanks Assistant Commissioner PO Box 1806 Concord, NH 03302-1806 271-1937	Doreen Whittenberg Director PO Box 1806 Concord, NH 03302-1806 271-5610
Federal Grant Name:	VOCA Assistance	
Federal Agency:	United States Department of Justice	
Bureau/Office:	Office of Justice Programs	
CFDA Number:	16.575	
Purpose of Grant:	Victim Services Program REVISED END DATE, GRANT AWARD AMOUNT	
Program Requirements:	Adherence to Program Conditions and Guidelines.	
Match Requirements:	Match must be spent on program allowable activities.	
Program income Requirements:	Program Income must be reported and spent on program allowable activities.	
Reporting Requirements:	Monthly or quarterly Financial reports. Required Performance reports and audit. Adherence to Program Conditions and Guidelines. Completion of Monitoring forms and processes.	
Approval	Program Agency	NH Department of Justice
Name	 William L. Wrenn	 Kathleen Carr
Title	Commissioner	Director of Administration
Date	2/1/16	1/3/2016
All terms of this grant award are not valid unless signed by both authorized parties.		

State of New Hampshire

DEPARTMENT OF SAFETY
 JAMES H. HAYES BLDG. 33 HAZEN DR.
 CONCORD, N.H. 03305
 603/271-2791

KEVIN P. O'BRIEN
 ASSISTANT COMMISSIONER

 RICHARD C. BAILEY, JR.
 ASSISTANT COMMISSIONER



JOHN J. BARTHELMES
 COMMISSIONER OF SAFETY

February 18, 2016

The Honorable Neal M. Kurk, Chairman
 Fiscal Committee of the General Court
 State House
 Concord, New Hampshire 03301

Her Excellency, Governor Margaret Wood Hassan
 and the Honorable Council
 State House
 Concord, New Hampshire 03301

Requested Action

1. Pursuant to RSA 14:30-a VI, the Department of Safety, Division of Homeland Security and Emergency Management, requests authorization to accept and expend Hazard Mitigation Grant Program (HMGP) funds from the Federal Emergency Management Agency (FEMA) for DR-4209 January Snowstorm in the amount of \$756,812.00 for the implementation of cost effective mitigation projects. Effective upon Fiscal Committee and Governor and Council approvals through June 30, 2017. Funding Source: 100% Federal Funds.

2. Pursuant to RSA 124:15, authorize the Department of Safety, Division of Homeland Security and Emergency Management, to establish one (1) temporary part-time Program Assistant II position to assist in completing the scope of the Hazard Mitigation Grant for DR-4209 January Snowstorm. Effective upon Fiscal Committee and Governor and Council approval through June 30, 2017. Funding Source: 100% Federal Funds

Funds are to be budgeted in SFY 2016 in the following account:

02-23-23-236010-29200000 Dept. of Safety HSEM Hazard Mitigation Grant Program-FEMA

	<u>Current Adjusted Authorized</u>	<u>Requested Action</u>	<u>Revised Adjusted Authorized</u>
000-408121 Federal Funds	(\$2,254,638.69)	(\$756,812.00)	(\$3,011,450.69)
018-500106 Overtime	\$5,000.00	\$1,500.00	\$6,500.00
020-500200 Current Expense	\$5,400.00	\$2,000.00	\$7,400.00
030-500311 Equipment	\$17,150.00	\$1,503.00	\$18,653.00
040-500800 Indirect Costs	\$15,827.00	\$3,800.00	\$19,627.00
041-500801 Audit Funds Set Aside	\$2,760.00	\$780.00	\$3,540.00
050-500801 Part time Salary	0.00	10,500.00	\$10,500.00
060-500601 Benefits	\$1,008.00	\$1,900.00	\$2,908.00
070-500705 In State Travel	\$2,031.00	\$1,000.00	\$3,031.00
072-500574 Grants Federal	\$2,099,745.69	\$721,529.00	\$2,821,274.69
080-500710 Out of State Travel	\$4,650.00	\$2,300.00	\$6,950.00

246-500792 Grantee Admin Costs	\$101,067.00	\$10,000.00	\$111,067.00
Total Appropriation	(\$2,254,638.69)	(\$756,812.00)	(\$3,011,450.69)

Explanation

The Hazard Mitigation Grant Program (HMGP) provides funding to states and communities (sub-recipients) for cost effective hazard mitigation activities that complement a comprehensive mitigation program. FEMA provides HMGP funds to states following presidentially declared disasters where the FEMA Public Assistance Program is employed that, in turn, provide sub-grants or contracts for a variety of mitigation activities, such as planning and the implementation of projects identified through the evaluation of natural hazards. The cost share is 75% Federal funds and a 25% applicant soft-match. The sub-grantee will provide and document the program match requirements. The management costs associated with the Hazard Mitigation Grant Program are 100% Federal funds and do not require a matching percentage.

<u>Class Code</u>	<u>Title</u>	<u>Description</u>
018	Overtime	Provides funding for full-time staff working extra hours to manage the Hazard Mitigation Assistance programs.
020	Current Expense	Allows for purchasing supplies needed to manage the Hazard Mitigation Assistance programs.
030	Equipment	Allows for purchasing equipment needed for project management
040	Indirect Cost	Represents the associated amount for this request.
041	Audit Fund Set Aside	Represents the associated amount for this request.
050	Part Time Salary	Provides funding for part time mitigation personnel
060	Benefits	Provides funding associated with Class Code 018 and 050.
070	In-State Travel	Provides funding for travel to manage the Hazard Mitigation Assistance programs statewide.
072	Grants	Provides funding for grants to sub-grantees.
080	Out of State Travel	Provides funding for travel to workshops associated with managing the Hazard Mitigation Assistance programs.
246	Grantee Admin Costs	Provides funding for Grantee administrative costs.

At the time the State of New Hampshire FY 2016-17 budget was being developed, it was not anticipated that the State would receive this level of funding.

The State of New Hampshire solicits applications statewide. Notification of the availability of HMGP funds is made to every community by e-mail and by letters sent to the chief elected official of each community. The State of New Hampshire submits all applications received for program funding to the Federal Emergency Management Agency for their final approval. Applications that are determined to be cost effective and program eligible are then funded 75% by FEMA; not every application submitted is determined to be program eligible. However, all applications that are determined to be eligible are funded at the requested dollar amount listed in their application, pending availability of adequate program funding.

The following information is provided in accordance with the comptroller's instructional memorandum dated September 12, 1981.

- 1) *List of personnel involved:* One (1) temporary part-time position for one (1) Program Assistant II.
- 2) *Nature, Need, and Duration:* This position is needed to assist HSEM in the administration, monitoring projects and closeout process for this grant.
- 3) *Relationship to existing agency programs:* This position will provide support to the HSEM Hazard Mitigation Grant Program.


The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
February 18, 2016
Page 3 of 3

- 4) *Has a similar program been requested of the legislature and denied?* No
- 5) *Why wasn't funding included in the agency's budget request?* These funds were unanticipated at the time the budget was created.
- 6) *Can portions of the grant funds be utilized?* Grant funds are being utilized for this position.
- 7) *Estimate the funds required to continue this position:* Funds for this position are estimated at \$10,500.00 for the grant through June 30, 2017.

There are no General funds required with this request. In the event that Federal funds are no longer available, General funds and/or Highway funds will not be requested to support this program.

Respectfully submitted,


John J. Barthelmes
Commissioner of Safety

Homeland Security and Emergency Management
Hazard Mitigation Grant Program

2/2/2016

Fiscal Situation: Account 02-23-23-236010-29200000

Federal Funds Awarded:

DR4026 TS Irene HMGP	\$2,210,557.00
DR4095 Hurricane Sandy HMGP	\$334,341.00
DR4105 February 2013 Snowstorm HMGP	\$959,266.00
DR4209 January 2015 Blizzard	\$756,812.00

Total Grant Funds Awarded	\$4,260,976.00
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Less expenses in FY 2013	(\$240,858.19)
Less expenses in FY 2014	(\$159,044.31)
Less expenses in FY 2015	(\$452,046.20)

Total Prior Fiscal Year Actual Expenses	(\$851,948.70)
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Net Grant Funds Remaining	\$3,409,027.30
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Less: Current Adjusted Authorized	(\$2,254,638.69)
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Available Funds	\$1,154,388.61
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This Request	\$756,812.00
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FEMA

November 9, 2015

Perry Plummer, Director
Homeland Security and Emergency Management
33 Hazen Dr.
Concord, NH 03305

Re: Section 324 Management Costs Six-Month Lock-in and
HMGP Estimated Ceiling, FEMA-4209-DR-NH

Dear Director Plummer:

In accordance with 44 CFR 207.5(b)(2), I am providing the following revised lock-in amounts for planning purposes only. The Stafford Act 324 Management Costs lock-ins are based on the 6-month Hazard Mitigation Grant Program (HMGP) estimated costs for FEMA-4209-DR-NH. This letter also transmits the 6-month estimated ceiling for HMGP program funding. A breakdown of funding is listed below:

5% PROJECTS	\$ 36,076	
7% PROJECTS	\$ 50,507	
REGULAR PROJECTS	\$ 634,946	
6-MONTH ESTIMATE	\$ 721,529	➤ \$756,812.00
STATE MANAGEMENT COST PROJECTS	\$ 35,283	

The HMGP funding ceiling will be determined 12-months after the disaster declaration. Any prior estimates do not represent a minimum or floor amount. However, we recommend you develop and submit projects early in the application period. We will review submitted applications and may obligate up to 75 percent of the 6-month HMGP estimated ceiling for approved projects prior to the 12-month lock-in. If you have any questions, please contact Richard Verville, FEMA Region I Hazard Mitigation Assistance Branch Chief, at (617) 956-7524.

Sincerely,

Dean J. Savramis
Director, Mitigation Division
FEMA Region I

cc: Beth Peck, State Hazard Mitigation Officer, NH HSEM
Dan McElhinney, Grants Division Director, FEMA Region I
Lynn Wright, Grants Management Branch Chief, FEMA Region I



FEMA

October 24, 2012

Mr. Christopher Pope, Director
NH Department of Homeland Security and Emergency Management
33 Hazen Drive
Concord, New Hampshire 03301

Re: 12-Month Final Lock-In
FEMA-4026-DR-NH
Hazard Mitigation Grant Program (HMGP)

Dear Director Pope:

Under Section 404 of the Stafford Act, as amended, 75% Federal funding is authorized for hazard mitigation measures. As a condition of receiving Federal disaster assistance, measures must be cost-effective, environmentally acceptable and be consistent with the strategies and recommendations identified in your State Hazard Mitigation Plan. Funding for mitigation projects under the HMGP is based on 15% of the estimated aggregate amount of grants made under the Stafford Act. The 12-month final lock-in of \$2,107,500 in Federal funding is available for the HMGP under FEMA-4026-DR-NH. A breakdown of funding is listed below:

5% PROJECTS	\$ 105,375
7% PROJECTS	\$ 147,525
REGULAR PROJECTS	\$ 1,854,600
12-MONTH LOCK-IN	\$ 2,107,500
STATE MANAGEMENT COSTS	\$ 103,057

> \$ 2,210,557.00

This calculation is based on the 12-month estimate as reported by the authorized disaster assistance programs. This is the final 12-month lock-in for FEMA-4026-DR-NH. Final applications or amendments for new mitigation projects must have been submitted to the FEMA Region I Hazard Mitigation Division by **September 3, 2012**. Please forward a SF-424 to cover the funding request. If you have any questions, please contact me.

Sincerely,

Dean J. Savramis
Mitigation Division Director
FEMA Region I

Cc: Lance Harbour, HSEM



FEMA

March 10, 2014

Perry Plummer, Director
NH Department of Homeland Security and Emergency Management
33 Hazen Drive
Concord, New Hampshire 03301

Re: Section 324 Management Costs Final (12-Month) Lock-ins and
HMGP Final Lock-in Ceiling, DR-4095-NH

Dear Director Plummer:

Under Section 404 of the Stafford Act, as amended, 75% Federal funding is authorized for hazard mitigation measures. As a condition of receiving Federal disaster assistance, measures must be cost-effective, environmentally acceptable and be consistent with the strategies and recommendations identified in your State Hazard Mitigation Plan. Funding for mitigation projects under the HMGP is based on 15% of the estimated aggregate amount of grants made under the Stafford Act. The 12-month final lock-in of \$318,754 in Federal funding is available for the HMGP under FEMA-4095-DR-NH. A breakdown of funding is listed below:

5% PROJECTS	\$ 15,938
7% PROJECTS	\$ 22,313
REGULAR PROJECTS	\$ 280,503
12-MONTH LOCK-IN	\$ 318,754
STATE MANAGEMENT COST PROJECTS	\$ 15,587
	> #334,341.00

This calculation is based on the 12-month estimate as reported by the authorized disaster assistance programs. This is the final 12-month lock-in for FEMA-4095-DR-NH. Final applications or amendments for new mitigation projects must have been submitted to the FEMA Region I Hazard Mitigation Division by November 28, 2013. Please forward a SF-424 to cover the funding request. If you have any questions, please contact me:

Sincerely,

Dean J. Savramis
Director, Mitigation Division
FEMA Region I

cc: Elizabeth Peck, HSEM
Dan McElhinney, Grants Division Director, FEMA Region I
Lynn Wright, Grants Management Branch Chief, FEMA Region I



FEMA

March 26, 2014

Perry Plummer, Director
NH Department of Homeland Security and Emergency Management
33 Hazen Drive
Concord, New Hampshire 03301

Re: Section 324 Management Costs Final (12-Month) Lock-ins and
HMGP Final Lock-in Ceiling, DR-4105-NH

Dear Director Plummer:

Under Section 404 of the Stafford Act, as amended, 75% Federal funding is authorized for hazard mitigation measures. As a condition of receiving Federal disaster assistance, measures must be cost-effective, environmentally acceptable and be consistent with the strategies and recommendations identified in your State Hazard Mitigation Plan. Funding for mitigation projects under the HMGP is based on 15% of the estimated aggregate amount of grants made under the Stafford Act. The 12-month final lock-in of \$914,545 in Federal funding is available for the HMGP under FEMA-4105-DR-NH. A breakdown of funding is listed below:

5% PROJECTS	\$ 45,727
7% PROJECTS	\$ 64,018
REGULAR PROJECTS	\$ 804,800
12-MONTH LOCK-IN	\$ 914,545
STATE MANAGEMENT COST PROJECTS	\$ 44,721

> \$959,266.00

This calculation is based on the 12-month estimate as reported by the authorized disaster assistance programs. This is the final 12-month lock-in for FEMA-4105-DR-NH. Final applications or amendments for new mitigation projects must have been submitted to the FEMA Region I Hazard Mitigation Division by **March 19, 2014**. Please forward a SF-424 to cover the funding request. If you have any questions, please contact me.

Sincerely,

Dean J. Savramis
Director, Mitigation Division
FEMA Region I

Cc: Beth Peck, HSEM
Dan McElhinney, Grants Division Director, FEMA Region I
Lynn Wright, Grants Management Branch Chief, FEMA Region I

DR 4209-HMGP Submitted Applications

Sub Recipient	Name of Project	Total Project cost	Federal Share
Plymouth	Bell Road II	\$ 294,397.00	\$ 220,797.75
Claremont	Bible Hill Culvert	\$ 48,000.00	\$ 36,000.00
Town of Lee	Tuttle Road Culvert	\$ 392,500.00	\$ 294,375.00
Weare	HMP Update	\$ 8,000.00	\$ 6,000.00
Pittsfield	Chestnut Demolition	\$ 40,823.00	\$ 30,617.25
Gorham	Spring Road Culvert	\$ 283,610.00	\$ 212,707.50
Atkinson	Island Pond Rd	\$ 35,000.00	\$ 26,250.00
Haverhill	HMP Update	\$ 8,000.00	\$ 6,000.00
Grafton	HMP Development	\$ 10,000.00	\$ 7,500.00
Alexandria	Fowler Rd culvert	\$ 223,200.00	\$ 167,400.00
Laconia	HMP Update	\$ 10,000.00	\$ 7,500.00
Middleton	HMP Update	\$ 8,000.00	\$ 6,000.00
Nottingham	HMP Update	\$ 8,000.00	\$ 6,000.00
Milton	HMP Update	\$ 8,000.00	\$ 6,000.00
Barnstead	New Road	\$ 286,100.00	\$ 214,575.00
Webster	White Plains Road	\$ 307,200.00	\$ 230,400.00

The communities listed above submitted funding applications for DR 4209. All project applications are reviewed by an intra-agency committee and scored. All projects and scores are sent to FEMA for final review and approval. Projects that are not approved by FEMA are wait-listed in the event that a top scored community either withdraws their application or there are cost under-runs associated with a project. No projects have been awarded at this time.



STATE OF NEW HAMPSHIRE
DEPARTMENT OF INFORMATION TECHNOLOGY
 27 Hazen Dr., Concord, NH 03301
 Fax: 603-271-1516 TDD Access: 1-800-735-2964
 www.nh.gov/doit

FIS 16 045

Denis Goulet
Commissioner

February 16, 2016

The Honorable Neal M. Kurk, Chairman
 Fiscal Committee of the General Court
 State House
 Concord, NH 03301

Her Excellency, Governor Margaret Wood Hassan
 and the Honorable Council
 State House
 Concord, NH 03301

REQUESTED ACTION

1. Pursuant to the provisions of RSA 14:30-a, authorize the Department of Information Technology (DoIT) to accept and expend \$120,000.00 in State Fiscal Year 2016 (SFY 16) from the State Homeland Security Program funds available from the Department of Safety (DOS) for the funding of a two year project consisting of workshops, tabletop and functional exercises to train, test and adjust incident response plans. Effective upon Fiscal Committee and Governor and Council approvals through June 30, 2017 100% Other Funds; the funds used by DOS to reimburse DoIT are 100% Federal Funds.

2. Pursuant to the provisions of RSA 124:15, authorize the Department of Information Technology (DoIT) to establish class 046 consultant position for the purpose of hiring independent consultants to plan and facilitate the incident response workshops, tabletop and functional exercises. Effective upon Fiscal Committee and Governor and Council approvals through June 30, 2017. 100% Other Funds; the funds used by DOS to reimburse DoIT are 100% Federal Funds.

In SFY16, funds shall be budgeted in 01-03-03-030010-76230000, IT for Safety as follows:

Class	Budget Category	Current Budget	Requested Action	Revised Budget
Income				
001-482723	Inter-Agency Transfer from DOS	\$5,487,416.91	\$120,000.00	\$5,607,416.91
	Totals	\$5,487,416.91	\$120,000.00	\$5,607,416.91
Expenditures				
020-500200	Current Expenses	\$36,620.99	\$0.00	\$36,620.99
037-500174	Technology-Hardware	\$1,188,678.18	\$0.00	\$1,188,678.18
038-500178	Technology-Software	\$2,641,477.74	\$0.00	\$2,641,477.74
039-500180	Telecommunications	\$345,000.00	\$0.00	\$345,000.00
046-500465	Consultants	\$1,275,640.00	\$120,000.00	\$1,395,640.00
	Totals	\$5,487,416.91	\$120,000.00	\$5,607,416.91

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
February 16, 2016
Page 2

EXPLANATION

These State Agency awards are funded as part of the Department of Homeland Security Grant program allocation. This program assists State agencies in securing their State and communities by participating in or purchasing training, equipment to prevent, respond to, and recover from potential acts of terrorism and other potential disasters. DoIT applied to DOS and was subsequently awarded \$120,000.00 of the Homeland Security grant. These funds will be used to fund a two year project consisting of workshops, tabletop and functional exercises to train, test and adjust incident response plans with all necessary participants including select representatives from local government and private industry. The role of users and incident response to address prevention protection, response and recovery are focus areas which will be addressed. Partnerships will be built between DoIT and state agencies, local government and private industry providers.

The funds are to be budgeted as follows:

The funds in Class 046-Consultants are required for the purpose of hiring independent consultants to plan and facilitate the incident response workshops, tabletop and functional exercises.

The following information is provided in accordance with the Comptroller's instructional memorandum dated September 21, 1981.

1. List of personnel involved: Temporary consultants.
2. Nature, Need and Duration: The temporary consultants will provide for the increased needs for subject matter experts beyond the limited resources of in-house personnel.
3. Relationship to existing agency programs: Expands the effort to address the need to broaden and strengthen incident response procedures and build partnerships relative to cybersecurity incident response efforts.
4. Has a similar program been requested of the legislature and denied? No.
5. Why wasn't funding included in the agency's budget request? It was not known at the time that DoIT would be applying for grant funds.
6. Can portions of the grant funds be utilized? This request is 100% federally funded.
7. Estimate the funds required to continue these positions: \$0.00. The temporary consulting positions will not be continued beyond the grant period.

Respectfully submitted,



Denis Goulet
Commissioner

State of New Hampshire



JOHN J. BARTHELMES
COMMISSIONER OF SAFETY

KEVIN P. O'BRIEN
RICHARD C. BAILEY, JR.
ASSISTANT COMMISSIONERS

DEPARTMENT OF SAFETY

James H. Hayes Safety Building, 33 Hazen Drive, Concord, NH 03305

Tel: (603) 223-3889
Speech/Hearing Impaired
TDD Access Relay NH 1-800-735-2964

January 6, 2016

Denis Goulet, Commissioner and CIO
Department of Information Technology
33 Hazen Drive
Concord, NH 03305

Re: 2015 State Agency Homeland Security Grant Award

Dear Commissioner Goulet,

Congratulations! Your Department has been approved for funding specific to enhancing your Homeland Security mission. It is crucial for compliance with federal regulations that all requirements associated with this grant are adhered to. There are specific special conditions attached to your grant award Terms and Conditions that will need to be followed to insure grant compliance and reimbursement of costs as approved. These funds expire on August 31, 2018. All expenditures must be completed 30 days in advance of this date.

The following funds have been awarded for specific projects as applied for by your Department with Leslie Williams listed as the project director.

2015 State Homeland Security Program: \$120,000

This award is for the following:

- Cyber Incident Training and Exercises¹ as follows:
- Phase I Response workshops & exercises: \$60,000²
- Cyber Incident response Exercise – Phase II: \$60,000³

¹ Exercise plans should be coordinated with NH DOS-Division of Homeland Security and Emergency Management – Exercise Coordinator

² Please note Grant Terms and Conditions (T&Cs) specific to procurement in 2 CFR 200. An open procurement process MUST be engaged in. Also see T&Cs: page 4 of 11 XXIV, page 6 of 11 #4 and #9.

³ Please note Grant Terms and Conditions (T&Cs) specific to procurement in 2 CFR 200. An open procurement process MUST be engaged in. Also see T&Cs: page 4 of 11 XXIV, page 6 of 11 #4 and #9

AWARD

Attached are the grant terms and conditions, special conditions, and FFATA form. Please initial each page and complete and sign the last page of the grant terms and conditions. Also, please fill out the FFATA form and return both forms to GMU **no later than January 14, 2018**. These 2015 State Homeland Security funds will expire on August 31, 2018. All expenditures must be made and invoiced 30 days prior to that date (by July 31, 2018).

REQUEST for REIMBURSEMENT

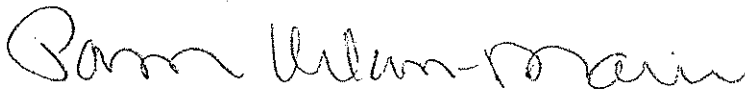
Once the signed award documents have been returned to our office, we will go to Governor and Council to sub-award to funds to DOIT. If DOIT has not budgeted for the receipt of these grant funds, DOIT will need to create and "accept and expend" item to complete the process of awarding and accepting these funds through Fiscal Committee and Governor and Council. Once this is completed DOIT may proceed with procurement for this project. The following must be submitted to our office (Grants Management Unit - GMU - of DOS) prior to bidding:

1. A copy of the RFP, RFB, etc. for these services. Federal Grant Terms and Conditions must be included with this solicitation. Partial reimbursement with outcome achievement documentation must be incorporated into the contract for services payment terms and conditions. Federal Funds will NOT be 100% "up-fronted" prior to the phases beginning.
2. Once the contract has been awarded, a copy of the review outcome resulting from a competitive process and the Governor and Council awarding item must be sent to GMU.
3. A summary of the Training/exercise event (plan, agenda, attendees, list, etc.) at inception and evaluation of conclusion must be submitted with reimbursement request(s). Federal Funds will NOT be 100% "up-fronted" prior to the phases beginning. Partial reimbursement with outcome achievement documentation must be incorporated into the contract for services payment terms and conditions and will serve as the basis for reimbursements.

Please understand if the information listed above is incomplete, any reimbursement request returned to you to complete, prior to reimbursement.

We look forward to working with you as you enhance your capabilities in Homeland Security prevention, protection, response and recovery.

Very truly yours,



Pam Urban-Morin
Grant Administrator

Encl: Grant Terms and Conditions

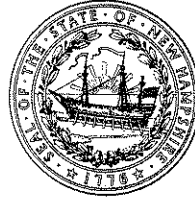
~~FFATA~~

ATTORNEY GENERAL
DEPARTMENT OF JUSTICE

33 CAPITOL STREET
CONCORD, NEW HAMPSHIRE 03301-6397

FIS 16 046

JOSEPH A. FOSTER
ATTORNEY GENERAL



ANN M. RICE
DEPUTY ATTORNEY GENERAL

February 23, 2016

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court
State House
Concord, NH 03301

Her Excellency Governor Margaret Wood Hassan
And the Honorable Council
State House
Concord, NH 03301

REQUESTED ACTION

1. Pursuant to RSA 14:30-a VI, authorize the Department of Justice, Consumer Protection Bureau, to accept and expend a subgrant from the Department of Justice in the amount of \$132,346 for the purpose of adding a prosecutor to focus on crimes and abuse against the elderly upon Fiscal Committee and Governor and Executive Council approval through June 30, 2017. 100% Agency Income.

Funds are to be budgeted in 02-20-20-200510-26110000 Job#20BYRNEATEAS16, Department of Justice, Consumer Protection Bureau as follows:

Class/Expense	Class Title	Current SFY16 Budget	Increase Amount	Revised SFY16 Budget
010-500100	Personal Services Perm. Class.	\$275,396	\$0	\$275,396
013-500132	Personal Services Unclassified	\$513,905	\$0	\$513,905
014-500134	Personal Services-Unclassified	\$145,292	\$0	\$145,292
017-500147	FT Employees Special Payment	\$12,825	\$0	\$12,825
018-500106	Overtime	\$1,000	\$0	\$1,000
020-500200	Current Expense	\$16,807	\$350	\$17,157
022-500255	Rents Leases Other Than State	\$3,003	\$500	\$3,503
027-582703	Transfers to DoIT	\$77,839	\$1,000	\$78,839
030-500311	Equipment	\$0	\$2,000	\$2,000

037-500173	PC Desktop Hardware New	\$0	\$1,500	\$1,500
038-500175	Desktop Software New	\$0	\$1,100	\$1,100
039-500188	Telecommunications	\$17,000	\$1,200	\$18,200
042-500620	Additional Fringe Benefits	\$0	\$8,198	\$8,198
046-500464	Consultants	\$91,096	\$0	\$91,096
050-500109	Person Service Temp Appointee	\$74,018	\$0	\$74,018
059-500117	Temp-Full Time	\$0	\$78,077	\$78,077
060-500612	Benefits	\$389,726	\$34,546	\$424,272
066-501709	Employee Training	\$3,000	\$1,200	\$4,200
068-500562	Remuneration	\$884	\$0	\$884
070-500704	In State Travel	\$10,000	\$1,675	\$11,675
080-500716	Out of State Travel	\$1,000	\$1,000	\$2,000
102-500732	Contracts for Program Services	\$6,000	\$0	\$6,000
Total		\$1,638,791	\$132,346	\$1,771,137

Source of Funds

001-409294	Transfer from other agencies	\$491,246		\$491,246
009-403695	Agency Income	\$1,147,034	\$132,346	\$1,279,380
	General Funds	\$511	\$0	\$511
Total		\$1,638,791	\$132,346	\$1,771,137

2. Upon approval of paragraph one and pursuant to RSA 124:15, authorize the Department of Justice, Consumer Protection Bureau, to establish one (1) full-time salaried Elder Abuse Prosecutor within budget class 059 (Full-Time Temporary) upon Fiscal Committee and Governor and Executive Council approval through June 30, 2017. 100% Agency Income.

EXPLANATION

The Department of Justice has the opportunity to accept a subgrant from the Department of Justice (origin: Federal Fiscal Year 2013 Byrne Justice Assistance Grant Program) to fund a prosecutor dedicated to elder abuse matters. The prosecutor would partner with a Victim Witness Specialist to form a unit solely dedicated to elder abuse. This position would provide educational outreach to elder groups regarding the prevention and/or reporting of fraud and other forms of abuse and neglect and would prosecute elder abuse crimes.

The funds budgeted to support the activities are as follows:

- Class 020-Current Expense-To cover office supplies and outreach/support materials.
- Class 022-Rents Leases Other Than State-To cover use of rented office equipment.
- Class 027-Payments to DoIT for technical support.
- Class 030-Equipment-to cover office furniture for the Elder Abuse Prosecutor.
- Class 037-PC Desktop Hardware New-For desktop PC and monitor.
- Class 038-Desktop Software New-For software licensing.

Class 059-Personnel-Temporary Full Time-Covers salary expenses for a prosecutor position to educate the elderly about fraud and other types of abuse or neglect and/or prosecute on behalf of elderly crime victims.

Class 060-Benefits-To cover benefits for the Elder Abuse Prosecutor position.

Class 066-Training for the prosecutor to stay abreast of the latest elder fraud and abuse prevention trends.

Class 070- In State Travel expenses to cover in-state mileage for the prosecutor to attend to the needs of the elderly regarding the prevention and/or prosecution of crimes.

Class 080-Out of State Travel expenses to attend national training conferences focused on elder abuse.

Class 040 (Indirect Costs) and Class 041 (Audit Fund Set-Aside) are budgeted with the Department of Justice's acceptance of the original federal grant.

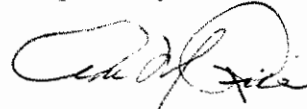
The following information is provided in accordance with the Comptroller's instructional Memorandum dated September 21, 1981.

1. **List of personnel involved:** One temporary, full-time salaried position (Class 059) to serve as an Elder Abuse Prosecutor.
2. **Nature, Need and Duration:** This full time position will be in effect for the period effective upon Fiscal Committee and Governor and Executive Council approval through June 30, 2017.
3. **Relationship to existing agency programs:** This funding is to be utilized specifically to establish an Elder Abuse Prosecutor position in the Department of Justice, Consumer Bureau. This position will enable the Department to meet growing demands for elderly victim services.
4. **Has a similar program been requested of the legislature and denied?** No similar program has been requested and denied.
5. **Why wasn't funding included in the agency's budget request?** This project was not included in the Department's budget request because the funds for a full unit were not available at the time the budget was submitted.
6. **Can portions of the funds be utilized for other purposes?** No portion of this subgrant can be used for any other purpose.
7. **Estimate the funds required to continue this position(s):** It is estimated that it will cost \$112,623 for salary and benefits for the full time temporary position through June 30, 2017.

In the event these funds should no longer become available, general funds will not be requested in support of this position.

Please let me know if you have any questions regarding this request. Your consideration is greatly appreciated.

Respectfully submitted,



for Joseph A. Foster
Attorney General

Enc.
#1361256

Department of Justice
GRANTS FISCAL SITUATION FISCAL YEAR 2016
02-20-20-200510-26110000 Consumer Protection Bureau

Total Agency Authorization	\$ 132,346
Less Expenditures to 06/30/2015	<u>\$ -</u>
Remaining Authorizatin to Budget	<u>\$ 132,346</u>

Less Current Budget Authorization	\$ -
Total Available for Budgeting	<u>\$ 132,346</u>

Available to Budget at Later Date	\$ -
REQUESTED ACTION	<u><u>\$ 132,346</u></u>

Grant Award Number	Award Amount	Expenses to 06/30/2015	Balance
2016JAG05	\$ 132,346	\$ -	\$ 132,346
Prior Year Grant Award	\$ -	\$ -	\$ -
Total			<u><u>\$ 132,346</u></u>

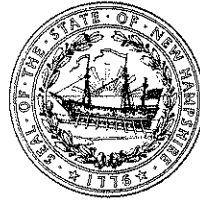
STATE OF NEW HAMPSHIRE		
DEPARTMENT OF JUSTICE		
GRANT AWARD		
Agency Name: NHDOJ Consumer Protection Bureau	Vendor No.:	
Program Name: Elder Abuse Prosecution Unit	Amount:	\$ 132,346.00
Grant Start Date: Upon Fiscal & G&C Approval	State Grant Number:	2016JAG05
Grant End Date: 6/30/2017	Federal Grant Number:	2013-DJ-BX-0043
Appropriation No.: 02-20-20-201510-4458-072-500576		
Head of Agency	Project Director	Fiscal Officer
Joseph Foster Attorney General 33 Capitol Street Concord, NH 03301	James Boffetti CPB Chief	Kathleen Carr Director of Administration
Federal Grant Name:	Byrne Justice Assistance Grant	
Federal Agency:	United States Department of Justice	
Bureau/Office:	Office of Justice Programs	
CFDA Number:		
Purpose of Grant:	Investigation and prosecution of elder abuse cases	
Program Requirements:	Adherence to Program Conditions and Guidelines.	
Match Requirements:	Match must be spent on program allowable activities.	
Program income Requirements:	Program Income must be reported and spent on program allowable activities.	
Reporting Requirements:	Monthly or quarterly Financial reports. Required Performance reports and audit. Adherence to Program Conditions and Guidelines. Completion of Monitoring forms and processes.	
Approval	Program Agency	NH Department of Justice
Name	Joseph Foster	Kathleen Carr
Title	Attorney General	Director of Administration
Date		
All terms of this grant award are not valid unless signed by both authorized parties.		

ATTORNEY GENERAL
DEPARTMENT OF JUSTICE

FIS 16 047

33 CAPITOL STREET
CONCORD, NEW HAMPSHIRE 03301-6397

JOSEPH A. FOSTER
ATTORNEY GENERAL



ANN M. RICE
DEPUTY ATTORNEY GENERAL

February 23, 2016

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court
State House
Concord, NH 03301

Her Excellency Governor Margaret Wood Hassan
And the Honorable Council
State House
Concord, NH 03301

REQUESTED ACTION

1. Pursuant to RSA 14:30-a VI, authorize the Department of Justice, Office of Victim Witness, to accept and expend a subgrant from the Department of Justice in the amount of \$123,454, for the purpose of adding personnel resources to focus on crimes and abuse against the elderly upon Fiscal Committee and Governor and Executive Council approval through June 30, 2017. 100% Agency Income.

Funds are to be budgeted in 02-20-20-200510-26160000 Job#20VOCAVWPOSS16, Department of Justice, Office of Victim Witness as follows:

Class/Expense	Class Title	Current SFY16 Budget	Increase Amount	Revised SFY16 Budget
010-500100	Personal Services Perm. Class.	\$114,595	\$0	\$114,595
014-500134	Personal Services-Unclassified	\$89,652	\$0	\$89,652
018-500106	Overtime	\$17,500	\$7,500	\$25,000
020-500200	Current Expense	\$3,240	\$1,240	\$4,480
022-500255	Rents Leases Other Than State	\$1,995	\$495	\$2,490
027-582703	Transfers to DoIT	\$18,500	\$2,500	\$21,000
030-500311	Equipment	\$2,500	\$2,500	\$5,000
037-500173	PC Desktop Hardware New	\$1,100	\$1,500	\$2,600
038-500175	Desktop Software New	\$1,100	\$1,100	\$2,200

039-500188	Telecommunications	\$7,000	\$2,000	\$9,000
042-500620	Additional Fringe Benefits	\$7,500	\$6,565	\$14,065
059-500117	Temp-Full Time	\$71,410	\$62,524	\$133,934
060-500612	Benefits	\$113,337	\$31,230	\$144,567
070-500704	In State Travel	\$12,300	\$4,300	\$16,600
Total		\$461,729	\$123,454	\$585,183

Source of Funds

000-404493	Federal Funds	\$104,990	\$0	\$104,990
009-407079	Agency Income	\$140,000	\$123,454	\$263,454
	General Funds	\$216,739	\$0	\$216,739
Total		\$461,729	\$123,454	\$585,183

- Upon approval of paragraph one and pursuant to RSA 124:15, authorize the Department of Justice, Office of Victim Witness, to establish one (1) full-time temporary Victim Witness Specialist, labor grade 26, within budget class 059 (Full-Time Temporary) upon Fiscal Committee and Governor and Executive Council approval through June 30, 2017. 100% Agency Income.

EXPLANATION

The Department of Justice has the opportunity to accept a subgrant from the Department of Justice (origin: Federal Fiscal Year 2015 Victim of Crime Act Grant) to provide a Victim Witness Specialist committed to advocating for elderly victims of crime. The Victim Witness Specialist would partner with a prosecutor to form a unit solely dedicated to elder abuse. This position would provide educational outreach to elder groups regarding the prevention and/or reporting of fraud and other forms of abuse and neglect. This position would also support elder victims of crime throughout the prosecution process should such an event occur.

The funds budgeted to support the activities are as follows:

- Class 018-Overtime-To support overtime when the Victim Witness Specialist is called out after hours or on weekends.
- Class 020-Current Expense-To cover office supplies and outreach/support materials.
- Class 022-Rents Leases Other Than State-To cover use of rented office equipment.
- Class 027-Payments to DoIT for technical support.
- Class 030-Equipment-to cover office furniture for the Victim Witness Specialist.
- Class 037-PC Desktop Hardware New-For desktop PC and monitor.
- Class 038-Desktop Software New-For software licensing.
- Class 039-Telecommunications-to cover telephone service charges and cell phone.
- Class 042-Additional Fringe Benefits-Post Retirement.
- Class 059-Personnel-Temporary Full Time-Covers salary expenses for a Victim Witness Specialist position to educate the elderly about fraud and other types of abuse or neglect and/or dedicated support should such crimes occur.

Class 060-Benefits-To cover benefits for the Victim Witness Specialist position.
Class 070- In State Travel expenses to cover in-state mileage for Victim Witness Specialists to attend to the needs of the elderly regarding the prevention and/or prosecution of crimes.

Class 040 (Indirect Costs) and Class 041 (Audit Fund Set-Aside) are budgeted with the Department of Justice's acceptance of the original federal grant.

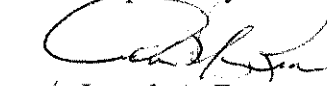
The following information is provided in accordance with the Comptroller's instructional Memorandum dated September 21, 1981.

1. **List of personnel involved:** One temporary, full-time classified position (Class 059) to serve as a Victim Witness Specialist; Labor Grade 26.
2. **Nature, Need and Duration:** This full time position will be in effect for the period effective upon Fiscal Committee and Governor and Executive Council approval through June 30, 2017.
3. **Relationship to existing agency programs:** This funding is to be utilized specifically to establish a Victim Witness position in the Department of Justice, Office of Victim/Witness (OVWA). This position will enable the OVWA to meet growing demands for elderly victim/witness services.
4. **Has a similar program been requested of the legislature and denied?** No similar program has been requested and denied.
5. **Why wasn't funding included in the agency's budget request?** This project was not included in the Department's budget request because the funds were not available at the time the budget was submitted.
6. **Can portions of the funds be utilized for other purposes?** No portion of this subgrant can be used for any other purpose.
7. **Estimate the funds required to continue this position(s):** It is estimated that it will cost \$93,754 for salary and benefits for the full time temporary position through June 30, 2017.

In the event these funds should no longer become available, General funds will not be requested in support of this position.

Please let me know if you have any questions regarding this request. Your consideration is greatly appreciated.

Respectfully submitted,


for Joseph A. Foster
Attorney General

Enc.
#1359906

Department of Justice
GRANTS FISCAL SITUATION FISCAL YEAR 2016
02-20-20-200510-26160000 Office of Victim Witness

Total Agency Authorization	\$ 123,454
Less Expenditures to 06/30/2015	\$ -
Remaining Authorizatin to Budget	\$ 123,454

Less Current Budget Authorization	\$ -
Total Available for Budgeting	\$ 123,454

Available to Budget at Later Date	\$ -
REQUESTED ACTION	\$ 123,454

Grant Award Number	Award Amount	Expenses to 06/30/2015	Balance
2016200	\$ 123,454	\$ -	\$ 123,454
Prior Year Grant Award	\$ -	\$ -	\$ -
Total			\$ 123,454

STATE OF NEW HAMPSHIRE DEPARTMENT OF JUSTICE GRANT AWARD		
Agency Name: NH Department of Justice	Vendor No.: 177877-B001	
Program Name: Direct Services to Victims of Elder Abuse	Amount: \$ 123,454.00	
Grant Start Date: Upon Fiscal and G&C Approval	State Grant Number:	2016200
Grant End Date: 06/30/2017	Federal Grant Number:	2015VAGX0007
Appropriation No.: 02-20-20-201510-5021-072-500576		
Head of Agency	Project Director	Fiscal Officer
Joseph Foster Attorney General NH DOJ, 33 Capitol St Concord NH 03301 271-1202	Lynda Ruel, Director Office of Victim/Witness Assist NH DOJ, 33 Capitol St Concord, NH 03301 271-1237	Kathleen B. Carr Director of Administration NH DOJ, 33 Capitol St Concord, NH 271-1234
Federal Grant Name:	VOCA Assistance	
Federal Agency:	United States Department of Justice	
Bureau/Office:	Office of Justice Programs	
CFDA Number:	16.575	
Purpose of Grant:	Direct Services to Victims of Elder Abuse	
Program Requirements:	Adherence to Program Conditions and Guidelines.	
Match Requirements:	Match must be spent on program allowable activities.	
Program income Requirements:	Program Income must be reported and spent on program allowable activities.	
Reporting Requirements:	Monthly or quarterly Financial reports. Required Performance reports and audit. Adherence to Program Conditions and Guidelines. Completion of Monitoring forms and processes.	
Approval	Program Agency	NH Department of Justice
Name	Joseph Foster	Kathleen Carr
Title	Attorney General	Director of Administration
Date		
All terms of this grant award are not valid unless signed by both authorized parties.		



State Of New Hampshire
DIVISION OF PERSONNEL
Department of Administrative Services
State House Annex – 28 School Street
Concord, New Hampshire 03301

VICKI V. QUIRAM
Commissioner
(603) 271-3201

SARA J. WILLINGHAM
Director of Personnel
(603) 271-3261

February 22, 2016

Kathleen B. Carr
Director of Administration
Department of Justice
33 Capitol St.
Concord, NH 03301-6397

Regarding: Request to establish a full-time temporary Victim/Witness Specialist,
labor grade 26

Dear Director Carr:

The Division of Personnel approves of your request submitted February 16, 2016 to establish a full-time temporary Victim/Witness Specialist, labor grade 26 to be assigned to Public Protection, Office of Victim Witness Advocates, Elder Abuse, and have assigned the position number of 9T2817 pending approval of funding.

This position number will be inactive until you receive funding approval from the Fiscal Committee per RSA 124:15, and the Position Profile Form (PPF) is subsequently signed off on by the Department of Administrative Services Business Office.

It will be your responsibility to bring the request for funding before the Fiscal Committee. You may use this letter as confirmation of our decision. Once you have obtained Fiscal Committee approval, please notify me with documentation. Thank you.

Very truly yours,

Jennifer J. Elberfeld
Classification & Compensation Administrator

Cc: Sara J. Willingham, Director of Personnel



New Hampshire Fish and Game Department

FIS 16 053

11 Hazen Drive, Concord, NH 03301-6500
Headquarters: (603) 271-3421
Web site: www.WildNH.com

TDD Access: Relay NH 1-800-735-2964
FAX (603) 271-1438
E-mail: info@wildlife.nh.gov

Glenn Normandeau
Executive Director

February 29, 2016

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court
State House
Concord, New Hampshire, 03301

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
State House
Concord, New Hampshire 03301

Requested Action

1. Pursuant to RSA 14:30-a, authorize the New Hampshire Fish & Game Department (NHFGD) to accept and expend One hundred seventy thousand one hundred and seventy dollars (\$170,170) in federal funds from the United States Fish & Wildlife Service (USFWS) for the purpose of funding development and implementation of a web based event manager service to provide online registration for department public education programs and other events from date of Joint Fiscal Committee and Governor and Council approval through June 30, 2017.
2. Upon approval of the Requested Action number one and pursuant to RSA 124:15 authorize the NHFGD to establish a consultant class (046) for the purpose of hiring a consultant to develop and implement a web-based event manager service effective upon Fiscal Committee and Governor and Executive Council approvals though June 30, 2017.

Funds to be budgeted as follows:

20-07500-21210000 Hunter Education Fiscal Year 2016

<u>Class</u>	<u>Current Budget</u>	<u>Change</u>	<u>Revised Budget</u>
010-500100 PERSONAL SERVICES - PERMANANT	\$204,070	\$0	\$204,070
020-500200 CURRENT EXPENSES	\$115,170	0	\$115,170
022-500255 RENTS/LEASES	\$ 1,134	0	\$ 1,134
023-500291 HEAT, ELECTRICITY, WATER	\$ 8,000	0	\$ 8,000
026-500251 ORGANIZATIONAL DUES	\$ 2,000	0	\$ 2,000
030-500311 EQUIPMENT	\$ 84,500	0	\$ 84,500
039-500188 TELECOMMUNICATIONS	\$ 1,200	0	\$ 1,200
041-500801 AUDIT FUND SET ASIDE	\$ 579	\$ 170	\$ 749
046-500466 CONSULTANTS	\$ 0	\$170,000	\$170,000
060-500601 BENEFITS	\$134,409	0	\$134,409
070-500572 IN-STATE TRAVEL	\$ 4,500	0	\$ 4,500
080-500710 OUT-OF-STATE TRAVEL	\$ 7,000	0	\$ 7,000
102-500731 CONTRACT FOR PROGRAM SERVICES	\$144,422	0	\$144,422
103-502664 CONTRACT FOR OPERATIONAL SERVICES	\$ 5,000	0	\$ 5,000
Total Expenses	\$711,984	\$170,170	\$882,154

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
February 29, 2016

Page 2 of 3

Source of Funds			
000 404778 Federal Funds	\$574,740	\$170,170	\$744,910
Fish & Game Funds	\$137,244	\$ 0	\$137,244
Total	\$711,984	\$ 170,170	\$882,154

Explanation

The New Hampshire Fish and Game Department is expanding its online registration services to support recruitment, retention and reactivation efforts for hunting and fishing in the state. The new online registration system will provide improved online access to register for education programs offered to the public and faster electronic services for licensing. The expanded online registration will include mandatory hunter education courses, Owl Brook Hunter Education Center workshops, public talks, angler education and volunteer training opportunities. These improved services will also deliver reporting capabilities enabling better evaluation via surveys to determine the public needs for outdoors skills training and support as they gain the necessary skills and knowledge for hunting and fishing in New Hampshire. The requested funds are 100% federal and will be matched with accrued in-kind volunteer instructor time valued at \$56,724.00.

The following information is provided in accordance with the Comptroller's instructional memorandum dated September 21, 1981.

- 1. List the personnel involved:** Class 046 – this is for a contract with a company to provide consulting for software development of an online registration system with continued support and maintenance for that system once it is fully developed.
- 2. Nature, need and duration:** The funds requested will be in effect for the period effective upon Governor and Executive Council approval through June 30, 2017.
- 3. Relationship to existing agency programs:** The funds will be utilized specifically to contract with a vendor who will develop and implement a web based event manager service providing online registration for department public education programs and other events.
- 4. Has a similar program been requested of the legislature and denied?** No similar program has been requested and denied.
- 5. Why wasn't funding included in the agencies budget request?** New database needs and industry developments for meeting those needs via integration of the department licensing database with our current online registration system were not in existence at that time. New national models on effective recruitment, retention and reactivation for hunting and fishing now require more complex integration of online registration software with the existing Fish and Game licensing database.

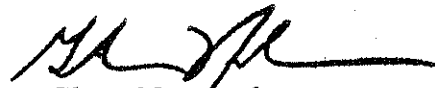
The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
February 29, 2016

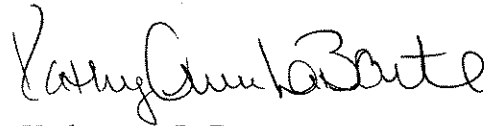
Page 3 of 3

6. **Can portions of the grant funds be utilized?** No portion of these grant funds can be used for any other purpose.
7. **Estimate the funds required to continue this position?** This request is not funding a position. The funds will be used to contract with a consultant estimated at \$170,000.

Respectfully submitted,



Glenn Normandeau
Executive Director



Kathy Ann LaBonte
Chief, Business Division

**NEW HAMPSHIRE FISH & GAME DEPARTMENT
FY16 FISCAL SITUATION**

**HUNTER EDUCATION
20-07500-21210000**

Total Federal Authorization	\$ 931,050.00
Less Current FY16 Budget Authorization 2121	\$ 574,740.00
Less Budgeted for FY16 Expenses in 2122	\$ 26,500.00
Less Budgeted for FY16 Expenses in 7887	\$ 50,000.00
Less Budgeted FY16 Indirect	<u>\$ 70,700.00</u>
 Total Available for Budgeting	 \$ 209,110.00
 Available to Budget at Later Date	 <u>\$ 38,940.00</u>
REQUESTED ACTION	\$ 170,170.00

<u>Federal Grant</u>	<u>Grant Amount</u>	<u>Budgeted/Expended</u>	<u>Balance</u>
F15AF00921	\$ 873,450.00	\$ 664,340.00	\$ 209,110.00
F15AF00777	\$ 57,600.00	\$ 57,600.00	\$ -
Totals:	<u>\$ 931,050.00</u>	<u>\$ 721,940.00</u>	<u>\$ 209,110.00</u>

Note: The Department's Indirect Cost Negotiation Agreement allows indirect costs on salaries and benefits only. These additional funds will be covering contractual or other expenses.

OFFICE OF PROFESSIONAL LICENSURE AND CERTIFICATION

STATE OF NEW HAMPSHIRE MAR 07 16 PM 3:09 DAS
DIVISION OF HEALTH PROFESSIONS

121 South Fruit Street

Concord, N.H. 03301-2412

Telephone 603-271-2350 · Fax 603-271-2856

FIS

16 055

SARAH BLODGETT

Division Director

LOUISE LAVERTU

Executive Director



March 3, 2016

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court
State House
Concord, New Hampshire 03301

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
State House
Concord, New Hampshire 03301

REQUESTED ACTION

1. Pursuant to RSA 14:30-a, VI, authorize the Office of Professional Licensure and Certification, Board of Pharmacy to amend Fiscal Item 13-164 originally approved by the Fiscal Committee on July 10, 2013 and approved by Governor and Council on July 24, 2013, item #57 by accepting and expending additional funds from the Department of Justice in the amount of \$452,084 to maintain the implementation of the Controlled Drug Prescription Health and Safety Program, effective upon Fiscal Committee and Governor and Council approval through June 30, 2017. 100% Other Funds (Bureau of Justice Assistance grant).
2. Pursuant to RSA 124:15, and contingent upon approval of item one, authorize the Office of Professional Licensure and Certification, Board of Pharmacy to amend Fiscal Item 14-015 originally approved by the Fiscal Committee on February 14, 2014 and by Governor and Council on February 28, 2014, item #36 by extending the temporary full-time position, Class 059, Administrator I, Labor Grade 27, through June 30, 2017 from the current end date of March 31, 2016 to be effective upon Fiscal Committee and Governor and Council approval through June 30, 2017.
3. Pursuant to RSA 124:15, and contingent upon approval of item one, authorize the Office of Professional Licensure and Certification, Board of Pharmacy to establish a temporary full-time position, Class 059, Administrative Assistant I, Labor Grade 16, effective upon Fiscal Committee and Governor and Council approval through June 30, 2017.

Funds are to be budgeted in account # as follows: 01-021-021-215010-52030000 - Medical Professionals/PDMP

EXPLANATION

This item was originally approved by the Fiscal Committee on July 10, 2013, Fiscal Item 13-164 and by the Governor and Council on July 24, 2013 Item #57; amended by Fiscal Committee on February 14, 2014, Item #14-015 and by the Governor and Council on February 28, 2014, item #36; amended by the Fiscal Committee on November 10, 2014, item #14-166 and by the Governor and Council on December 3, 2014, item #73; amended by the Fiscal Committee on April 3, 2015, item #15-045 and Governor and Council on April 8, 2015, item #55; amended by the fiscal Committee on July 29, 2015, item #15-137, and by Governor and Council on August 5, 2015, item #70; amended by the Fiscal Committee on December 18, 2015, Item 15-273, and Governor and Council on December 16, 2015, Item #46.

In June 2012, Governor Lynch signed legislation, Chapter Law 196, codified as RSA 318-B32-38 to establish a Prescription Drug Monitoring Program (PDMP), to combat prescription drug abuse and misuse by allowing practitioners to review controlled medication data collected from licensed dispensers in a secure web based program. Subsequent legislation (Senate Bill 83, 2013 Session) abolished the sunset provision that was in RSA 318-B. No general funds were allocated or allowed to establish this program. In January 2013, the Board of Pharmacy was awarded a grant from the National Association of Controlled Substance Authorities (NASCSA) for \$15,517 to support the implementation of PDMP. With this funding and approval (Fiscal Committee, July 10, 2013 Item #13-164 and Governor and Council, July 24, 2013, Item #57), the Board of Pharmacy hired a part-time program manager to assist in the implementation of the PDMP. In the fall of 2013, the NH Department of Justice applied for and was awarded a Federal Bureau of Justice Administration Harold Rogers Grant, and sub awarded the funding to the Board of Pharmacy. These funds were subsequently awarded to the Board of Pharmacy, approved by Governor and Council on October 16, 2013, Item #41, for the purposes of implementing the PDMP, specifically for staffing and contracting with a vendor to develop a web based program to allow practitioners to review controlled medication data collection from licensed dispensers.

Additionally, in May 2014, a full time program manager was hired and in June 2014, the contract with Health Information Designs, Inc was signed and development and of the NH Prescription Drug Monitoring Program (NH PDMP) was underway. On October 16, 2014, the NH PDMP went live. Dispensers began uploading prescription data into the database on September 2, 2014 and registration of all NH licensed practitioners required under the law to register began. In June 2015, SB 31 was signed into law by Governor Hassan, which provided a number of technical changes that included: reporting exemptions - control drugs that are dispensed in less than a 48 hr. supply from an ER or from a Veterinarian does not need to be reported to the PDMP; confidentiality provisions - allowing prescribers and pharmacists to talk with other prescribers and pharmacists, as they have the authority from state or federal law/regulation; data sharing provisions – authority to release de-identified and aggregated data

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court
Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council

March 3, 2016

Page 3

and the authority to share data with other states who have monitoring systems; and data retention provisions – authority to keep/store data for 36 months. In the fall of 2015, the NH Department of Justice applied for and was awarded a Federal Bureau of Justice Administration Harold Rogers Grant, and is subsequently sub awarding the funding to the Office of Professional Licensure and Certification, of which the Board of Pharmacy resides, pending Governor and Council approval on February 10, 2016 for the purposes of continuation of the PDMP, specifically the staffing, the contracting of the vendor, interstate data sharing, practitioner outreach and education, and evaluation of the web based prescription drug monitoring program.

Lastly, SB 576-FN –A was signed into law (January 2016 Session) that clarified funding for the PDMP, essentially removing the provision that “*there shall be no state general funds appropriated for the implementation or operation of the program*”, as well as allowing federal practitioners in NH, ME, MA and VT to register and access the NH PDMP data. The Chief Medical Examiner can request information for the purpose of investigating the death of an individual, each dispenser shall submit the required information in accordance with transmission methods daily by the close of business on the next business day from the date the prescription was dispensed with the exception of veterinarians who will submit no more than 7 days from the date the prescription was dispensed and finally, prescribers who are required to register with the PMDP shall query the PDMP for a patient’s initial prescription when prescribing a schedule II, III and IV opioids for the management or treatment of pain and then periodically and at least twice per year (minus the exceptions). All of these changes will need to be operationalized over the next several months and integrated into the outreach and training around the utilization of the PDMP.

Approval of this request will allow the Office of Professional Licensure and Certification:

- 1.) To accept and expend \$452,084 sub grant from the Department of Justice to maintain a Prescription Drug Monitoring Program (PDMP) and to contract with a vendor, which will combat prescription drug use and misuse by allowing practitioners to review controlled medication data collected by licensed dispensers in a secure web based program.
- 2.) Authorize the extension of a temporary full-time, Administrator I, Labor Grade 27, who will work under the direction of the Office of Professional Licensure and Certification to assist with rulemaking, sustainability of the Controlled Drug Prescription Health and Safety Program, the grant writing process, training and implementation and the operation oversight to the program once fully implemented.
- 3.) Authorize the establishment of a temporary full-time, Administrative Assistant I, Labor Grade 16, to assist with this program. The full-time employee will work under the directions of the Program Manager.

If this request is not approved, the Office of Licensure and Certification will not be able to continue oversight of the development and implementation of the Prescription Drug Monitoring Program to

combat prescription drug abuse and misuse with the other 48 other states addressing this issue and has been recognized by the State Legislature and NH Citizens and the number one concern facing the State of New Hampshire.

In the event that these federal funds are no longer available, the program will be required to seek out other funding to continue the efforts to operate the Prescription Drug Monitoring Program and to maintain staffing and database infrastructure. This grant may not be used for any other purpose other than the Prescription Drug Monitoring Program.

These funds will be used for the following purposes:

SFY 16/SFY 17

Class 020 – Current Expenses – will be used to purchase stationary, office supplies, postage, conference calls

Class 030 – Equipment – will be utilized to purchase a computer, printer and software for full-time Administrative Assistant I

Class 039 – Telecommunications – for telephone services for the Administrator I and Administrative Assistant I

Class 040 – Indirect Costs – grant doesn't allow

Class 041 – Federal Funds – doesn't apply

Class 042 – Additional Fringe Benefits – there are no permanent positions

Class 059 – Full Time Salaries (temporary) - to continue the Administrator I position and for hiring the Administrative Assistant I, who will assist the Administrator with the day to day work of the PDMP

Class 060 – Benefits (temporary) – health and dental insurance, state retirement, federal taxes for the full-time Administrator and Administrative Assistant

Class 070 – In State Travel – to be used for travel associated with the training and education provided by the Administrator and Administrative Assistant

Class 102- Contract for Operational Services – continue with secure web based program that will be maintained by contracted vendor. This program tracks all schedule II, III and IV controlled substances that are dispensed in NH. A contract amendment will task the vendor to create agreed upon enhancements to the system to allow to interstate data sharing, daily uploading of data and ease of querying patient information. Other funds will target training/education and program evaluation.

The following information is provided in accordance with the Comptroller's instructional memorandum dated September 21, 1981.

- 1) List of personnel involved: One full-time position, Administrator I, Labor Grade 27: on full-time position, Administrative Assistant, Labor Grade 16.
- 2) Nature, Need and Duration: The Administrator position will assist with the rulemaking, development, sustainability, grant writing, training, and implementation and operation oversight. The Administrative Assistant position will assist the Administrator in assisting with communication, logistics, scheduling and supporting implementation and operation activities for the duration of the authorized grant period.
- 3) Relationship to existing agency programs: The Administrator will be responsible as the program manager reporting to the Office of Certification and Licensure, while working for the successful outcomes of the Prescription Drug Monitoring Program Advisory Council goals. The Administrative Assistant position reports to the Administrator/Program Manager.
- 4) Has a similar program been requested of the legislature and denied? No
- 5) Why wasn't funding included in the agency's budget request? At the time of the creation of the FY 16/17 budget these funds were not available.
- 6) Can portions of the grant funds be utilized? The grant funds cannot be utilized for anything other than the purpose of the Prescription Drug Monitoring Program.
- 7) Estimate the funds required to continue this position(s): The estimated funds required to continue these positions are approximately \$ 282,595.

Respectfully submitted,



Kira Hageman
Director of Administration
NH Office of Professional
Licensure and Certification

State Fiscal Year 2016

CLASS	DESCRIPTION	CURRENT AUTHORIZED BUDGET	REQUESTED ACTION	REVISED AUTHORIZED
009-403574	Agency Income	\$ 183,152	\$ 452,084	\$ 635,236
	TOTAL	\$ 183,152	\$ 452,084	\$ 635,236

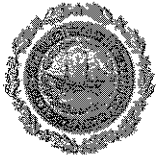
CLASS	DESCRIPTION	CURRENT AUTHORIZED BUDGET	REQUESTED ACTION	REVISED AUTHORIZED
020-500241	Current Expenses	\$ 4,187	\$ 7,000	\$ 11,187
022-500248	Rents/Leases Other than State	\$ 1		\$ 1
026-502799	Membership Fees	\$ 1,001	\$ 1,000	\$ 2,001
027-502799	Transfers to DoIT	\$ 1	\$ 2,250	\$ 2,251
028-500292	Transfer to General Services	\$ 2,001	\$ 2,250	\$ 4,251
029-500290	Intra-Agency Transfers	\$ 1		\$ 1
030-500311	Equipment - General (New)	\$ 3,000	\$ 500	\$ 3,500
039-500188	Telecommunications	\$ 263	\$ 820	\$ 1,083
046-500464	Gen Consultants Non Ben	\$ 1		\$ 1
049-500294	Transfer to Other Agencies	\$ 1		\$ 1
050-500109	Part-Time Salaries	\$ 40,544		\$ 40,544
059-509059	Full-Time (Temporary)	\$ 49,206	\$ 140,429	\$ 189,635
060-500612	Benefits (Temporary)	\$ 23,737	\$ 69,223	\$ 92,960
066-500544	Educational Training	\$ 1		\$ 1
070-500704	Mileage private cars instate	\$ 690	\$ 3,100	\$ 3,790
072-502646	Prog Reimbursement	\$ 1		\$ 1
073-500582	Grants to other state agencies	\$ 1		\$ 1
080-500716	Out of state travel	\$ 1		\$ 1
102-500731	Contracts for Program Services	\$ 65,704	\$ 225,512	\$ 291,216
103-502664	Contract for Operational Svs	\$ 1		\$ 1
TOTAL		\$ 183,152	\$ 452,084	\$ 635,236

Office of Professional Licensure and Certification - Board of Pharmacy
 GRANTS FISCAL SITUATION FISCAL YEAR 2016
 01-021-021-215010-52030000-Medical Professionals/PDMP

Total Authorization	\$	836,455
Less Expenditures thru 6/30/15	\$	194,028
Remaining Authorizatin to Budget	\$	<u>642,427</u>
Less Current FY16 Budget Authorization	\$	183,152
Total Available for Budgeting	\$	<u>459,275</u>
Available to Budget at Later Date	\$	7,191
REQUESTED ACTION	\$	<u>452,084</u>

Grant Award Number	Award Amount	Expenses to 6/30/15	Balance
NASCSA	\$ 15,500	\$ 15,500	\$ -
DOJ Grant 2014	\$ 368,871	\$ 178,528	\$ 190,343
DOJ Grant 2016	\$ 452,084	\$ -	\$ 452,084
Total	\$ 836,455	\$ 194,028	\$ 642,427

STATE OF NEW HAMPSHIRE DEPARTMENT OF JUSTICE GRANT AWARD		
Agency Name: Office of Professional Licensure and Certification	Vendor No.: 177884B001	
Program Name: NH Prescription Drug Safety Program	Amount: \$ 452,084.00	
Grant Start Date: 2/10/2016	State Grant Number: 2016PMP01	
Grant End Date: 9/30/2017	Federal Grant Number: 2015-PM-BX-0006	
Appropriation No.: 02-20-20-201510-2907-072		
Head of Agency	Project Director	Fiscal Officer
Louise Lavertue OPLC 121 South Fruit Street Concord, NH 03301 603-271-2350	Michelle Ricco Jonas Program Manager	Kira Hagman Director of Administration
Federal Grant Name:	Harold Rogers Prescription Drug Monitoring Program	
Federal Agency:	United States Department of Justice	
Bureau/Office:	Office of Justice Programs	
CFDA Number:	16.754	
Purpose of Grant:	Prescription Drug Monitoring Program	
Program Requirements:	Adherence to Program Conditions and Guidelines.	
Match Requirements:	Match must be spent on program allowable activities.	
Program income Requirements:	Program Income must be reported and spent on program allowable activities.	
Reporting Requirements:	Monthly or quarterly Financial reports. Required Performance reports and audit. Adherence to Program Conditions and Guidelines. Completion of Monitoring forms and processes.	
Approval	Program Agency	NH Department of Justice
Name	Louise Lavertue	Pending G&C Approval Kathleen Carr
Title	OPLC	Director of Administration
Date		
All terms of this grant award are not valid unless signed by both authorized parties.		



State Of New Hampshire
DIVISION OF PERSONNEL
Department of Administrative Services
State House Annex – 28 School Street
Concord, New Hampshire 03301

VICKI V. QUIRAM
Commissioner
(603) 271-3201

SARA J. WILLINGHAM
Director of Personnel
(603) 271-3261

February 2, 2016

Louise Lavertu, Executive Director
Office of Professional Licensure & Certification
121 South Fruit St.
Concord, NH 03301

Regarding: Request to establish a full-time temporary Administrative Assistant I, labor grade 16

Dear Director Lavertu:

The Division of Personnel approves of your agency's request of February 2, 2016 to establish a full-time temporary Administrative Assistant I, labor grade 16 for the Prescription Drug Monitoring Program, and have assigned the position number of 9T2815 pending approval of funding.

This position number will be inactive until you receive funding approval from the Fiscal Committee per RSA 124:15, and the Position Profile Form (PPF) is subsequently signed off on by the Department of Administrative Services Business Office.

It will be your responsibility to bring the request for funding before the Fiscal Committee. You may use this letter as confirmation of our decision. Once you have obtained Fiscal Committee approval, please notify the Classification Section with documentation. Thank you.

Very truly yours,

Jennifer J. Elberfeld
Classification & Compensation Administrator

Cc: Sara J. Willingham, Director of Personnel

G.C. #50 36
2/28/14 FIS 14 015



STATE OF NEW HAMPSHIRE
BOARD OF PHARMACY
121 South Fruit Street
Concord, NH 03301-2412
Phone: 603-271-2350 | Fax: 603-271-2856

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- ☒ Charles J. Fanaras, R.Ph. - Pres
 - ☒ Robert J. Stout, R.Ph. - Vice President
 - ☒ Helen C. Pervanas, PharmD, R.Ph. - Secret
 - ☒ Michael D. Bullek, R.Ph. - Treasurer
 - ☒ John R. Genovese, R.Ph. - Member
 - ☒ Gary J. Merchant, R.Ph., M.B.A. - Member
 - ☒ Heather C. Shambarger - Public Member

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James M. Queenan, R.Ph. Executive Secretary Director	Margaret A. Clifford, R.Ph. Chief Compliance Investigator	Robert D. Elder, R.Ph. Compliance Investigator	Brenda J. Langis Licensing Assistant	Jason R. Richard Business Administrator
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Website: www.nh.gov/pharmacy
pharmacy.board@nh.gov

E-Mail:

January 23, 2014

The Honorable Mary Jane Wallner, Chairman
Fiscal Committee of the General Court
State House
Concord, New Hampshire 03301

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
State House
Concord, New Hampshire 03301

[Signature]
Approved by Fiscal Committee

2/14/14
Date

REQUESTED ACTION

1. Pursuant to RSA 14:30-a, authorize the Board of Pharmacy to accept and expend funds from the Department of Justice in the amount of \$368,871 to implement the Controlled Drug Prescription Health and Safety Program, effective upon Fiscal Committee and Governor and Council approval through March 31, 2015. This is 100% Other (Department of Justice Assistance grant).
2. Pursuant to RSA 124:15, authorize the Board of Pharmacy to establish a temporary full-time position, , Class 059, Administrator I, Labor Grade 27, effective upon Fiscal Committee and Governor and Council approval through March 31, 2015. This position would report to the New Hampshire Board of Pharmacy.
3. Pursuant to RSA 124:15 authorize the Board of Pharmacy to extend the temporary part-time position, Class 50, Administrator I, Labor Grade 27, expiring on June 30, 2014, to continue through March 31, 2015. This position would report to the Program Manager who reports to the New Hampshire Board of Pharmacy. This position was approved by the Fiscal Committee on July 10, 2013, Fiscal item #FIS-13-164, and Governor and Council on July 24, 2013, Item #57.

Funds are to be budgeted in account #05-0074-074-PHB743510-3331PDM/HEALTH AND HUMAN SERVICES/HHS: ADMIN ATTACHED BOARDS/PHARMACY BOARD/PRESCRIPTION DRUG MONITORING as follows:



STATE OF NEW HAMPSHIRE
BOARD OF PHARMACY

121 South Fruit Street
Concord, NH 03301-2412
Phone: 603-271-2350 | Fax: 603-

271-2856

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James M. Queenan, R.Ph.
Executive Secretary / Director

Margaret A. Clifford, R.Ph.
Chief Compliance Investigator

Board Staff
Robert D. Elder, R.Ph.
Compliance Investigator

Brenda J. Langis
Licensing Assistant

Jason R. Richard
Business Administrator

Website: www.nh.gov/pharmacy
pharmacy.board@nh.gov

E-Mail:

September 3, 2014

The Honorable Mary Jane Wallner, Chairman
Fiscal Committee of the General Court
State House
Concord, New Hampshire 03301

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
State House
Concord, New Hampshire 03301


Approved by Fiscal Committee 11/10/14 Date

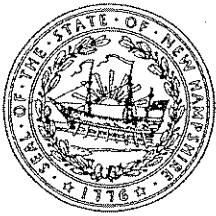
REQUESTED ACTION

Pursuant to RSA 14:30-a VI, authorize the Board of Pharmacy to amend Fiscal item #FIS 14-015 approved by the Fiscal Committee on February 14, 2014 and by Governor and Council on February 28, 2014 item #36, by reallocating budget line items in the amount of \$107,894.88 in #05-0074-074-PHB743510-3331 effective with the date of Fiscal Committee and Governor and Council approval through March 31, 2015. This is 100% Other (Department of Justice Assistance grant).

Funds are budgeted in account #05-0074-074-PHB743510-3331PDM/HEALTH AND HUMAN SERVICES/HHS: ADMIN ATTACHED BOARDS/PHARMACY BOARD/PRESCRIPTION DRUG MONITORING as follows:

STATE FISCAL YEAR 2015

CLASS	DESCRIPTION	CURRENT AUTHORIZED BUDGET	REQUESTED ACTION	REVISED AUTHORIZED
005-401716	Private Local Funds	(\$17.87)	\$0	(\$17.87)
009-403574	Agency Income	(\$362,486.65)	\$0	(\$362,486.65)
Totals:		(\$362,504.52)		(\$362,504.52)



STATE OF NEW HAMPSHIRE
BOARD OF PHARMACY

121 South Fruit Street
Concord, NH 03301-2412
Phone: 603-271-2350 | Fax: 603-271-2856

Board Members
☒ Charles J. Fanaras, R.Ph. - President
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☒ Gary J. Merchant, R.Ph., M.B.A. - Member

55

Board Staff

Margaret A. Clifford, R.Ph.
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Robert D. Elder, R.Ph.
Compliance Investigator

James M. Queenan, R.Ph.
Compliance Investigator

Brenda J. Langis
Licensing Assistant

Jason R. Richard
Business Administrator

Paula L. Smykil
License Clerk

Website: www.nh.gov/pharmacy

E-Mail: pharmacy.board@nh.gov

February 26, 2015

GC 4/8/15 #55
FIS 4/3/15 #15-045

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court
State House
Concord, New Hampshire 03301

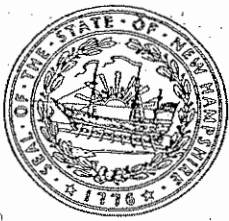
Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
State House
Concord, New Hampshire 03301

REQUESTED ACTION

1. Pursuant to RSA 14:30-a, authorize the Board of Pharmacy to **retroactively** amend Fiscal Item 14-015 approved on February 14, 2014 and the Governor and Council on February 28, 2014, item # 36 by extending the end dates from March 31, 2015 to June 30, 2015 to complete the implementation of the Controlled Drug Prescription Health and Safety Program. This item was subsequently amended on November 10, 2014, #FIS 14-166 and the Governor and Council on December 3, 2014, item #73 to reallocate funds. No increase in funding is requested at this time. 100% Transfer from other State agency
2. Pursuant to RSA 124:15, authorize the Board of Pharmacy to **retroactively** amend the request to establish a temporary full-time position, Class 059, Administrator I, Labor Grade 27, approved by the Fiscal Committee on February 14, 2014, FIS 14-015 and by the Governor and Council on February 28, 2014, item #36, by extending the end date from March 31, 2015 to June 30, 2015. 100% Transfer from other State agency
3. Pursuant to RSA 124:15, authorize the Board of Pharmacy to **retroactively** further amend the request to extend the temporary part-time position, Class 50, Administrator I, Labor Grade 27, approved by the Fiscal Committee on February 14, 2014, FIS 14-015 and the Governor and Council on February 28, 2014, item #36 from March 31, 2015 through June 30, 2015. This position was originally approved by the Fiscal Committee on July 10, 2013, Fiscal item #13-164 and the Governor and Council on July 24, 2013, item #57. 100% Transfer from other State agency

EXPLANATION

This request is **retroactive** due to the delay in making the Fiscal Committee and Governor and Council meetings in February. The original end date for this item is March 31, 2015 and the next scheduled meeting for the Fiscal Committee is April 3, 2015.



STATE OF NEW HAMPSHIRE
BOARD OF PHARMACY

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Concord, NH 03301-2412
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E-Mail: pharmacy.board@nh.gov

Board Members
Charles J. Fanaras, R.Ph. - President
Robert J. Stout, R.Ph. - Vice President
Helen C. Pervanas, PharmD, R.Ph - Secretary
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Gary J. Merchant, R.Ph., M.B.A. - Member

Executive Director
Michael R. Dupuis, R.Ph., MHA

July 1, 2015

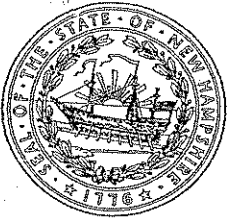
FIS 15-137 7/29/15
Approved
G:C #70 8/5/15

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court
State House
Concord, New Hampshire 03301

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
State House
Concord, New Hampshire 03301

REQUESTED ACTION

1. Pursuant to RSA 14:30-a, VI authorize the Board of Pharmacy to **retroactively** amend Fiscal Item 14-015 originally approved by the Fiscal Committee on February 14, 2014 and by the Governor and Council on February 28, 2014, item #36 by extending the current end date from June 30, 2015 to December 31, 2015 to complete the implementation of the Controlled Drug Prescription Health and Safety Program. This item was previously amended by the Fiscal Committee on November 10, 2014, item #14-166 and by the Governor and Council on December 3, 2014, item #14-166 to reallocate funds between expenditure class lines, and further amended by the Fiscal Committee on April 3, 2015, item #15-045, and by the Governor and Council on April 8, 2015, item #55 to extend the end date from March 31, 2015 to June 30, 2015. The requested approval is retroactive to June 30, 2015 and no increase in funding is requested at this time. 100% Transfer from other State agency
2. Pursuant to RSA 124:15, authorize the Board of Pharmacy to **retroactively** further amend the item to extend the temporary full-time position, Class 059, Administrator I, Labor Grade 27, originally approved by the Fiscal Committee on February 14, 2014, item #14-015 and by the Governor and Council on February 28, 2015, item #36 from the current end date from June 30, 2015 to December 31, 2015 effective retroactive to June 30, 2015. This position was previously extended from March 31, 2015 to June 30, 2015 by the Fiscal Committee on April 3, 2015, item #15-045 and by the Governor and Council on April 8, 2015, item #55#1 above. 100% Transfer from other State agency
3. Pursuant to RSA 124:15, authorize the Board of Pharmacy to **retroactively** amend Fiscal Item #13-164 to extend the temporary part-time position, Class 50, Administrator I, Labor Grade 27, originally approved by the Fiscal Committee on July 10, 2013, and the Governor and Council on July 24, 2013, item #57 from the current end date of June 30, 2015 to December 31, 2015 effective retroactive to June 30, 2015. This position item was previously extended by the Fiscal Committee on February 14, 2014, FIS 14-015, and by the Governor and Council on February 28, 2014, item #36, and subsequently extended by the Fiscal Committee on April 3, 2015, item #15-045, and by the Governor and Council on April 8, 2015, item #55 extending the end date from March 31, 2015 through June 30, 2015. 100% Transfer from other State agency



STATE OF NEW HAMPSHIRE
BOARD OF PHARMACY

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Board Members

Robert J. Stout, R.Ph. - President
Michael D. Bullek, R.Ph. - Vice President
John R. Genovese, R.Ph. - Treasurer
Helen C. Pervanas, PharmD, R.Ph - Secretary
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Charles J. Fanaras - Member, R.Ph. - Member
Gary J. Merchant, R.Ph., M.B.A. - Member

Executive Director

Michael R. Dupuis, R.Ph., MHA

December 2, 2015

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court
State House
Concord, New Hampshire 03301

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
State House
Concord, New Hampshire 03301

G.C 12/16/15
Item #46
FISCAL 12/18/15
Item #
FIS 15-273

REQUESTED ACTION

1. Pursuant to RSA 14:30-a, VI authorize the Board of Pharmacy to amend Fiscal Item 14-015 originally approved by the Fiscal Committee on February 14, 2014 and by the Governor and Council on February 28, 2014, item #36 by extending the current end date from December 31, 2015 to March 31, 2016 to complete the implementation of the Controlled Drug Prescription Health and Safety Program. This item was previously amended by the Fiscal Committee on November 10, 2014, item #14-166 and by the Governor and Council on December 3, 2014, item #14-166 to reallocate funds between expenditure class lines, and further amended by the Fiscal Committee on April 3, 2015, item #15-045, and by the Governor and Council on April 8, 2015, item #55 to extend the end date from March 31, 2015 to June 30, 2015 and further amended by the Fiscal Committee on July 29, 2015, item # 15-137, and by the Governor and Council on August 5, 2015, item # 70. The requested approval is to March 31, 2016 and no increase in funding is requested at this time. 100% Transfer from other State agency
2. Pursuant to RSA 124:15, authorize the Board of Pharmacy to further amend the item to extend the temporary full-time position, Class 059, Administrator I, Labor Grade 27, originally approved by the Fiscal Committee on February 14, 2014, item #14-015 and by the Governor and Council on February 28, 2015, item #36 from the current end date of December 31, 2015 to March 31, 2016. This position was previously extended from June 30, 2015 to December 31, 2015 by the Fiscal Committee on July 29, 2015, item # 15-137, and by the Governor and Council on August 5, 2015, item # 70 - #1 above. 100% Transfer from other State agency
3. Pursuant to RSA 124:15, authorize the Board of Pharmacy to amend Fiscal Item #13-164 to extend the temporary part-time position, Class 50, Administrator I, Labor Grade 27, originally approved by the Fiscal Committee on February 14, 2014, item #14-015 and by the Governor and Council on February 28, 2015, item #36 from the current end date of December 31, 2015 to March 31, 2016. This position was previously extended from June 30, 2015 to December 31, 2015 by the Fiscal Committee on July 29, 2015, item # 15-137, and by the Governor and Council on August 5, 2015, item # 70 - #1 above. 100% Transfer from other State agency.

The State of New Hampshire
ADMINISTRATIVE OFFICE OF THE COURTS

Donald D. Goodnow, Esq.
 Director

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March 8, 2016

The Honorable Neal M. Kurk, Chairman
 Fiscal Committee of the General Court
 State House
 Concord NH 03301

Dear Chairman Kurk:

REQUESTED ACTION

Pursuant to RSA 490-F:7, III, the Judicial Branch respectfully requests authorization to convert an existing vacant full-time marital master position to a full-time judicial vacancy in the circuit court, so that the Governor and Executive Council may, in their discretion, make an additional judicial appointment to the circuit court.

EXPLANATION

When the circuit court was formed in 2011, the legislature expressed a strong intent to replace full-time marital masters with full-time circuit court judges. To that end, the legislature enacted RSA 490-F:7, III, which governs what occurs when marital masters leave their positions. That section was amended in 2013 and currently reads as follows:

Upon the retirement, resignation, disability, or nonrenewal of appointment of a marital master, the position shall be converted to a full-time judicial position, provided that the fiscal committee of the general court approves and further provided that sufficient funds have been appropriated for the salary and benefits of the full-time judicial position. Once converted to a full-time judicial vacancy, the governor may nominate and the council may confirm any qualified person pursuant to part 2, article 46 of the New Hampshire constitution.

Chapter 276:22 of the Laws of 2015, Judicial Appointments; Number Limited; Vacancies, caps the number of circuit court judges for this biennium at 31. Currently, one vacant circuit court judicial position exists, a vacancy created by the February 24, 2016, retirement of Judge Gary Cassavechia. As a result, there are currently 30 full-time judges serving in the 31 circuit court judicial positions. Since Judge Cassavechia's retirement on February 24th, Judge Stephen Morrison has submitted his notice of intent to retire effective April 15th and yet another full-time circuit court judge is expected to retire in June or July, 2016, which would bring the number of full-time judges serving in the circuit court down to 28.

Regarding marital master positions, when the circuit court was created in 2011, thirteen marital masters were serving in the circuit court. Currently, only three marital masters continue to serve. Of the ten marital master positions that have become vacant since 2011, the Judicial Branch has sought conversion of seven of those positions to circuit court judgeships. All seven conversion requests were approved by the Fiscal Committee. Those approved conversions are included in the 31 judge cap on circuit court judgeships in effect for the current biennium.

The Governor's Judicial Selection Commission is in the process of making recommendations to the Governor for nominations to fill both of the vacant judicial positions, and may include an existing full-time marital master among its recommendations for one of those positions. Should the Governor nominate, and the Executive Council confirm, a marital master to be appointed to fill one of the vacant judicial positions, only two marital master positions would be filled, with four marital master vacancies that cannot be filled with new marital masters. This would, in effect, reduce the circuit court's combined judicial and marital master workforce by one, which would significantly impact the circuit court's ability to promptly hear cases.

To remedy this situation, we are requesting that a marital master position which became vacant upon the resignation of then-Master, now Circuit Court Judge Robert Foley on December 20, 2013, be converted to a judicial vacancy in the circuit court. This action would allow the Governor to nominate, and the Executive Council to confirm, a third full-time circuit court judge, which would keep the circuit court's combined judicial and marital master workforce at its recent (prior to Judge Cassavechia's resignation) level of 34 active full-time judges and masters.

The circuit court uses an independent workload assessment, which was conducted by the National Center for State Courts, as the basis for both its allocations of judge assignments among trial courts and its requests for judicial salary appropriations. For the last four biennial budgets, the circuit court has been operating with only 80% of the needed judge time demonstrated in the workload assessment. This practice of operating with only 80% of the demonstrated judicial resource need has been inadequate to meet the needs of litigants in the family division of the circuit court. To address this shortfall, the circuit court has asked the clerks to further reduce assignments to the district division and the probate

division, and to increase judicial assignments in the family division. This rebalancing of judicial assignments leaves all three divisions short of judge time. By approving this request, the Fiscal Committee will create another judicial vacancy (from a marital master vacancy) and enable the Governor and Executive Council to fill one more judicial vacancy, thereby addressing the shortfall in circuit court judge time.

If the Fiscal Committee grants this request, and if the Governor nominates and the Executive Council confirms two attorneys and one marital master to fill three vacant judicial positions, the number of authorized circuit court judges will increase from 31 to 32 by operation of Chapter 276:22, II, of the Laws of 2015. At the same time, the number of circuit court marital masters will decrease from three to two. The total number of circuit court judges and marital masters will remain at 34, the same as it was prior to Judge Cassavechia's retirement.

If the Governor and Executive Council do not nominate and confirm a marital master to a vacant judicial position, Fiscal Committee approval of this request will give the Governor and Executive Councilors authority to appoint a third judge, thereby restoring the total number of circuit court judges (32) and marital masters (3) to 35, still short of the number authorized in RSA 490-F:7, I, and within the terms of Chapter 276:22 of the Laws of 2015.

The Judicial Branch budget for FY2016 and FY2017 contains sufficient funds to cover the conversion of this vacant marital master position to a circuit court judicial vacancy in each of the scenarios outlined in the two paragraphs immediately preceding this paragraph.

Attached to this request are the full texts of RSA 490-F:7 and Chapter 276:22 of the Laws of 2015.

Sincerely,



Donald D. Goodnow
Director

490-F:7 Circuit Court Judges; Number. –

I. Except as provided in paragraph II, the number of judges and masters in the circuit court shall not be less than the number of authorized judicial and master positions in the former district court, probate court, and judicial branch family division, as well as any superior court judicial officer or marital master equivalent not yet transferred to the former judicial branch family division on the effective date of this chapter but necessary to complete the family division implementation as set forth in RSA 490-D.

II. Upon the retirement, resignation, disability, or removal of a part-time justice or special justice of the former probate or district courts, the position shall be eliminated, unless within 30 days of such retirement, resignation, disability, or removal the supreme court certifies in writing to the governor that due to population, caseload, and available judicial resources, the position needs to be continued in the circuit court.

III. Upon the retirement, resignation, disability, or nonrenewal of appointment of a marital master, the position shall be converted to a full-time judicial position, provided that the fiscal committee of the general court approves and further provided that sufficient funds have been appropriated for the salary and benefits of the full-time judicial position. Once converted to a full-time judicial vacancy, the governor may nominate and the council may confirm any qualified person pursuant to part 2, article 46 of the New Hampshire constitution.

IV. The supreme court, after reviewing population, caseload, judicial time, and efficiency, available judicial resources, the needs of the circuit court, and other relevant criteria may request the governor and council to designate a sitting part-time judge as a full-time judge. The court may recommend certain judges; however, the governor and council shall not be bound by that recommendation. Upon designation, that judge shall become full-time. Prior to making its request, the supreme court shall receive the approval of the fiscal committee of the general court and there shall be funds in the court's budget specifically appropriated for the salary and benefits of an additional full-time circuit court judge.

Source. 2011, 88:1, eff. July 1, 2011. 2013, 89:1, eff. June 20, 2013.

276:22 Judicial Appointments; Number Limited; Vacancies.

I. Except as provided in paragraph II, for the biennium ending June 30, 2017, the number of judges serving on the superior court shall not exceed 21 and the number of full-time judges serving on the circuit court shall not exceed 31.

II. For the biennium ending June 30, 2017, the filling of a marital master position by a judge shall increase the authorized number of circuit court judges allowed under paragraph I for each position so filled.



STATE OF NEW HAMPSHIRE
 DEPARTMENT OF CORRECTIONS
 DIVISION OF ADMINISTRATION
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William L. Wrenn
 Commissioner

Doreen Wittenberg
 Director

February 24, 2016

The Honorable Neal M. Kurk, Chairman
 Fiscal Committee of the General Court
 State House
 Concord, New Hampshire 03301

Her Excellency, Governor Margaret Wood Hassan
 And the Honorable Executive Council
 State House
 Concord, New Hampshire 03301

REQUESTED ACTION

Pursuant to the provisions of Chapter 275, Laws of 2015, budget footnote 8236, class 100, the New Hampshire Department of Corrections (NHDOC) requests authorization of a 100% General Fund appropriation for an additional \$509,500 for prescription drug expenses to cover projected shortfalls for the remainder of State Fiscal Year 2016, effective upon Fiscal Committee and Governor and Executive Council approval.

Funding is to be budgeted in accounts listed on the detailed exhibits as follows:

Medical and Forensic Services, Pharmacy: 02-46-46-465010-8236				
Class	Description	Current Modified Budget	Change	Current Revised Budget
010-500100	Personal Services-Perm. Classified	\$558,533	\$0	\$558,533
018-500106	Overtime	\$8,353	\$0	\$8,353
019-500105	Holiday Pay	\$8,848	\$0	\$8,848
020-500200	Current Expenses	\$29,282	\$0	\$29,282
022-500255	Rents-Leases Other Than State	\$5,922	\$0	\$5,922
024-500225	Maint. Other Than Build. - Grounds	\$16,217	\$0	\$16,217
039-500188	Telecommunications	\$256	\$0	\$256
060-500602	Benefits	\$274,474	\$0	\$274,474
070-500704	In-State Travel Reimbursement	\$39	\$0	\$39
100-500726	Prescription Drug Expenses	\$2,053,268	\$509,500	\$2,562,768
103-502664	Contracts for Operational Services	\$432	\$0	\$432
Total		\$2,955,624	\$509,500	\$3,465,124

EXPLANATION

Based on the expenditures from July 1, 2015 through January 31, 2016, the Department is projecting a deficit of \$509,500 in our pharmaceutical budget line. This number includes multiple expenses described more in detail below. Our analysis during the State budgeting process indicated the Department would experience cost increases as it related to drug treatments for inmates with hepatitis C (HCV) and multiple sclerosis. The pharmaceutical market introduced new, effective (HCV) treatments that became the national treatment standard. In addition, the

Department experienced a higher rate of inmates with a diagnosis of multiple sclerosis. Medications to treat this disease are expensive and less expensive generic medication options for treatment are not available.

Further, since historical expenses are a reliable predictor of future costs, it should be noted that the amount appropriated in SFY16 for the purchase of pharmaceuticals did not equal last year's actual expenditures. The SFY 16 appropriation was about nine percent (9%) lower than actual SFY 15 expenditures. The approved budget for SFY16 also did not include the predicted increase for the health care industry of roughly five percent (4.8%) for pharmaceutical costs based on the industry standard, the Boston-Brockton Consumer Price Index (CPI). As another benchmark, the Centers for Medicare and Medicaid Services (CMS) is predicting an average increase of 6.3% in pharmaceutical spending each year from 2015 through 2024.

Projected Expenses	
	Through SFY16
Pharmacy	(\$820,000)
Unique	(\$140,500)
Total Program	(\$960,500)
Available Funds	\$451,000
Projected Deficit	(\$509,500)

On average, \$205,000 per month has been expended to cover pharmaceutical costs for SFY 2016 based on the time period of July 01, 2015 through January 31, 2016. We project that expenditure rate to continue and anticipate requiring \$140,500 for extraordinary situations for a total deficit (less available encumbered funds) of \$509,500 in the pharmacy budget.

The Division of Medical and Forensic Services takes several approaches to try to reduce and manage expenditures within our pharmaceutical budget. We monitor through our monthly Pharmaceutical and Therapeutics Committee meeting the cost of medications and look for lower cost alternatives. In this committee, we also track and trend pharmaceuticals coming onto the market and their effect on our treatment practices so we can forecast impacts on our budget. In addition, our Chief Pharmacist reviews manufacturer lists regularly in order to ensure that we obtain the best prices for pharmaceuticals. Additionally, our Chief Pharmacist tries to anticipate manufacturer shortages on generics and purchases those in advance. We are also part of the Minnesota Multi-State Contract Alliance for Pharmacy (a purchasing consortium of states and municipalities) to increase our ability to obtain discounts on pharmaceuticals by joining with other States to increase our ability to negotiate on pricing. We continue to work with pharmaceutical companies to provide reduced or no cost solutions for unique high cost medication through their indigent care programs.

The request for \$140,500 for unique expenses is a result of medical conditions occurring in our population that could not be anticipated. The current American Association for the Study of Liver Diseases (AASLD) clinical practice guideline for the treatment of HCV infected adults recognizes several medications which are more expensive than the treatment we have used historically with inmates diagnosed HCV positive in our custody. We continue to have an increase in those who meet our clinical guidelines for treatment requiring the newer high cost medications in order to treat their HCV. We anticipate three more patients reaching the threshold for treatment in the next four months and who will require this new level of treatment. The projected cost for these three patients is \$93,000.

In addition, we have three patients with multiple sclerosis (MS) who do not qualify for medical parole. Two of them require a medication called Capoxone to manage their illness. This drug will cost \$36,000 in the next four months. The third is on a medication called Tecfidera for which we were able to obtain indigent care funding from the pharmaceutical company reducing our current need for additional dollars for this one inmate.

The Department has not been able to protect itself from the State Opioid epidemic and as a result we have deployed the use of naloxone (Narcan) to respond to potential heroin overdoses at our transitional housing units

and at our prison facilities. We continue to combat the introduction of contraband into our facilities. Our Transitional Housing Unit inmates are accessing the community on a daily basis and with their prevalence of substance use diagnoses; they are at risk for use and abuse of opioids. This did result in unexpected costs for Narcan so that we can be prepared for these emergent situations. The cost of this emergency readiness was \$11,500 and we were not able to absorb it in the established budget.

The Department will continue to seek opportunities to reduce costs through ongoing review of drug costs and seeking community partnerships, including indigent care programs, as available.

Respectfully Submitted,



William L. Wrenn
Commissioner



THE STATE OF NEW HAMPSHIRE
DEPARTMENT OF TRANSPORTATION



VICTORIA F. SHEEHAN
COMMISSIONER

WILLIAM CASS, P.E.
ASSISTANT COMMISSIONER

Bureau of Turnpikes
February 16, 2016

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court
State House
Concord, New Hampshire 03301

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
State House
Concord, New Hampshire 03301

REQUESTED ACTION

Pursuant to Chapter 276:29, Laws of 2015, authorize the Department of Transportation to transfer \$35,000 between Turnpike Fund accounts and classes effective upon Fiscal Committee and the date of Governor and Council approval through June 30, 2016.

04-096-096-961017-70270000	Current Budget FY 2016	Requested Change	Revised Budget FY 2016
Central NH Tpk Maintenance			
Expenses:			
010 500100 Personal Services – Perm	\$993,729	\$0	\$993,729
017 500147 FT Employees Special Pay	29,400	0	29,400
018 500106 Overtime	325,000	35,000	360,000
019 500105 Holiday Pay	8,000	0	8,000
020 500200 Current Expense	1,044,627	(15,000)	1,029,627
022 500255 Rents-Leases Other than State	650,363	(20,000)	630,363
023 500291 Heat, Electricity, Water	318,357	0	318,357
024 500225 Maint Other than Bldg-Grnds	11,129	0	11,129
030 500311 Equipment	702,470	0	702,470
037 500173 Technology - Hardware	800	0	800
038 500175 Technology - Software	400	0	400
039 500188 Telecommunications	29,000	0	29,000
047 500240 Own Forces Maint	30,000	0	30,000
048 500226 Contract Maint Bldgs & Grnds	81,824	0	81,824
050 500109 Personal Services – Temp	85,000	0	85,000
060 500601 Benefits	786,693	0	786,693

068 500563 Remuneration	3,000	0	3,000
070 500704 In-State Travel	7,800	0	7,800
103 500741 Contracts for Operational Svc	67,000	0	67,000
400 500871 Construction Repair Material	124,999	0	124,999
Total	\$5,299,591	\$0	\$5,299,591
Source of Funds			
Revenue:			
000017 Turnpike Fund	\$5,255,446	\$0	\$5,255,446
009-403669 Sponsorship Fee	44,145	0	44,145
Total	\$5,299,591	\$0	\$5,299,591

The Department requests authorization to transfer budgeted account funds among the various accounts in order to pay for services incurred in meeting the State's transportation demands. Specific explanations relating to the Department's spending requests are as follows:

EXPLANATION

Central NH Turnpike Maintenance (70270000) – 99.99% Turnpike Funds, 0.01% Agency Income:

Central NH Turnpike Maintenance (70270000): Used to maintain the Central Turnpike infrastructure at the Department's required level of service with own forces and hired equipment.

Class 018 Increase Overtime by \$35,000. Additional overtime is needed for staffing of NH Motor Speedway events, supporting State Police with Incident Management events (i.e. accidents) and the expanded Motor Service Patrol which is provided to assist and provide service for Turnpike patrons experiencing motor vehicle problems while traveling on the Turnpikes. On November 18, 2015 (Item #19), G&C approved the two-year contract renewal for Sponsorship of the Motor Service Patrol with provisions for additional service vehicles and personnel needs beyond the limits anticipated in the original approved budget.

Class 020 Decrease Current Expense by \$15,000. It is anticipated this account will have available funds due to lower salt expenditures because of a milder winter.

Class 022 Decrease Rented Equipment by \$20,000. It is anticipated this account will have available funds due to lower hired equipment expenditures because of a milder winter.

The following is provided in accordance with the Budget Officer's instructional memorandum dated April 17, 1985 to support the above requested actions:

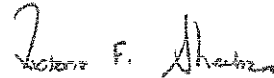
1. Does transfer involve continuing programs or one-time projects?
Transfers are for continuing programs (not one-time).
2. Is this transfer required to maintain existing program level or will it increase program level?
Transfers are to maintain existing program levels (no increase in program level).
3. Cite any requirements, which make this program necessary.

RSA 21-L:2, in part, establishes that the Department will be responsible for planning, developing, and maintaining a state transportation network. This transfer will facilitate the accomplishment of this responsibility.

4. Identify the source of funds on all accounts listed on this transfer.
Source of funds is Turnpike Funds.
5. Will there be any effect on revenue if this transfer is approved or disapproved?
This transfer will have no effect on revenue.
6. Are funds expected to lapse if this transfer is not approved?
Funds would lapse back to the Turnpike Fund.
7. Are personal services involved?
The transfer does not result in any new positions.

It is respectfully requested that this resolution be approved.

Sincerely,



Victoria F. Sheehan
Commissioner



THE STATE OF NEW HAMPSHIRE
DEPARTMENT OF TRANSPORTATION

FIS 16 050



Victoria F. Sheehan
Commissioner

William Cass, P.E.
Assistant Commissioner

The Honorable Neal M. Kurk, Chairman
 Fiscal Committee of the General Court
 State House
 Concord, New Hampshire 03301

Division of Aeronautics, Rail and Transit
 February 12, 2016

Her Excellency, Governor Margaret Wood Hassan
 and the Honorable Council
 State House
 Concord, New Hampshire 03301

REQUESTED ACTION

Pursuant to Chapter 276:29, Laws of 2015, Transfer Among Accounts and Classes, authorize the Department of Transportation to establish a non-budgeted class and to transfer funds in and among accounting units in the amount of \$289,000 effective upon Fiscal Committee and Governor and Council approval through June 30, 2016. Funding Source: 100% Federal Funds.

04-096-096-964010-2916	Current Budget FY 2016	Requested Change	Revised Budget FY 2016
Public Transportation			
Expenses:			
010 500100 Personal Services – Perm	\$344,341	\$0	\$344,341
018 500106 Overtime	6,999	0	6,999
020 500200 Current Expense	10,500	0	10,500
022 500255 Rents-Leases Other than State	518	0	518
024 500225 Maint Other than Build-Ground	100,000	0	100,000
026 500251 Organizational Dues	8,250	0	8,250
029 500290 Intra-Agency Transfers	6,000	0	6,000
030 500311 Equipment - New Replacement	1,144,620	\$200,000	1,344,620
035 509035 Shared Services Support	3,594	0	3,594
037 500174 Technology – Hardware	56,500	0	56,500
038 500177 Technology- Software	35,500	0	35,500
039 500180 Telecommunications	4,350	0	4,350
040 500800 Indirect Costs	30,569	\$4,000	34,569
046 500463 Consultants	4,500	\$85,000	89,500
047 500140 Own Forces Maint Build-Ground	20,000	0	20,000
048 500226 Contractual Maint Build-Ground	852,813	(\$289,000)	563,813
057 500535 Books Periodicals Subscriptions	100	0	100
060 500601 Benefits	170,283	0	170,283
066 500546 Employee Training	500	0	500

067 500557 Training of Providers	25,000	0	25,000
070 500704 In-State Travel Reimbursement	1,250	0	1,250
072 500575 Grants Federal	11,738,726	0	11,738,726
073 500581 Grants Non Federal	300,160	0	300,160
081 509081 Out of State Travel Fed Reimb	3,750	0	3,750
103 502664 Contracts for Operational Svcs	2,479,348	0	2,479,348
400 500869 Construction Repair Materials	6,000	0	6,000
404 500880 Intra-Indirect Costs	55,996	0	55,996
Total	\$17,410,167	\$0	\$17,410,167
Source of Funds			
Revenue:			
000 404622 Federal Funds	\$17,007,247	\$0	\$17,007,247
005 402759 Private Local Funds	301,545	0	301,545
000 000010 General Funds	101,375	0	101,375
Total	\$17,410,167	\$0	\$17,410,167

EXPLANATION

The Department requests authorization to transfer budgeted account funds among the various accounts in order to pay for services incurred in meeting the State's transportation demands. Specific explanations relating to the Department's spending requests are as follows:

Public Transportation (2916) – 97.69% Federal Funds, 1.73% Private Local Funds, 0.58% General

- Class 030 Increase Equipment New Replacement by \$200,000. This transfer is needed for the purchase of state-owned capital equipment which will be installed in state-owned bus terminals and will include security camera systems at the Portsmouth Transportation Center and Salem Bus Terminal. The funding will also be used to install two emergency call boxes at the state-owned Nashua Bus Terminal at Exit 8 off the FE Everett Turnpike. All equipment purchased will be state-owned equipment.

- Class 040 Increase Indirect Cost by \$4,000. This transfer is to account for the latest Statewide Cost Allocation Plan.

- Class 046 Increase Consultants by \$85,000. This transfer is to utilize an engineering consultant under contract with Transportation Systems Management & Operations (TSMO) Bureau in order to upgrade security camera systems in Portsmouth and Salem.

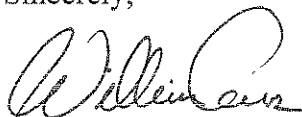
- Class 048 Decrease Contractual Maintenance Build - Ground by \$289,000. Funds for security camera equipment were originally budgeted in class 048 Contractual Maintenance as they were going to be installed and maintained by the contractor. It is has now been determined that the security camera equipment will be stated-owned and should have been budgeted in class 030 Equipment New Replacement.

The following is provided in accordance with the Budget Officer's instructional memorandum dated April 17, 1985 to support the above requested actions:

1. Does transfer involve continuing programs or one-time projects?
Transfers are for continuing programs (not one-time).
2. Is this transfer required to maintain existing program level or will it increase program level?
Transfers are to maintain existing program levels (no increase in program level).
3. Cite any requirements, which make this program necessary.
RSA 21-L:2, in part, establishes that the Department will be responsible for planning, developing, and maintaining a state transportation network. This transfer will facilitate the accomplishment of this responsibility.
4. Identify the source of funds on all accounts listed on this transfer.
Source of funds is Federal Funds, Private Local Funds, and General Funds.
5. Will there be any effect on revenue if this transfer is approved or disapproved?
This transfer will have no effect on revenue.
6. Are funds expected to lapse if this transfer is not approved?
No.
7. Are personal services involved?
The transfer does not result in any new positions.

Your approval of this resolution is respectfully requested.

Sincerely,


For Victoria F. Sheehan
Commissioner



THE STATE OF NEW HAMPSHIRE
DEPARTMENT OF TRANSPORTATION



Victoria F. Sheehan
Commissioner

William Cass, P.E.
Assistant Commissioner

February 18, 2016
Division of Operations

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court
State House
Concord, New Hampshire 03301

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
State House
Concord, New Hampshire 03301

REQUESTED ACTION

Pursuant to Chapter 276:29, Laws of 2015, authorize the Department of Transportation to transfer \$255,100 between Highway Fund accounts and classes effective upon Fiscal Committee and the date of Governor and Council approval through June 30, 2016.

04-096-096-960515-3048	Current Budget FY 2016	Requested Change	Revised Budget FY 2016
Maintenance Critical Repair			
Expenses:			
018 500106 Overtime	\$1,500	\$(1,400)	\$100
020 500200 Current Expense	22,140	(21,000)	1,140
022 500255 Rents-Leases Other than State	12,000	(12,000)	0
024 500225 Maint. Other than Bldg-Grounds	20,000	(19,000)	1,000
030 500311 Equipment	53,070	0	53,070
037 500165 Technology-Hardware	5,000	(4,900)	100
046 500463 Consulting	81,489	0	81,489
047 500240 Own Forces Maint Build-GRN	296,333	(131,800)	164,533
048 500226 Contractual Maint Build-GRN	397,025	190,100	587,125
060 500601 Benefits	302	0	302
Total	\$888,859	\$0	\$888,859
<u>Source of Funds</u>			
<u>Revenue:</u>			
000 000015 Highway Fund	\$888,859	\$0	\$888,859

04-096-096-960215-3001	Current Budget FY 2016	Requested Change	Revised Budget FY 2016
Division of Finance			
Expenses:			
010 500100 Personal Services – Permanent	\$1,846,653	\$0	\$1,846,653
018 500106 Overtime	34,701	0	34,701
020 500200 Current Expense	152,969	(65,000)	87,969
022 500255 Rents-Leases Other than State	57,393	0	57,393
024 500225 Maint. Other than Bldg-Grounds	64,595	0	64,595
030 500311 Equipment	2,000	65,000	67,000
037 500165 Technology-Hardware	450	0	450
038 500175 Technology-Software	600	0	600
039 500180 Telecommunications	19,700	0	19,700
050 500109 Personal Service-Temp	38,000	0	38,000
057 500535 Books, Periodicals, Subscriptions	500	0	500
060 500601 Benefits	1,017,736	0	1,017,736
065 500542 Board Expenses	4,300	0	4,300
066 500555 Employee Training	2,500	0	2,500
068 500563 Remuneration	5,000	0	5,000
069 500567 Promotional and Marketing Expenses	25,000	0	25,000
070 500704 In-State Travel Reimbursement	100	0	100
Total	\$3,272,197	\$0	\$3,272,197
Source of Funds			
Revenue:			
000 409151 Federal Funds	\$948,387	\$0	\$948,387
009 405698 Agency Income	110,566	0	110,566
000 000015 Highway Fund	2,213,244	0	2,213,244
Total	\$3,272,197	\$0	\$3,272,197

EXPLANATION

The Department requests authorization to transfer budgeted account funds among the various accounts in order to pay for services incurred in meeting the State's transportation demands. Specific explanations relating to the Department's spending requests are as follows:

Maintenance Critical Repair (3048) – 100% Highway Funds

Maintenance and Critical Repair (3048) is used to provide more critical attention to our NHDOT facilities and estimated budgeted funds are transferred as needed. Critical repairs and priorities change as things deteriorate or break during the fiscal year. Projects this fiscal year include: furnace replacement, overhead door repair/replacement, rewiring sheds, reconstruct spreader racks, and electrical repairs. The requested transfer is to complete an unplanned boiler replacement.

Class 018 Decrease Overtime by \$1,400

Class 020 Decrease Current Expenses by \$21,000

- Class 022 Decrease Rents-Leases Other than State by \$12,000
- Class 024 Decrease Maint Other Then Build-GRN by \$19,000
- Class 037 Decrease Technology - Hardware by \$4,900
- Class 047 Decrease Own Forces Maint Build-GRN by \$131,800
- Class 048 Increase Contractual Maintenance Building-Grounds by \$190,100

The requested transfer is to realign the appropriations based on project needs as determined by Division of Operation's management. These are estimated budgeted funds and this request is to transfer funds based on actual experience.

Division of Finance (3001) – 28.98% Federal Funds, 3.38% Agency Income, 67.64% Highway Funds

- Class 020 Decrease Current Expenses by \$65,000. Current Expense will be reduced as a result of an agreement with the Dept. of Administrative Services to perform mailing function for the Department of Transportation. The result of which the Department benefitted from a credit on our postage machine from the USPS and budgeted funds will no longer be utilized at year-end to reload the postage machine.

- Class 030 Increase Equipment – New Replacement by \$65,000. The Department of Administrative Services successfully procured a bid for a replacement color printer/scanner/copier and a replacement monochrome printer/scanner/copier. We are requesting this transfer to allow for the purchase of these two copiers to be used to print engineering project plans, specification books and proposals. Both printers have exceeded their useful life expectancy and can no longer be maintained under a maintenance service agreement, therefore, parts and service will not be available or would be prohibitively expensive.

The following is provided in accordance with the Budget Officer's instructional memorandum dated April 17, 1985 to support the above requested actions:

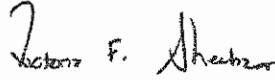
1. Does transfer involve continuing programs or one-time projects?
Transfers are for continuing programs (not one-time).
2. Is this transfer required to maintain existing program level or will it increase program level?
Transfers are to maintain existing program levels (no increase in program level).
3. Cite any requirements, which make this program necessary.
RSA 21-L:2, in part, establishes that the Department will be responsible for planning, developing, and maintaining a state transportation network. This transfer will facilitate the accomplishment of this responsibility.
4. Identify the source of funds on all accounts listed on this transfer.
Source of funds is Highway Funds, Federal Funds and Agency Income.
5. Will there be any effect on revenue if this transfer is approved or disapproved?
This transfer will have no effect on revenue.
6. Are funds expected to lapse if this transfer is not approved?

Should funds lapse as a result of not approving this request for project funding, such funds will lapse to their respective account fund balances.

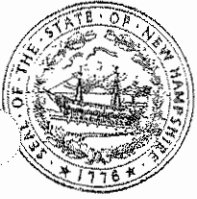
7. Are personal services involved?
The transfer does not result in any new positions.

Your approval of this resolution is respectfully requested.

Sincerely,



Victoria F. Sheehan
Commissioner



State of New Hampshire

FIS 16 057

DEPARTMENT OF HEALTH AND HUMAN SERVICES

129 PLEASANT STREET, CONCORD, NH 03301-3857
603-271-9200 FAX: 603-271-4912 TDD ACCESS: RELAY NH 1-800-735-2964

JEFFREY A. MEYERS
COMMISSIONER

March 8, 2016

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court, and

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
State House
Concord, New Hampshire 03301

REQUESTED ACTION

On January 5, 2016, the Centers for Medicare & Medicaid Services (CMS) approved New Hampshire's Building Capacity 1115 Transformation Waiver. Under the transformation waiver, CMS will provide the State with up to \$30 million in funding each year for five calendar years. There are two distinct federal funding streams associated with the waiver, a federal reimbursement for Designated State Health Programs (DSHP) and a federal reimbursement for Delivery System Reform Incentive Payments (DSRIP).

DSHP funds consist of new federal matching funds received on existing state health related programs. Under the waiver approval, DSHP funds will be disbursed to fund new DSRIP reform projects. The DSRIP payments will go to regional networks of health care and social service providers. Through these regional networks, New Hampshire will transform its behavioral health delivery system by: expanding provider capacity to address behavioral health needs; integrating physical and behavioral health; and reducing gaps in care transitions.

While the waiver is approved for a 5 calendar year period through December 31, 2020, the fiscal actions below request approval for the Department to claim costs associated with the waiver's implementation for SFY16 and SFY17 only. The three (3) actions, in summary, request the authority to accept and expend additional federal revenues and to transfer existing funds to serve as the non-federal match as required by the waiver.

Action #1) Pursuant to the provisions of RSA 14:30-a, VI, Additional Revenues, authorize the Department of Health and Human Services to accept and expend federal Designated State Health Program (DSHP) funds related to the New Hampshire Building Capacity For Transformation 1115 Waiver program in the amount of \$7,460,754 for SFY 2016 and \$14,921,509 for SFY 2017, **retroactive** to January 5, 2016, through June 30, 2017, and further authorize the funds to be allocated as noted in Appendix A.

Action #2) Upon authorization of Action #1) above, pursuant to the provisions of Chapter 276:143, Laws of 2015, and RSA 14:30-a-VI, further authorize the Department of Health and Human Services to transfer general funds and agency funds in the amounts of \$6,628,814 and \$831,940 respectively for a combined total of \$7,460,754 in SFY2016 and \$13,257,629 and \$1,663,880 respectively for a combined total of \$14,921,509 in SFY 2017, from the accounts listed below into a new accounting unit called the Integrated Delivery Network (IDN) Fund pursuant to the requirements set forth on the special terms and conditions of the New Hampshire Building Capacity For Transformation 1115 Waiver program and authorize such fund to be non-lapsing. The accounts transferred are noted in Appendix A.

Action #3) Upon authorization of Action #1) and #2) above, pursuant to the provisions of RSA 14:30-a, VI, Additional Revenues, authorize the Department of Health and Human Services to accept and expend federal Delivery System Reform Incentive Payment (DSRIP) funds related to the New Hampshire Building Capacity For Transformation 1115 Waiver program in the amount of \$7,460,754 for SFY 2016 and \$14,921,509 for SFY 2017, **retroactive** to January 5, 2016, through June 30, 2017, and further authorize the funds to be allocated as noted in Appendix A.

EXPLANATION

This request is **retroactive** to January 5, 2016, the date of approval of the New Hampshire Building Capacity for Transformation 1115 Wavier from the Centers for Medicare and Medicaid Services (CMS), in order to enable the State, through the Department, to claim costs associated with the waiver's implementation. The waiver is approved for a 5 year calendar period through December 31, 2020, and provides access to \$30 million per year in funding over that 5 year period. This request, however, is limited to SFY 2016 and 2017. The Department will seek to place the funding for SFYs 2018 and 2019 in the next biennial operating budget.

The demand for mental health and substance use disorder services in New Hampshire is increasing. Current behavioral health provider capacity is not well positioned to deliver the comprehensive and integrated care that can most effectively address the needs of New Hampshire residents with severe behavioral health or comorbid physical and behavioral health problems. A number of factors make behavioral health transformation a priority of the State, including the enactment of the New Hampshire Health Protection Program (NHHP) to cover the new adult group, an estimated one in six of whom have extensive mental health or substance use disorder needs; the extension of substance use disorder (SUD) benefits to the entire Medicaid population in State fiscal year 2017, and the need to address the epidemic of opioid abuse in the State.

New Hampshire seeks to transform its behavioral health delivery system through:

- Integrating physical and behavioral health to better address the full range of beneficiaries' needs;
- Expanding provider capacity to address behavioral health needs in appropriate settings; and
- Reducing gaps in care during transitions (from treatment back into the community) through improved care coordination for individuals with behavioral health issues.

The waiver approved for New Hampshire is called a Delivery Reform Incentive Payment or DSRIP Waiver because federal funding is being provided for incentive payments for the reform of New Hampshire's Medicaid delivery system. This approval is in the form of a waiver because in granting this new expenditure authority, CMS is waiving existing requirements concerning State spending that is traditionally eligible for federal Medicaid matching funds.

The State will use the integrated delivery networks (IDNs) as a vehicle to build relationships between behavioral health providers and other health care and community service providers that are necessary to achieve the State's vision for the behavioral health system in New Hampshire. That vision consists of increasing integration across providers and community social service agencies, expanding provider capacity and developing new expertise and improving care transitions. The IDNs will also focus on the establishment of financial and governance relationships among providers and investments in information technology (IT) systems that enable data exchanges to promote integrated care.

The IDNs will consist of individual providers that will form coalitions and be evaluated by project performance metrics—collectively as a single IDN. The lead applicant for each coalition will be responsible for coordinating between providers within the IDN to achieve metrics associated with the chosen projects.

The 1115 Waiver requires approval for three (3) distinct operational components, of which this fiscal request seeks authorization for:

- 1) CMS has granted authority for DHHS to receive federal matching funds for costs not otherwise matched. Under the Special Terms and Conditions, it limits the type of expenditures where new federal dollars can be claimed. These expenses under the Waiver are referred to as Designated State Health Programs (DSHP).

<u>Designated State Health Programs (DSHP)</u>	12 month <u>Allowable Expense</u>	FFP <u>50.0%</u>
1. Community Mental Health Center Emergency Services	\$1,507,000	\$753,500
2. Adult Assertive Community Treatment (ACT) Teams	\$2,475,000	\$1,237,500
3. Children Assertive Community Treatment (ACT) Teams	\$280,000	\$140,000
4. Family Planning Program	\$795,000	\$397,500
5. Tobacco Prevention	\$125,000	\$62,500
6. Immunization Program	\$486,000	\$243,000
7. Governor's Commission on Drug & Alcohol Abuse, Prevention and Treatment and Recovery	\$3,327,761	\$1,663,881
8. <u>County Nursing Home Medicaid Services</u>	<u>\$20,847,257</u>	<u>\$10,423,629</u>
<u>Totals</u>	<u>\$29,843,018</u>	<u>\$14,921,509</u>

Under Requested Action #1) the Department seeks authority to accept new federal DSHP funds for expenses which are currently budgeted as 100% non-federal funds (primarily general funds) in the Department's Operating Budget for both SFY 16 and 17. (The amounts above represent an example of a one year claiming period.)

- 2) With the additional DHSP federal funds received under 1) above, it results in a reduction of the non-federal (primarily general fund) demand for these programs. Therefore, under Requested Action #2) the Department seeks authority to transfer these non-federal funds into a new accounting unit called the Integrated Delivery Network (IDN) Fund and be used as the State share for the Delivery System Reform Incentive Payments required under the 1115 Waiver.
- 3) The IDN Fund will serve as the vehicle for Delivery System Reform Incentive payments (DSRIP) to be expended from an account for the 50% federal match that can be claimed. The DSRIP expenses will enable the Department to make performance-based funding available to regionally-based Integrated Delivery Networks (IDNs) that furnish Medicaid services. IDN payments are not direct reimbursement for expenditures for services. Rather, payments from the IDN fund are intended to support IDNs and their participating providers for integrating behavioral and physical health, expanding provider capacity and reducing gaps in care during transitions. Under Requested Action #3) the Department seeks authority to accept and expend these new DSRIP federal funds.

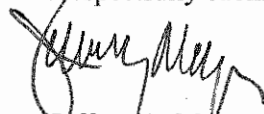
In accordance with the CMS approval under the Special Terms and Conditions dated January 5, 2016, waiver funds cannot supplant State general funds.

Area served: Statewide

Source of funds: 50% federal revenue from CMS.

In the event that these Federal Funds become no longer available, additional General Funds will not be requested to support this program.

Respectfully submitted,



Jeffrey A. Meyers
Commissioner

APPENDIX A

The accounts below consolidate all 3 fiscal requested actions by fiscal year. The increases represent the additional federal funds expected to be received under the waiver and the decreases represent the transfer of non-federal funds to be used as the match required under the waiver.

SFY 2016

05-95-092-920010-5945 HEALTH AND SOCIAL SERVICES, HEALTH AND HUMAN SVCS DEPT OF HHS: BEHAVIORAL HEALTH DIV OF DIV OF BEHAVIORAL HEALTH, CMH PROGRAM SUPPORT

Class/Object	Class Title	Current Modified Budget	Increase (Decrease) Amount	Revised Modified Budget
SFY 2016				
000-408147	Federal Funds	\$3,262,072	\$0	\$3,262,072
000-405208	Federal Funds (DSHP)	\$0	\$1,065,500	\$1,065,500
009-407150	Agency Income	\$4,000	\$0	\$4,000
009-407079	Agency Income	\$24,000	\$0	\$24,000
	General Fund	<u>\$16,822,927</u>	<u>(\$1,065,500)</u>	<u>\$15,757,427</u>
Total Revenue		\$20,112,999		\$20,112,999
010	Personal Services-Perm	\$699,474		\$699,474
018	Overtime	\$419		\$419
020	Current Expenses	\$9,769		\$9,769
021	Food Institutions	\$1,412		\$1,412
022	Rents-Leases Other	\$2,677		\$2,677
026	Organizational Dues	\$9,529		\$9,529
030	Equipment New/Replacement	\$780		\$780
039	Telecommunications	\$3,025		\$3,025
041	Audit Fund Set Aside	\$472		\$472
042	Additional Fringe Benefits	\$15,000		\$15,000
060	Benefits	\$327,602		\$327,602
066	Employee training	\$212		\$212
067	Training of Providers	\$10,000		\$10,000
070	In-State Travel	\$8,423		\$8,423
080	Out-Of-State Travel	\$672		\$672
102	Contracts for Program Services	\$12,609,527		\$12,609,527
103	Contracts for Op Services	<u>\$6,414,006</u>		<u>\$6,414,006</u>
Total Expense		\$20,112,999		\$20,112,999

05-95-90-902010-5608 HEALTH AND SOCIAL SERVICES, HEALTH AND HUMAN SVCS DEPT OF HHS: DIVISION OF PUBLIC HEALTH, BUREAU OF COMM & HEALTH SERV, TOBACCO PREVENTION FEDERAL

Class/Object	Class Title	Current Modified Budget	Increase (Decrease) Amount	Revised Modified Budget
SFY 2016				
000-403754	Federal Funds	\$1,127,661	\$0	\$1,127,661
000-405208	Federal Funds (DSHP)	\$0	\$31,250	\$31,250
	General Fund	<u>\$125,000</u>	<u>(\$31,250)</u>	<u>\$93,750</u>
Total Revenue		\$1,252,661		\$1,252,661
10	Personal Services-Perm	340,785		340,785
18	Overtime	1		1
20	Current Expenses	9,908		9,908
26	Organizational Dues	1,000		1,000
30	Equipment New/Replacement	1,907		1,907
39	Telecommunications	2,000		2,000
41	Audit Fund Set Aside	\$992		\$992
42	Additional Fringe Benefits	\$29,979		\$29,979
46	Consultants	\$1		\$1
60	Benefits	\$144,254		\$144,254
66	Employee training	\$1,000		\$1,000
70	In-State Travel	\$2,000		\$2,000
72	Grants Federal	181		181
80	Out-Of-State Travel	7,500		7,500
102	Contracts for Program Services	<u>\$711,153</u>		<u>\$711,153</u>
Total Expense		\$1,252,661		\$1,252,661

05-95-90-902010-5530 HEALTH AND SOCIAL SERVICES, HEALTH AND HUMAN SVCS DEPT
OF HHS: DIVISION OF PUBLIC HEALTH, BUREAU OF COMM & HEALTH SERV, FAMILY
PLANNING PROGRAM

Class/Object	Class Title	Current Modified Budget	Increase (Decrease) Amount	Revised Modified Budget
SFY 2016				
000-404700	Federal Funds	\$850,179	\$0	\$850,179
000-405208	Federal Funds (DSHP)	\$0	\$198,750	\$198,750
	General Fund	<u>\$808,723</u>	<u>(\$198,750)</u>	<u>\$609,973</u>
Total Revenue		\$1,658,902		\$1,658,902
10	Personal Services-Perm	\$102,802		\$102,802
18	Overtime	\$1		\$1
20	Current Expenses	\$2,353		\$2,353
26	Organizational Dues	\$750		\$750
30	Equipment New/Replacement	\$950		\$950
39	Telecommunications	\$1,000		\$1,000
41	Audit Fund Set Aside	\$785		\$785
42	Additional Fringe Benefits	\$4,415		\$4,415
46	Consultants	\$5,000		\$5,000
50	Personal Services Temp	\$1		\$1
60	Benefits	\$54,499		\$54,499
66	Employee training	\$1,000		\$1,000
70	In-State Travel	\$1,000		\$1,000
80	Out-Of-State Travel	\$9,300		\$9,300
102	Contracts for Program Services	<u>\$1,475,046</u>		<u>\$1,475,046</u>
Total Expense		\$1,658,902		\$1,658,902

05-95-90-902510-5178 HEALTH AND SOCIAL SERVICES, HEALTH AND HUMAN SVCS DEPT
OF HHS: DIVISION OF PUBLIC HEALTH, BUR INFECTIOUS DISEASE CONTROL,
IMMUNIZATION PROGRAM

Class/Object	Class Title	Current Modified Budget	Increase (Decrease) Amount	Revised Modified Budget
SFY 2016				
000-404706	Federal Funds	\$2,102,457	\$0	\$2,102,457
000-405208	Federal Funds (DSHP)	\$0	\$121,500	\$121,500
	General Fund	<u>\$486,195</u>	<u>(\$121,500)</u>	<u>\$364,695</u>
Total Revenue		\$2,588,652		\$2,588,652
10	Personal Services-Perm	\$765,069		\$765,069
18	Overtime	\$1,000		\$1,000
20	Current Expenses	\$59,003		\$59,003
26	Organizational Dues	\$1,500		\$1,500
30	Equipment New/Replacement	\$6,600		\$6,600
39	Telecommunications	\$7,000		\$7,000
41	Audit Fund Set Aside	\$1,850		\$1,850
42	Additional Fringe Benefits	\$60,432		\$60,432
46	Consultants	\$100		\$100
50	Personal Services Temp	\$27,878		\$27,878
60	Benefits	\$434,530		\$434,530
66	Employee training	\$500		\$500
70	In-State Travel	\$10,000		\$10,000
80	Out-Of-State Travel	\$16,600		\$16,600
102	Contracts for Program Services	\$548,494		\$548,494
103	Contracts for Op Services	\$87,500		\$87,500
513	Vaccine Purchases	\$483,106		\$483,106
548	Reagents	\$77,490		\$77,490
Total Expense		\$2,588,652		\$2,588,652

05-95-49-491510-2989 HEALTH AND SOCIAL SERVICES, HEALTH AND HUMAN SVCS DEPT
OF HHS: DIV OF COMM BASED CARE SVC, BUREAU OF DRUG & ALCOHOL SVCS,
GOVERNOR COMMISSION FUNDS

Class/Object	Class Title	Current Modified Budget	Increase (Decrease) Amount	Revised Modified Budget
SFY 2016				
000-405208	Federal Funds (DSHP)	\$0	\$831,940	\$831,940
009-407079	Agency Income	\$3,187,757	(\$831,940)	\$2,355,817
	General Fund	<u>\$1,214,386</u>	<u>\$0</u>	<u>\$1,214,386</u>
Total Revenue		\$4,402,143		\$4,402,143
102	Contracts for Program Services	<u>\$4,402,143</u>		<u>\$4,402,143</u>
Total Expense		\$4,402,143		\$4,402,143

05-95-48-481510-5942 HEALTH AND SOCIAL SERVICES, HEALTH AND HUMAN SVCS DEPT
OF HHS: ELDERLY - ADULT SERVICES, LTC ELDERLY SERVICES, LTC COUNTY
PARTICIPATION

Class/Object	Class Title	Current Modified Budget	Increase (Decrease) Amount	Revised Modified Budget
SFY 2016				
000-407362	Federal Funds	\$191,382,723	\$0	\$191,382,723
000-405208	Federal Funds (DSHP)	\$0	\$5,211,814	\$5,211,814
005-40xxxx	Private Local Funds	\$136,121,647	\$0	\$136,121,647
007-402241	Agency Income	\$37,754,603	\$0	\$37,754,603
	General Fund	<u>\$17,182,833</u>	<u>(\$5,211,814)</u>	<u>\$11,971,019</u>
Total Revenue		\$382,441,806		\$382,441,806
40	Indirect Costs	\$128,395		\$128,395
41	Audit Fund Set Aside	\$196,053		\$196,053
504	Nursing Home Payments	\$196,368,058		\$196,368,058
505	Mid-Level Care Expense	\$9,420,380		\$9,420,380
506	Home Support Waiver Services	\$36,733,873		\$36,733,873
514	Proshare	\$53,119,326		\$53,119,326
516	Medicaid Quality Incentive	\$75,509,206		\$75,509,206
529	Home Health Care Waiver Serv	10,966,515		10,966,515
	Total Expense	\$382,441,806		\$382,441,806

TOTAL SFY2016 (From Accounts Above)

Federal Funds (DSHP)	\$198,725,092	\$7,460,754	\$206,185,846
Agency Income	\$40,970,360	(\$831,940)	\$40,138,420
Private Local Funds	\$136,121,647		\$136,121,647
General Fund	\$36,640,064	(\$6,628,814)	\$30,011,250
Total Revenue	\$412,457,163	\$0	\$412,457,163
Total Expense	\$412,457,163	\$0	\$412,457,163

**05-095-047-470010-52010000 HEALTH AND SOCIAL SERVICES, HEALTH AND HUMAN SVCS
DEPT OF HHS: OFC OF MEDICAID & BUS PLCY, OFF. OF MEDICAID & BUS POLICY, IDN
FUND**

Class/Object	Class Title	Current Modified Budget	Increase (Decrease) Amount	Revised Modified Budget
SFY 2016				
000-401861	Federal Funds (DSRIP)	\$0	\$7,460,754	\$7,460,754
	General Fund	\$0	\$7,460,754	\$7,460,754
Total Revenue		\$0	\$14,921,508	\$14,921,508
41	Audit Fund Set Aside	\$0	\$7,461	\$7,461
70	In State Travel	\$0	\$1,000	\$1,000
80	Out of State Travel	\$0	\$5,000	\$5,000
102	Contracts for Program Services	\$0	\$14,908,047	\$14,908,047
Total Expense		\$0	\$14,921,508	\$14,921,508

SFY 2017

005-95-092-920010-5945 HEALTH AND SOCIAL SERVICES, HEALTH AND HUMAN SVCS DEPT OF HHS: BEHAVIORAL HEALTH DIV OF DIV OF BEHAVIORAL HEALTH, CMH PROGRAM SUPPORT

Class/Object	Class Title	Current Modified Budget	Increase (Decrease) Amount	Revised Modified Budget
SFY 2017				
000-408147	Federal Funds	\$387,201	\$0	\$387,201
000-405208	Federal Funds (DSHP)	\$0	\$2,131,000	\$2,131,000
009-407150	Agency Income	\$4,000	\$0	\$4,000
009-407079	Agency Income	\$24,000	\$0	\$24,000
	General Fund	<u>\$14,480,490</u>	<u>(\$2,131,000)</u>	<u>\$12,349,490</u>
Total Revenue		\$14,895,691		\$14,895,691
10	Personal Services-Perm	\$706,108		\$706,108
18	Overtime	\$419		\$419
20	Current Expenses	\$9,769		\$9,769
21	Food Institutions	\$1,412		\$1,412
22	Rents-Leases Other	\$2,731		\$2,731
26	Organizational Dues	\$9,529		\$9,529
30	Equipment New/Replacement	\$780		\$780
39	Telecommunications	\$3,025		\$3,025
41	Audit Fund Set Aside	\$481		\$481
42	Additional Fringe Benefits	\$15,000		\$15,000
60	Benefits	\$340,205		\$340,205
66	Employee training	\$212		\$212
67	Training of Providers	\$10,000		\$10,000
70	In-State Travel	\$8,423		\$8,423
80	Out-Of-State Travel	\$672		\$672
102	Contracts for Program Services	<u>\$13,786,925</u>		<u>\$13,786,925</u>
Total Expense		\$14,895,691		\$14,895,691

05-95-90-902010-5608 HEALTH AND SOCIAL SERVICES, HEALTH AND HUMAN SVCS DEPT
 OF HHS: DIVISION OF PUBLIC HEALTH, BUREAU OF COMM & HEALTH SERV, TOBACCO
 PREVENTION FEDERAL

Class/Object	Class Title	Current Modified Budget	Increase (Decrease) Amount	Revised Modified Budget
SFY 2017				
000-403754	Federal Funds	\$996,404	\$0	\$996,404
000-405208	Federal Funds (DSHP)	\$0	\$62,500	\$62,500
	General Fund	<u>\$125,000</u>	<u>(\$62,500)</u>	<u>\$62,500</u>
Total Revenue		\$1,121,404		\$1,121,404
10	Personal Services-Perm	343,291		343,291
18	Overtime	1		1
20	Current Expenses	8,600		8,600
26	Organizational Dues	1,000		1,000
30	Equipment New/Replacement	550		550
39	Telecommunications	2,000		2,000
41	Audit Fund Set Aside	\$1,000		\$1,000
42	Additional Fringe Benefits	\$30,519		\$30,519
46	Consultants	\$1		\$1
60	Benefits	\$148,942		\$148,942
66	Employee training	\$1,000		\$1,000
70	In-State Travel	\$2,000		\$2,000
80	Out-Of-State Travel	7,500		7,500
102	Contracts for Program Services	<u>\$575,000</u>		<u>\$575,000</u>
Total Expense		\$1,121,404		1,121,404

05-95-90-902010-5530 HEALTH AND SOCIAL SERVICES, HEALTH AND HUMAN SVCS DEPT
OF HHS: DIVISION OF PUBLIC HEALTH, BUREAU OF COMM & HEALTH SERV, FAMILY
PLANNING PROGRAM

Class/Object	Class Title	Current Modified Budget	Increase (Decrease) Amount	Revised Modified Budget
SFY 2017				
000-404700	Federal Funds	\$723,846	\$0	\$723,846
000-405208	Federal Funds (DSHP)	\$0	\$397,500	\$397,500
	General Fund	<u>\$794,740</u>	<u>(\$397,500)</u>	<u>\$397,240</u>
Total Revenue		\$1,518,586		\$1,518,586
10	Personal Services-Perm	\$102,803		\$102,803
18	Overtime	\$1		\$1
20	Current Expenses	\$2,000		\$2,000
26	Organizational Dues	\$750		\$750
30	Equipment New/Replacement	\$950		\$950
39	Telecommunications	\$1,000		\$1,000
41	Audit Fund Set Aside	\$785		\$785
42	Additional Fringe Benefits	\$4,266		\$4,266
46	Consultants	\$5,000		\$5,000
50	Personal Services Temp	\$1		\$1
60	Benefits	\$56,406		\$56,406
66	Employee training	\$1,000		\$1,000
70	In-State Travel	\$1,000		\$1,000
80	Out-Of-State Travel	\$9,300		\$9,300
102	Contracts for Program Services	<u>\$1,333,324</u>		<u>\$1,333,324</u>
Total Expense		\$1,518,586		\$1,518,586

05-95-90-902510-5178 HEALTH AND SOCIAL SERVICES, HEALTH AND HUMAN SVCS DEPT
 OF HHS: DIVISION OF PUBLIC HEALTH, BUR INFECTIOUS DISEASE CONTROL,
 IMMUNIZATION PROGRAM

Class/Object	Class Title	Current Modified Budget	Increase (Decrease) Amount	Revised Modified Budget
SFY 2017				
000-404706	Federal Funds	\$1,888,144	\$0	\$1,888,144
000-405208	Federal Funds (DSHP)	\$0	\$243,000	\$243,000
	General Fund	<u>\$486,195</u>	<u>(\$243,000)</u>	<u>\$243,195</u>
Total Revenue		\$2,374,339		\$2,374,339
10	Personal Services-Perm	\$775,498		\$775,498
18	Overtime	\$1,000		\$1,000
20	Current Expenses	\$55,000		\$55,000
26	Organizational Dues	\$1,500		\$1,500
30	Equipment New/Replacement	\$2,850		\$2,850
39	Telecommunications	\$7,000		\$7,000
41	Audit Fund Set Aside	\$1,874		\$1,874
42	Additional Fringe Benefits	\$64,645		\$64,645
46	Consultants	\$100		\$100
50	Personal Services Temp	\$27,878		\$27,878
60	Benefits	\$452,699		\$452,699
66	Employee training	\$500		\$500
70	In-State Travel	\$10,000		\$10,000
80	Out-Of-State Travel	\$16,600		\$16,600
102	Contracts for Program Services	\$414,000		\$414,000
103	Contracts for Op Services	\$93,400		\$93,400
513	Vaccine Purchases	\$392,795		\$392,795
548	Reagents	<u>\$57,000</u>		<u>\$57,000</u>
Total Expense		\$2,374,339		\$2,374,339

05-95-49-491510-2989 HEALTH AND SOCIAL SERVICES, HEALTH AND HUMAN SVCS DEPT
OF HHS: DIV OF COMM BASED CARE SVC, BUREAU OF DRUG & ALCOHOL SVCS,
GOVERNOR COMMISSION FUNDS

Class/Object	Class Title	Current Modified Budget	Increase (Decrease) Amount	Revised Modified Budget
SFY 2017				
000-405208	Federal Funds (DSHP)	\$0	\$1,663,880	\$1,663,880
009-407079	Agency Income	\$3,283,390	(\$1,663,880)	\$1,619,510
	General Fund	<u>\$123,136</u>	<u>\$0</u>	<u>\$123,136</u>
Total Revenue		\$3,406,526		\$3,406,526
102	Contracts for Program Services	<u>\$3,406,526</u>		<u>\$3,406,526</u>
Total Expense		\$3,406,526		\$3,406,526

05-95-48-481510-5942 HEALTH AND SOCIAL SERVICES, HEALTH AND HUMAN SVCS DEPT
OF HHS: ELDERLY - ADULT SERVICES, LTC ELDERLY SERVICES, LTC COUNTY
PARTICIPATION

Class/Object	Class Title	Current Modified Budget	Increase (Decrease) Amount	Revised Modified Budget
SFY 2017				
000-407362	Federal Funds	\$190,052,528	\$0	\$190,052,528
000-405208	Federal Funds (DSHP)	\$0	\$10,423,629	\$10,423,629
005-40xxxx	Private Local Funds	\$137,856,046	\$0	\$137,856,046
007-402241	Agency Income	\$38,132,149	\$0	\$38,132,149
	General Fund	<u>\$13,732,149</u>	<u>(\$10,423,629)</u>	<u>\$3,308,520</u>
Total Revenue		\$379,772,872		\$379,772,872
40	Indirect Costs	\$128,395		\$128,395
41	Audit Fund Set Aside	\$203,791		\$203,791
504	Nursing Home Payments	\$192,452,700		\$192,452,700
505	Mid-Level Care Expense	\$9,514,583		\$9,514,583
506	Home Support Waiver Services	\$37,089,545		\$37,089,545
514	Proshare	\$55,176,092		\$55,176,092
516	Medicaid Quality Incentive	\$76,264,298		\$76,264,298
529	Home Health Care Waiver Serv	<u>\$8,943,468</u>		<u>\$8,943,468</u>
Total Expense		\$379,772,872		\$379,772,872

TOTAL SFY2017 (From Accounts Above)

Federal Funds (DSHP)	\$194,048,123	\$14,921,509	\$208,969,632
Agency Income	\$41,443,539	(\$1,663,880)	\$39,779,659
Private Local Funds	\$137,856,046	\$0	\$137,856,046
General Fund	\$29,741,710	(\$13,257,629)	\$16,484,081
Total Revenue	\$403,089,418	\$0	\$403,089,418
Total Expense	\$403,089,418	\$0	\$403,089,418

**05-095-047-470010-52010000 HEALTH AND SOCIAL SERVICES, HEALTH AND HUMAN SVCS
DEPT OF HHS: OFC OF MEDICAID & BUS PLCY, OFF. OF MEDICAID & BUS POLICY, IDN
FUND**

Class/Object	Class Title	Current Modified Budget	Increase (Decrease) Amount	Revised Modified Budget
SFY 2017				
000-401861	Federal Funds (DSRIP)	\$0	\$14,921,509	\$14,921,509
	General Fund	\$0	\$14,921,509	\$14,921,509
	Total Revenue	\$0	\$29,843,018	\$29,843,018
41	Audit Fund Set Aside	\$0	\$14,922	\$14,922
70	In State Travel	\$0	\$1,000	\$1,000
80	Out of State Travel	\$0	\$5,000	\$5,000
102	Contracts for Program Services	\$0	\$29,822,096	\$29,822,096
	Total Expense	\$0	\$29,843,018	\$29,843,018

Building Capacity for Transformation:
New Hampshire's DSRIP Waiver Program

March 2016



Agenda

- ▶ **Overview**
- ▶ **Integrated Delivery Networks**
- ▶ **Pathways and Projects**
- ▶ **Financing**
- ▶ **Planning for Alternative Payment Models**
- ▶ **From Concept to Reality: A Provider Example**
- ▶ **Next Steps and Opportunities for Input**



Overview



Key Challenges

Significant challenges remain in meeting the needs of individuals with mental health and substance use disorders (SUD). Expansion of Medicaid to newly-eligible adults and of SUD benefits is a significant opportunity, but also places new demands on already overtaxed providers, underscoring the need for transformation.

Capacity Constraints

- Long wait lists:**
 - 2 - 10 weeks for residential treatment
 - 26 days for outpatient counseling
 - 49 days for outpatient counseling with prescribing authority
- Limited SUD treatment options:**
 - In 2014, 92 percent of NH adults with alcohol dependence or abuse did not receive treatment, and four out of 13 public health regions had no residential SUD treatment programs
 - 84% of NH adults with illicit drug dependent or abuse did not receive treatment
- Excess demand for beds:**
 - New Hampshire Hospital operates at 100% capacity
 - 2 out of 3 people admitted to NHH spend more than a day waiting in the ER before a bed is available

'Siloed' Behavioral and Physical Health

- Limited integration:**
 - A 2015 review of physical and behavioral health integration in NH by Cherokee Health Systems found "while there are certainly pockets of innovation...overall there remains room for further advancement"
- Workforce shortage:**
 - The Cherokee analysis highlighted an acute shortage in the workforce necessary for integrated care, including behaviorists with skills to work in the primary care setting

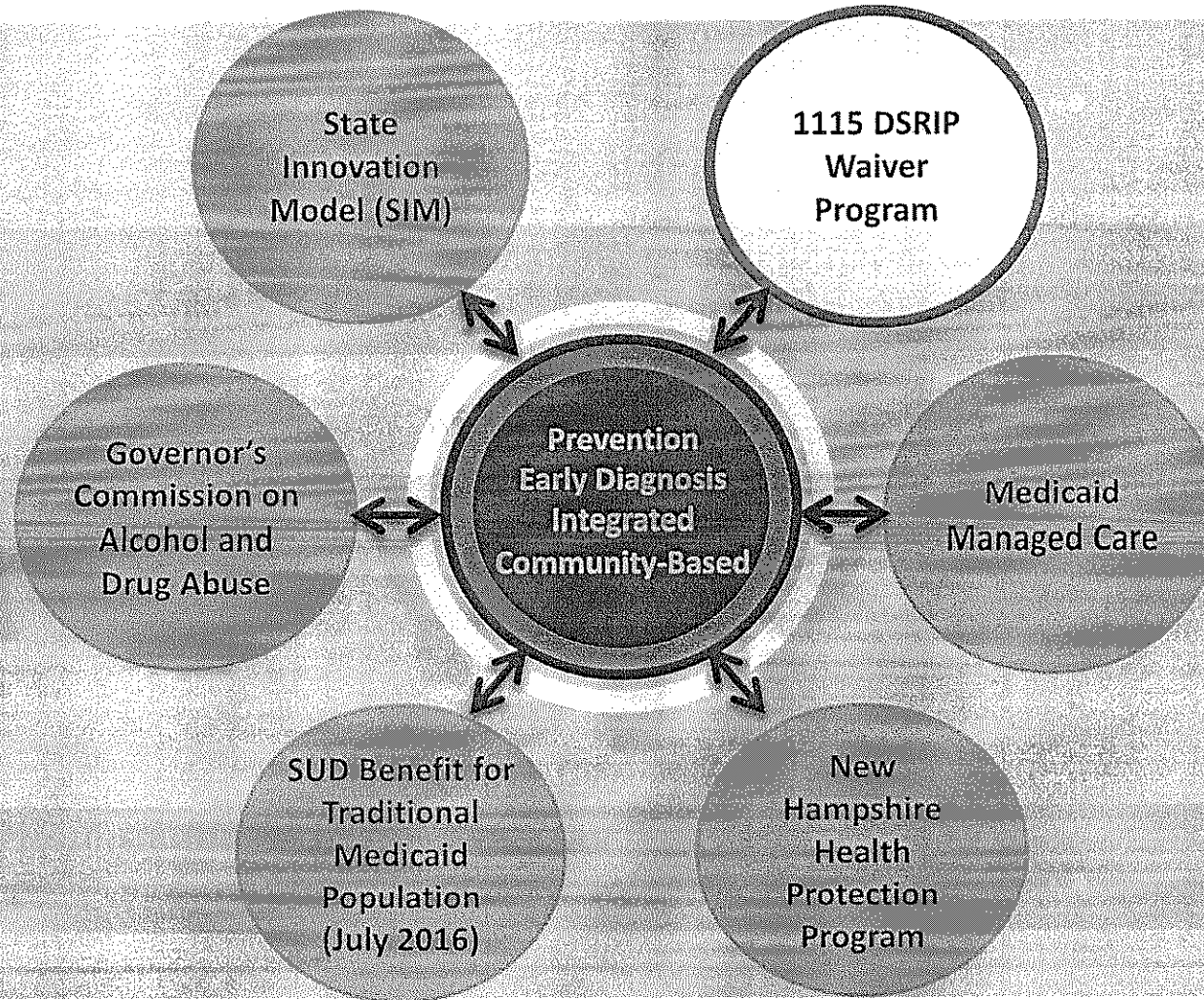
Gaps During Care Transitions

- Lack of follow-up care:**
 - Between 2007 and 2012, the percent of patients hospitalized for a MH disorder who received follow-up care within 30 days of release deteriorated from 78.8 to 72.8%
- Poor continuity:**
 - 48% of NH residents who leave a state correctional facility have parole revoked due to a substance use-related issue



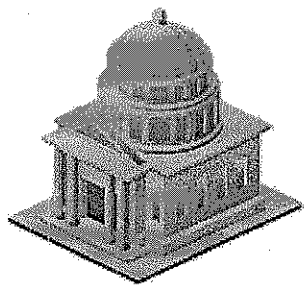
Vision for Behavioral Health Reform in New Hampshire

New Hampshire's goal is prevention, early diagnosis, and high quality, integrated care provided in the community whenever possible for mental health conditions, opiate abuse, and other substance use disorders (SUD).

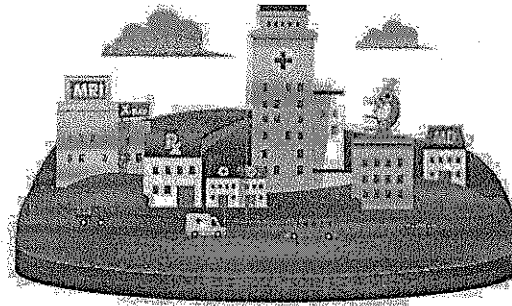
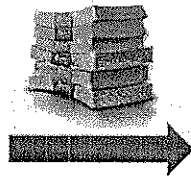


Delivery System Reform Incentive Program (DSRIP) Waivers: The Basics

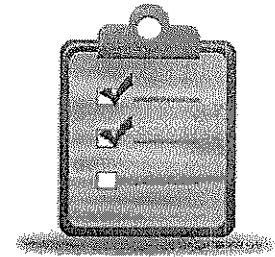
Using a Medicaid 1115 waiver, States fund networks of providers who meet metrics demonstrating improved patient outcomes and promoting delivery system reform.



State



Network of Providers



Project Metrics

DSRIP waivers are a key way to approach Medicaid delivery reform,
among many other reform initiatives



Overview of New Hampshire's LRIP Waiver Program: *Building Capacity For Transformation*

The waiver represents an unprecedented opportunity for New Hampshire to strengthen community-based mental health services, combat the opiate crisis, and drive delivery system reform.

Key Driver of Transformation



Integrated Delivery Networks : Transformation will be driven by regionally-based networks of physical and behavioral health providers as well as social service organizations that can address social determinants of health

Three Pathways

Improve care transitions

Promote integration of physical and behavioral health

Build mental health and substance use disorder treatment capacity

Funding Features



Menu of mandatory and optional community-driven projects



\$150 million in incentive payments over 5 years



Support for transition to alternative payment models



Funding for project planning and capacity building



Performance-based funding distribution



Integrated Delivery Networks

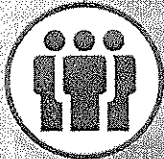


Integrated Delivery Networks (IDNs)

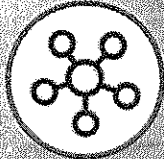


- New, regionally-based networks of providers called Integrated Delivery Networks ('IDNs') will drive system transformation by designing and implementing projects in a geographic region.
- IDNs will be organized into 7 regions throughout the State.
- Multiple IDNs may apply. It is anticipated that there will likely be 1 IDN in many areas of the state, but multiple IDNs may emerge in more heavily populated regions.

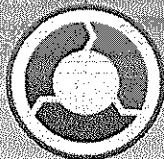
Key Elements



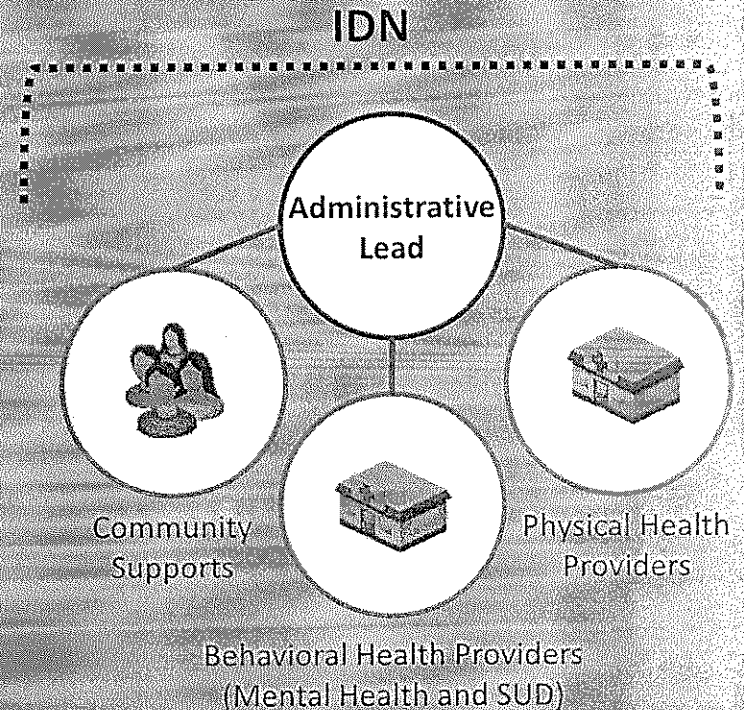
Participating Partners: Includes community-based social service organizations, hospitals, county facilities, physical health providers, and behavioral health providers (mental health and substance use).



Structure: Administrative lead serves as coordinating entity for network of partners in planning and implementing projects.

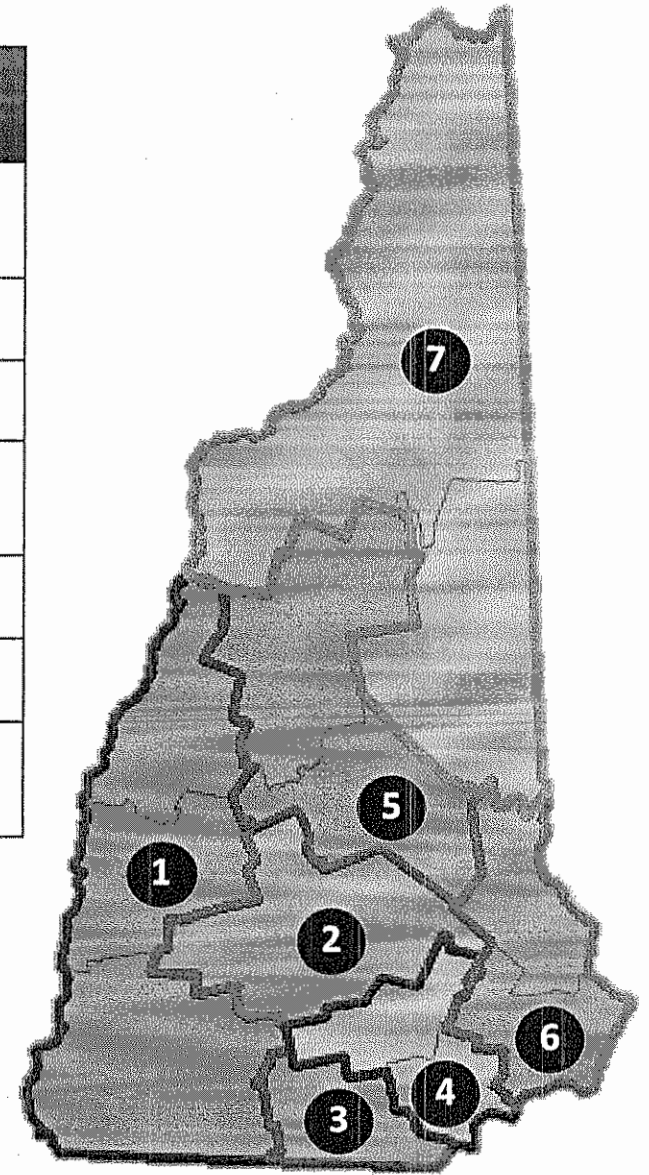


Responsibilities: Design and implement projects to build behavioral health capacity; promote integration; facilitate smooth transitions in care; and prepare for alternative payment models.



IDNs Will be Organized into 7 Regions

Illustrative IDN	Regional Public Health Networks (RPHN) Included	# of Medicaid members
1. Monadnock, Sullivan, Upper Valley	Greater Monadnock, Greater Sullivan County, Upper Valley	21,550
2. Capital	Capital Area	15,520
3. Nashua	Greater Nashua	19,110
4. Derry & Manchester	Greater Derry, Greater Manchester	34,900
5. Central, Winnipesaukee	Central NH, Winnipesaukee	15,230
6. Seacoast & Strafford	Strafford County, Seacoast	25,440
7. North Country & Carroll	North Country RHPN, Carroll County RHPN	15,300

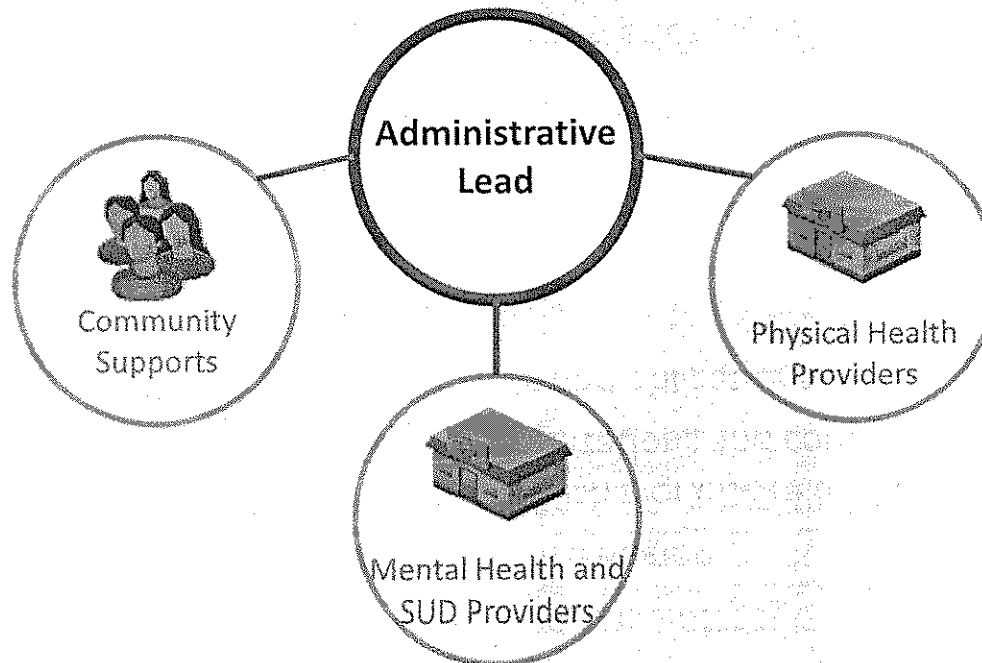


Providers in each IDN region are encouraged to work together to form one IDN, particularly in less populated parts of the State.



Administrative Lead: Responsibilities

Integrated Delivery Networks will be composed of an Administrative Lead and several partners



Administrative Lead Responsibilities*

- Organize consortium partners in geographic region
- Act as single point of accountability for DHHS
- Submit single application on behalf of IDN
- Implement IDN governance structure in accordance with DHHS parameters
- Receive funds from DHHS and distribute funds to partners
- Compile required reporting
- Collaborate with partners in IDN leadership and oversight
- Collaborate with IDN partners to manage performance against goals and metrics

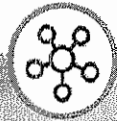
*Partners may lead implementation efforts for specific projects



Administrative Lead: Qualifications

Key Takeaway: Administrative Leads are not required to be a specific provider type (e.g., hospital or Community Mental Health Center). Any entity/organization meeting the criteria can act as an Administrative Lead.

Organizational Qualifications



Administrative Leads must demonstrate capabilities to lead transformation efforts, including:

- Experience collaborating with partners in the Service Region
- Active working relationships, or the ability to establish working relationships, with diverse entities that will participate in the IDN, including social service organizations and community providers
- Ability to comply with IDN reporting requirements and obligations
- Willingness to provide consent for audit and oversight by the State and CMS

Financial Stability

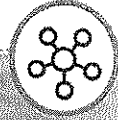


Administrative Leads must demonstrate financial stability and prior experience using financial practices that allow for transparency and accountability in accordance with State requirements.



IDN Composition

General Principles



- IDNs must include a broad range of organizations that can participate in required and optional projects
- IDNs must ensure they have a network of non-medical providers and medical providers that together represent the full spectrum of care that might be needed by an individual with a mental health or substance use disorder need

Specific Requirements



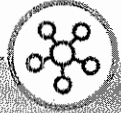
IDN partner networks must include :

- A substantial percentage of the **regional primary care practices** and facilities serving the Medicaid population
- A substantial percentage of the **regional SUD providers**, including recovery providers, serving the Medicaid population
- Representation from **Regional Public Health Networks**
- One or more **Regional Community Mental Health Centers**
- **Peer-based support** and/or **community health workers** from across the full spectrum of care
- One or more **hospitals**
- One or more **Federally Qualified Health Centers, Community Health Centers, or Rural Health Clinics**, if available
- Multiple **community-based organizations** that provide social and support services (transportation, housing, employment, community supports, legal assistance, etc.)
- **County organizations** representing nursing facilities and correctional systems



IDN Governance

General Principles



- **Participatory:** Partners have active roles in decision-making processes
- **Accountable:** Administrative Lead and partners are accountable to each other, with clearly defined mechanisms to facilitate decision-making
- **Flexible:** Within parameters established by DHHS, each IDN can implement a governance structure that works best for it

Specific Requirements



Each IDN must have in place an approach to the following:

- **Financial governance**, including how decisions about the distribution of funds will be made, the roles and responsibilities of each partner, and project budget development
- **Clinical governance**, including standard clinical pathways development and strategies for monitoring and managing patient outcomes
- **Data/IT governance**, including data sharing among partners and reporting and monitoring processes
- **Community/consumer engagement**, including a description of the steps taken to engage the community in the development and implementation of the IDN



Pathways and Projects



Three Pathways to Delivery System Reform

IDNs will implement defined projects addressing the three pathways to delivery system reform:

Build mental health and SUD treatment capacity

Projects will support mental health and substance use disorder treatment capacity and supplement existing workforce in all settings.

- Develop workforce initiatives and new treatment and intervention programs
- Implement alternative care delivery models (telemedicine, etc.)

Improve care transitions

Projects will support beneficiaries transitioning from institutional settings to the community and within organizations in the community.

- Create incentives for IDNs to adopt evidence-based practices for the management of behavioral health patients during transitions
- Incentivize provider collaboration

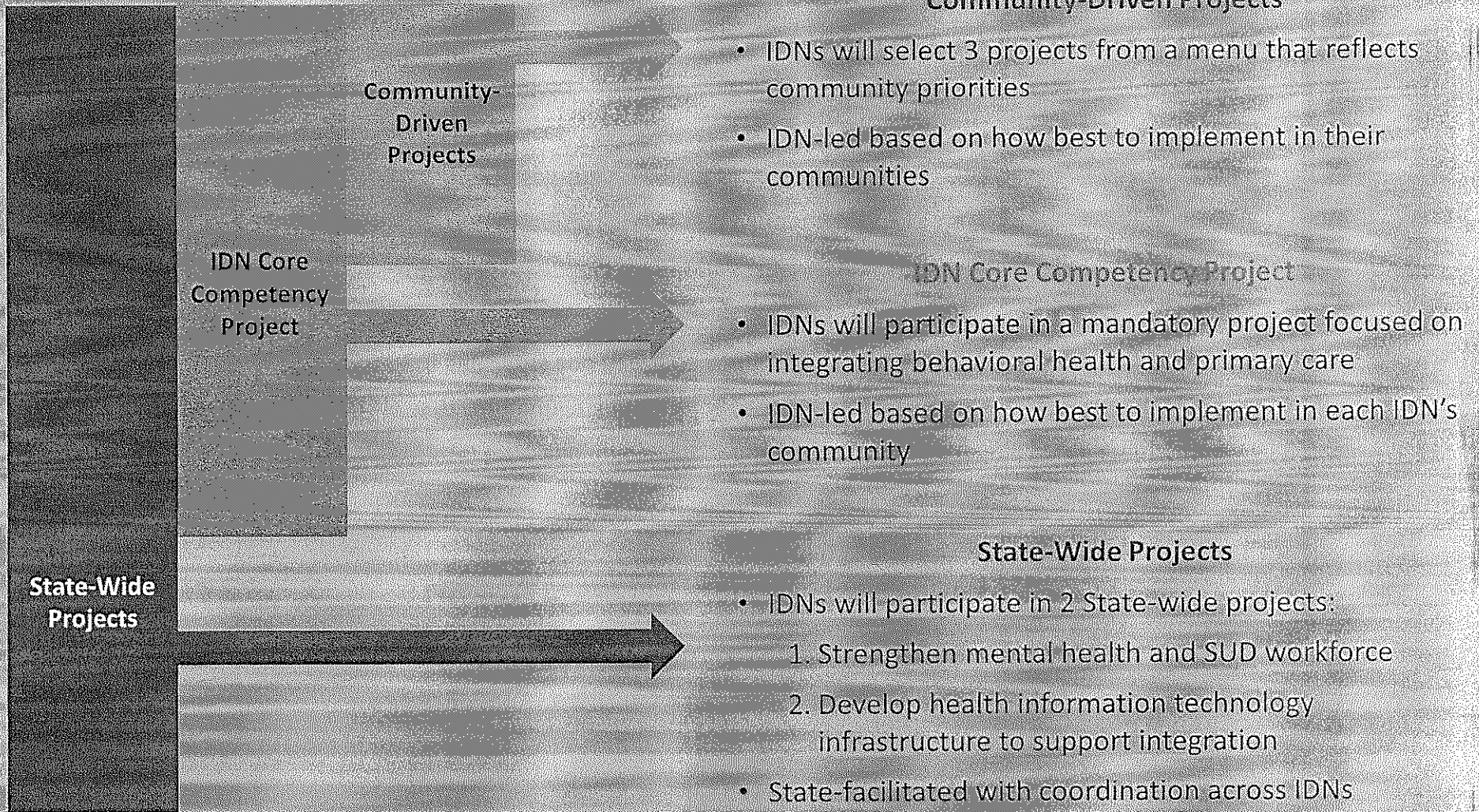
Integrate physical and behavioral healthcare

Projects will promote provider integration and collaboration between primary care, behavioral health care and community services.

- Support physical and virtual integration in primary care and behavioral health settings
- Expand programs that foster collaboration among physical and behavioral health providers
- Promote integrated care delivery strategies that incorporate community-based social support providers



Project Menu Structure



Core Competency Project

Each IDN will implement the Core Competency Project.

Integrate Behavioral Health and Primary Care

- Primary care providers, mental health and SUD providers, and social services organizations will partner to:
 - Prevent, diagnose, treat and follow-up on both behavioral health and physical conditions
 - Refer patients to community and social support services
 - Address health behaviors and healthcare utilization
- Standards will include:
 - Core standardized assessments for depression, substance use, and medical conditions
 - Integrated electronic medical records and patient tracking tools
 - Health promotion and self-management support
 - Care management services
- NCQA accreditation is not required



Community-Driven Project Menu

Each IDN will select three community-driven projects from a DHHS-defined menu.

Care Transitions:

Support beneficiaries with transitions from institutional settings to the community

- Care Transition Teams
- Community Reentry Program for Justice-Involved Individuals
- County Nursing Home Transitions
- Supportive Housing Projects

Capacity Building:

Supplement existing workforce with additional staff and training

- Medication Assisted Therapy
- Mental Health First Aid
- Treatment Alternatives to Incarceration (CIT Model)
- Parachute Program
- Zero Suicide
- Community-Based Stabilization
- Coordinated Specialty Care for First Episode Psychosis
- Peer Support for Behavioral Health Services

Integration:

Promote collaboration between primary care and behavioral health care

- InSHAPE Program
- School-Based Screening and Intervention
- Early Childhood Prevention
- Collaborative Care/IMPACT Model
- Integrated Dual Disorder Treatment
- Enhanced Care Coordination for High Risk, High Utilizing, and Chronic Condition Populations



Financing



Funding for the Transformation Waiver

Key Funding Features:

- The transformation waiver provides up to \$150 million over 5 years.
 - State must meet statewide metrics in order to secure full funding beginning in 2018
 - State must keep per capita spending on Medicaid beneficiaries below projected levels over the five-year course of the waiver
- Up to 65% of Year 1 funding will be available for capacity building and planning.
- In Years 2-5, IDNs must earn payments by meeting metrics defined by DHHS and approved by CMS to secure full funding. Under the terms of New Hampshire's agreement with the federal government, this is not a grant program.
- A share of the \$150 million will be used for administration, learning collaboratives, and other State-wide initiatives.

	2016 (Year 1)	2017 (Year 2)	2018 (Year 3)	2019 (Year 4)	2020 (Year 5)	Total Funding
Capacity Building (Up To 65% of Year 1 Funding)	\$19,500,000	n/a	n/a	n/a	n/a	\$19,500,000
Other Funding (IDN payments, administrative expenses, etc.)	\$10,500,000	\$30,000,000	\$30,000,000	\$30,000,000	\$30,000,000	\$130,500,000
Percent at Risk for Performance	0%	0%	5%	10%	15%	
Dollar Amount at Risk for Performance	(\$0)	(\$0)	(\$1,500,000)	(\$3,000,000)	(\$4,500,000)	
TOTAL						\$150,000,000

Note: pending final approval by CMS and subject to change



IDN Funding and the Attribution of Beneficiaries

- Each IDN will have an “attributed” population of members
- Members may only be attributed to one IDN

Attributed populations will drive quality measurement and IDN payment distribution:

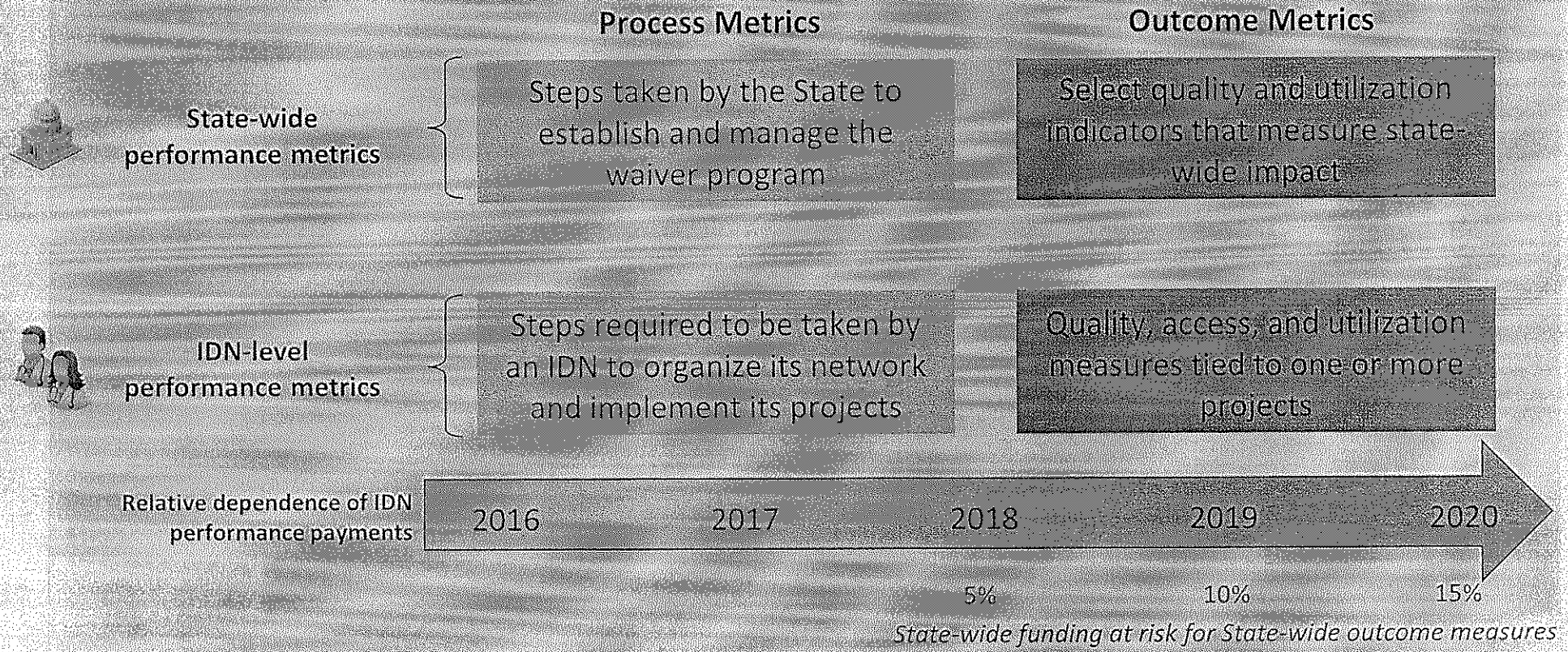
- The amount of funding that an IDN can earn will be determined by:
 - The projects that it implements
 - The value of those projects (according to a schedule established by DHHS)
 - The size of its attributed population
 - The IDN’s performance on metrics
- Attribution of Medicaid beneficiaries will be based on the following factors:
 - Primary care provider
 - Behavioral health provider
 - Service Region of primary residence
- If there is more than one IDN in a region and a beneficiary’s provider(s) works with more than one IDN, the beneficiary will be attributed based on the hospital service area in which s/he resides.

For some quality metrics and risk sharing under alternative payment models in future years, IDNs will be aggregated into larger “zones”.

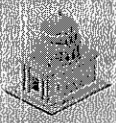



State-wide and IDN-level Metrics

- Performance metrics at the state- and IDN-levels will be used to monitor progress toward achieving the overall waiver vision. Payments from CMS to the state and from the state to IDNs will be contingent on meeting these performance metrics.
- Accountability shifts from process metrics to outcome metrics over the course of the 5-year program.



Examples of Potential Metrics

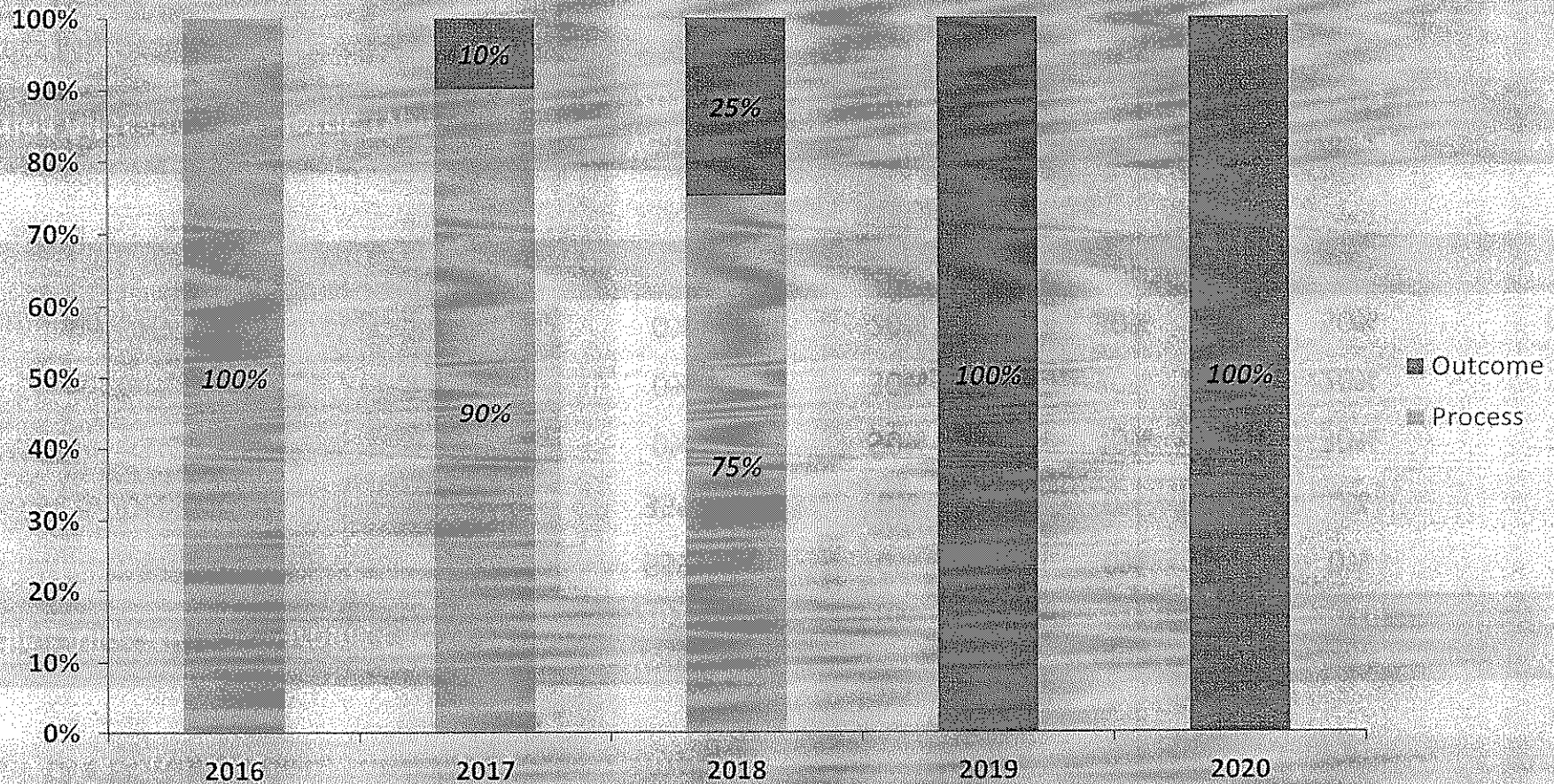
	Process Metrics	Outcome Metrics
State-wide Performance Metrics 	<ul style="list-style-type: none"> • Approval of IDNs and planning/capacity building grants • Approval of IDN Project Plans • Submission of CMS reports • Procurement of independent assessor and independent evaluator • Implementation of learning collaboratives 	<ul style="list-style-type: none"> • Reduction in readmissions for any reason for individuals with co-occurring behavioral health issues • Use of core standardized assessment • Reduction in avoidable ED use for behavioral health population and general population • Reduction in ED waitlist length for inpatient behavioral health admissions
IDN-level Performance Metrics 	<p>General IDN Metrics</p> <ul style="list-style-type: none"> • Establishment of an IDN governance committee structure (clinical governance, financial, etc.) • Development and submission of IDN plan to transition to value-based payment models <p>Project-Specific Metrics</p> <ul style="list-style-type: none"> • Document baseline level of integration of primary care – behavioral health using SAMHSA <i>Levels of Integrated Healthcare</i> • Establishment of standard core assessment framework and evidence based screening tools 	<ul style="list-style-type: none"> • Improvement in rate of follow-up after hospitalization for mental illness • Improvement in rate of screening for clinical depression using standardized tool • Improvement in rate of screening for substance use • Improvement in rate of smoking and tobacco cessation counseling visits for tobacco users • Reduction in wait time for substance use disorder treatment



How IDNs Earn Performance Payments by Year

As Accountability Shifts from Process to Outcomes (Proposed)

Proposed Performance Payment Allocation by Year



Note: pending final approval by CMS and subject to change



Funding Allocations by Earning Category and Metric Type

Over the DSRIP period, funding shifts to emphasize Community-Driven Projects and outcome measures.

Funding Allocation by Earning Category	Year 1 2016	Year 2 2017	Year 3 2018	Year 4 2019	Year 5 2020
Design and Capacity Building Funds	65%	0%	0%	0%	0%
Approval of IDN Project Plan	35%	0%	0%	0%	0%
Statewide Projects	0%	50%	40%	30%	20%
Core Competency Project	0%	30%	30%	20%	20%
Community-Driven Projects	0%	20%	30%	50%	60%
Total	100%	100%	100%	100%	100%

Funding Allocation by Metric Type	Year 1 2016	Year 2 2017	Year 3 2018	Year 4 2019	Year 5 2020
Process Metrics	100%	90%	75%	0%	0%
Outcome Metrics	0%	10%	25%	100%	100%
Total	100%	100%	100%	100%	100%

Note: pending final approval by CMS and subject to change



Preparing for Alternative Payment Models



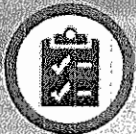
Preparing for Alternative Payment Models

Goals and Requirements



- 1 The State must develop a plan for sustaining the DSRIP investments beyond the life of the waiver and for moving at least 50 % of payments to Medicaid providers into **“alternative payment models” (APMs)**
- 2 The definition of APMs is evolving. It generally refers to paying providers based on improving outcomes through prevention and effective treatment, not based on volume.
- 3 Key elements of APMs include use of risk-sharing to establish provider incentives to contain costs, robust quality metrics to ensure high-quality care, and re-allocation of saved funds to areas of need.

Preparations for APMs

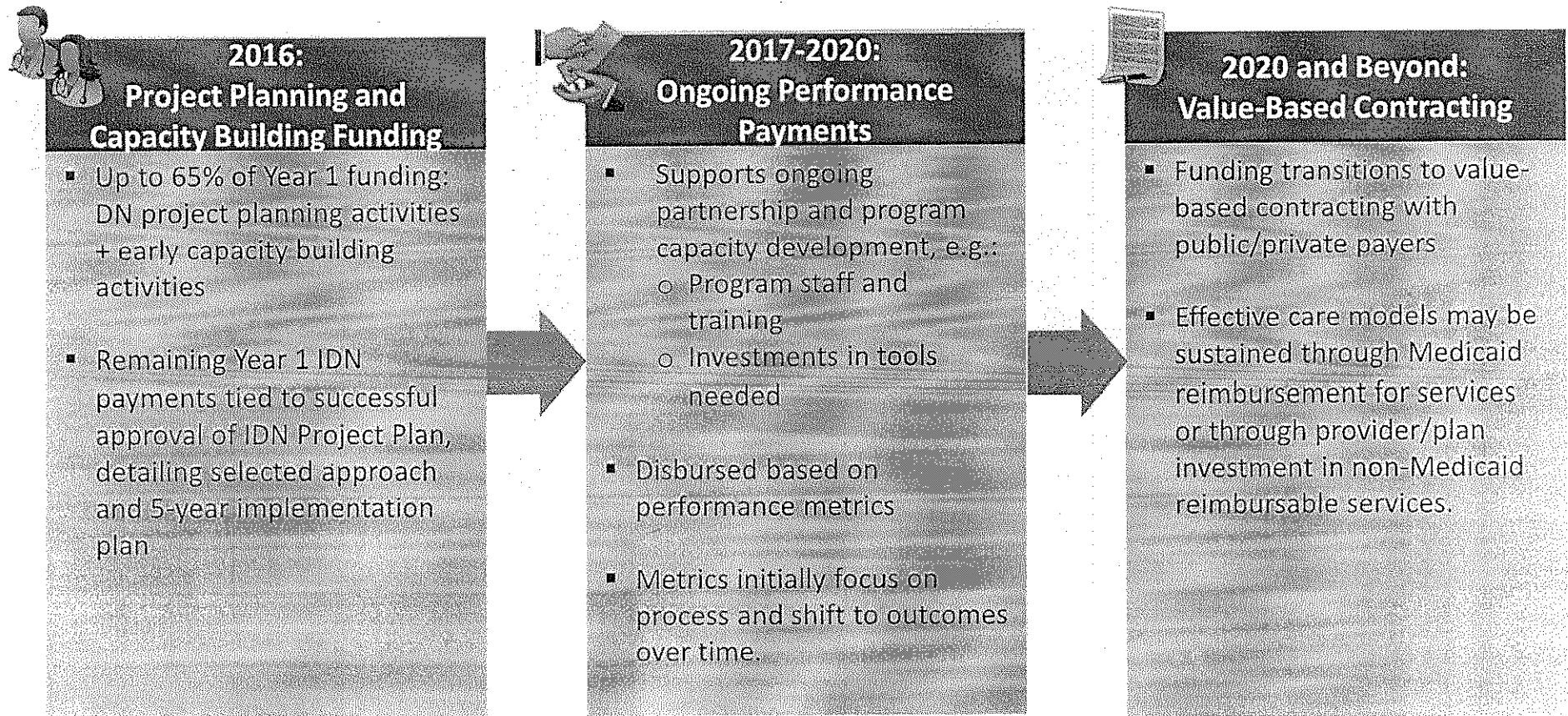


- ➔ Prior to July 1, 2017, New Hampshire must submit a roadmap to CMS outlining how it will modify its Medicaid managed care contracts to reflect the impact of the DSRIP waiver and make progress toward the APM goal.
- ➔ IDNs are expected to make preparations for APMs, such as by engaging with the state and managed care organizations to plan for APMs.



Driving Towards Alternative Payment Methodologies

Under DSRIP, New Hampshire's funding model will shift from planning support to performance payments to long-term sustainability. At the conclusion of the waiver, IDNs will have data and experience to support engagement with value-based models.



From Concept to Reality: A Provider Example



From Concept to Reality: Pinetree CMHC



Pinetree Health is a Community Mental Health Center in a non-metropolitan part of New Hampshire, serving ~5,000 total clients, including 1,600 Medicaid beneficiaries (1,200 SMI). In recent years, Pinetree has struggled financially and has faced severe workforce shortages. Pinetree has a close relationship with the large local hospital system but referrals patterns are inconsistent.

Pinetree Activities by Key Timeline Phase

Jan – June 2016

- Determine IDN participation
- Participate in stakeholder engagement
- Provide comments on draft planning and funding protocols
- Engage in conversations with other providers in proposed IDN to discuss:
 - Community gaps in mental health and SUD svc
 - Preliminary list of priority projects
 - Potential IDN structure, including which organization should act as Administrative Lead
 - IDN application preparation (due 5/31/16)

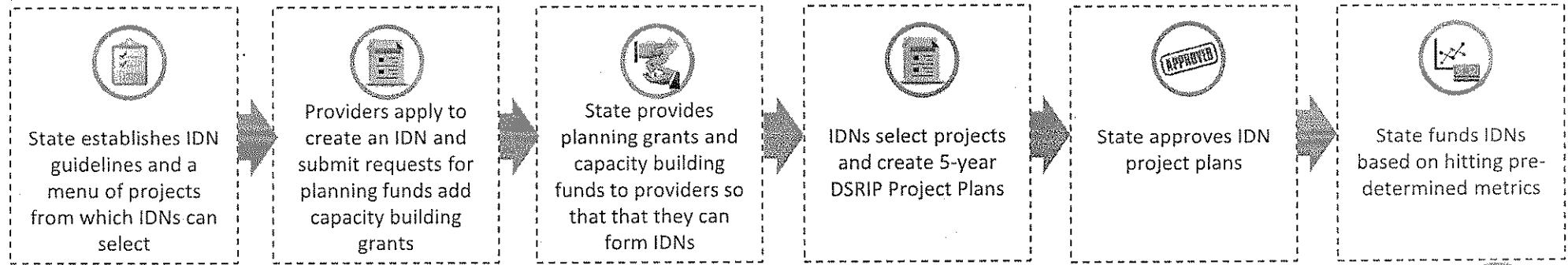
July-Sept 2016

- Receive and begin to use planning and capacity building funds
- Engage administrative and front-line staff
- Participate in community needs assessment
- Agree on project list and governance process with IDN partners
- Contribute to development of IDN Project Plan application

November 2016

- Receive project-specific funding
- Begin to implement projects and assist the IDN in pursuing its goals
- Recruit and hire new staff
- Continue to engage stakeholders and the community in designing and implementing projects
- Prepare to report on metrics and contribute to helping the IDN meet its objectives and reporting obligations

Overview of DSRIP Process:



Note: pending final approval by CMS and subject to change



Next Steps



Immediate Next Steps

Upcoming Dates of Importance

- **3/01/16:**
 - State submitted for public comment and to CMS a draft of the Planning Protocol, detailing allowable projects and metrics, and the Funding and Mechanics Protocol, detailing how funds will be distributed
- **3/04/16:**
 - State issues request for non-binding Letters of Intent (LOI) from organizations interested in applying to serve as Administrative Lead
- **3/31/16**
 - State issues draft IDN Application for public comment
- **4/04/16**
 - Non-binding LOIs due from interested organizations
- **4/30/16**
 - IDN Application materials released
- **5/31/16**
 - IDN Applications due
- **7/01/16**
 - State announces approved IDNs and begins distributing planning and capacity building funds

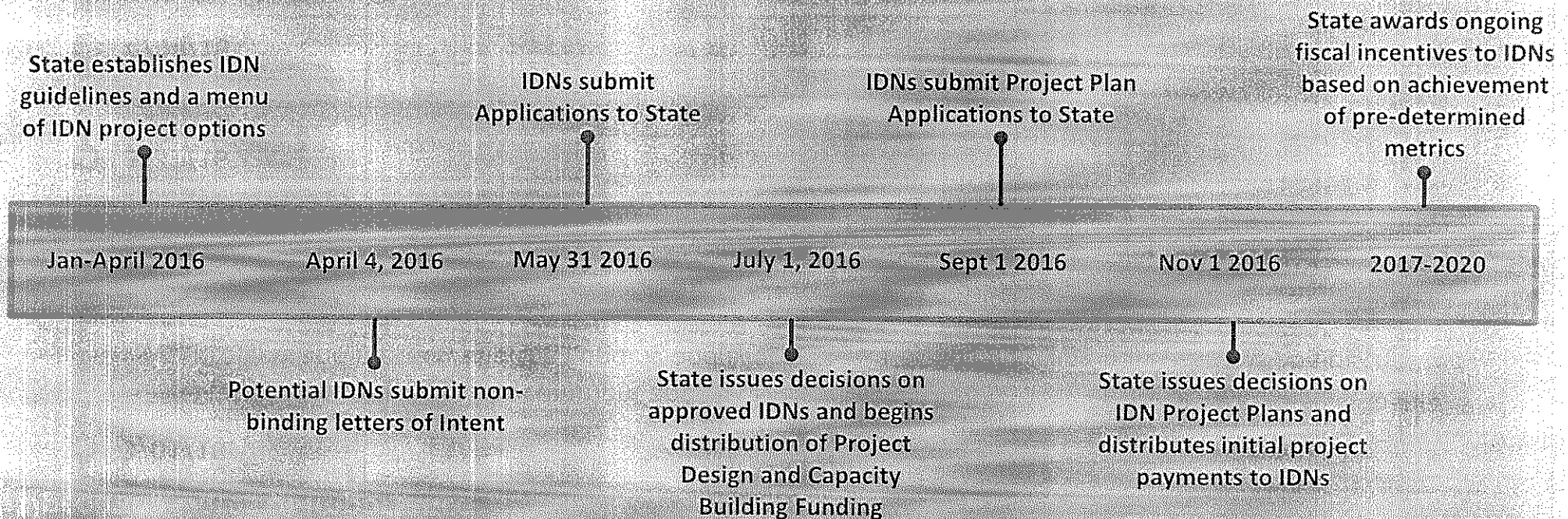


Implementation of Integrated Delivery Networks



- IDN applications are due May 31, 2016
- IDNs applications approved by June 30, 2016
- Detailed DSRIP project plans are due by September 1, 2016
- Distribution of project funds is targeted for Nov 1, 2016

Target Implementation Timeline



Note: pending final approval by CMS and subject to change



Opportunities for Public Comment

Stakeholder input and engagement is critical to the success of the transformation waiver. It will be actively solicited at all stages of implementation.

Selected Upcoming Opportunities for Input Below. DHHS will review all materials in light of public input for any potential changes concurrent with CMS approval:

- March:** Opportunity to prepare and submit comments on the draft planning and funding protocols.
- April 1-April 15:** Opportunity to prepare and submit comments on the draft IDN application materials, including the application for selection to be an Administrative Lead and to apply for Project Design and Capacity Building Funds.
- July 1-July 15:** Opportunity to comment on the draft IDN Project Plan Template.

Summer and Fall of 2016: Opportunity to comment on the proposed project plans of individual IDNs. *In addition, DHHS will hold a series of stakeholder meetings through March and April of 2016 to generate discussion and input from stakeholders on the early design phases of the transformation waiver.*

Comments and questions can be submitted at any time to 1115waiver@dhhs.state.nh.us

For more information, please visit:

<http://www.dhhs.nh.gov/section-1115-waiver/index.htm>

IDN Building Blocks

Non-Binding Letter of Intent	IDN Application (including request for Design/Capacity Building)	IDN Project Plan
Due: April 4, 2016	Due: May 31, 2016	Due: September 1, 2016
<ul style="list-style-type: none"> • Potential IDNs submit non-binding Letters of Intent • Provides DHHS with early indication of organizations interested in establishing an IDN and any regional gaps 	<ul style="list-style-type: none"> • Administrative Lead submits an IDN Application on behalf of itself and participating organizations. <ul style="list-style-type: none"> • Includes request for Project Design and Capacity Building funds • Provides information needed by DHHS to assess whether an IDN meets composition requirements; certify Administrative Lead; and evaluate requests for project design and capacity building funds • Funding announced: June 30, 2016 	<ul style="list-style-type: none"> • Once IDNs have been selected, IDN partners collaborate from June-September 2016 to identify community needs, select projects and create an implementation plan • Serves as a planning vehicle for IDN partners • Provides CMS, DHHS, and community members insight into the work that will be conducted by each IDN and how it will help the state to meet the objectives of the transformation waiver • Plans must be posted for public comment and will be reviewed by an independent evaluator prior to approval by DHHS • Funding announced: November 1, 2016
<p>Must include:</p> <ul style="list-style-type: none"> • Name of potential administrative lead and key contact information • Preliminary information on potential IDN partners 	<p>Must include:</p> <ul style="list-style-type: none"> • Description of partner organizations and approach to implementing projects • Descriptions of Administrative Lead’s qualifications and capabilities • High-level description of local behavioral health-specific needs • Explanation of why planning and capacity building funds are needed and how they will be used to support the transformation goals of the waiver 	<p>Must include:</p> <ul style="list-style-type: none"> • Identification of specific community needs, target populations, and selected projects • Detailed description of approach to implementing projects • Description of key risks and mitigation factors • Description of governance structure, funds flow, and other IDN organizational design elements



FOR MORE INFORMATION

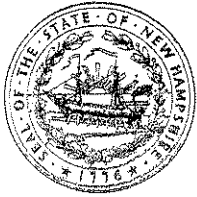
Transformation DSRIP waiver webpage:

<http://www.dhhs.nh.gov/section-1115-waiver/index.htm>

- Special Terms and Conditions of NH's DSRIP Waiver
- Draft Funding and Mechanics Protocol
- Draft DSRIP Planning Protocol
- Request for Non-Binding Letters of Intent
- Schedule of Stakeholder Engagement Meetings

Email: 1115waiver@dhhs.state.nh.us





State of New Hampshire

FIS 16 054

DEPARTMENT OF SAFETY
OFFICE OF THE COMMISSIONER
33 HAZEN DR. CONCORD, NH 03305
603/271-2791

JOHN J. BARTHELMES
COMMISSIONER

February 23, 2016

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court
State House
Concord, New Hampshire 03301

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
State House
Concord, New Hampshire 03301

Requested Action

Pursuant to Chapter 276:198, Laws of 2015, "Transfer Among Accounts", authorize the Department of Safety, Office of the Commissioner, to transfer \$124,660.00 within accounting units as outlined below. This request for transfer of funds is to realign appropriated funds for the purpose of executing the mission of the Homeland Security Grant funding at the local and state level. Effective upon Fiscal Committee and Governor and Council approvals through June 30, 2016. Funding source: 100% Federal Funds.

Funds are to be budgeted as follows:

02-23-23-231010-11180000 Dept. of Safety – Office of the Commissioner – Homeland State Agency Grants

Class	Description	Current	Requested Action	Revised
		SFY 2016		SFY 2016
		Adj. Authorized		Adj. Authorized
000-406727	Federal Funds	(2,471,335.78)	0.00	(2,471,335.78)
018-500106	Overtime	79,524.36		79,524.36
020-500200	Current Expenses	64,990.28	40,000.00	104,990.28
024-500225	Maintenance Other Than Build.	159,966.32		159,966.32
030-500301	Equipment New/Replacement	967,823.93		967,823.93
037-500165	Technology - Hardware	22,616.00		22,616.00
038-500177	Software License / Maintenance	57,690.00		57,690.00
050-500109	Personal Services - Temp/Appointed	32,152.44	57,960.00	90,112.44
057-500531	Books, Periodicals, Subscriptions	2,105.00		2,105.00
060-500602	Benefits	23,461.92		23,461.92
070-500704	In-State Travel Reimbursement	12,006.92		12,006.92
072-500576	Grants-Federal	1,040,698.02	(124,660.00)	916,038.02
080-500710	Out-of-State Travel Reimbursement	800.59	9,200.00	10,000.59
102-500731	Contracts for Program Services	7,500.00	17,500.00	25,000.00
Total Expenses		2,471,335.78	0.00	2,471,335.78

Explanation

This transfer of appropriations is to provide additional funding for advertising on the *See Something, Say Something* (S4) program, for a part-time position at the Information and Analysis Center, additional funding for out-of-state travel for training of the State Police Explosives Disposal (EOD) Unit personnel, and funding for contracts for program services through the State Homeland Security Program (SHSP) and the Law Enforcement specific component of this program. These funds will be used to build capabilities at the state and local level through grant eligible activities and to implement the goals and objectives included in State Homeland Security Strategies and initiatives in the State Preparedness Report (SPR).

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court

Her Excellency, Margaret Wood Hassan
and the Honorable Council
February 23, 2016
Page 2 of 2

The Homeland Security Grant Program (HSGP) funds are used for costs related to preparedness activities associated with implementing the State Homeland Security Strategy and the DHS/FEMA approved Homeland Security Investment Justifications. The HSGP consists of the State Homeland Security Program (SHSP), the Citizen Corps Program, Metropolitan Medical Response System (MMRS) Program, and the Operation StoneGarden Program. Together, these programs provide an integrated mechanism to enhance the coordination of National Priority efforts to prevent, respond to, and recover from terrorist attacks, major disasters, and other emergencies.

The following information is provided in accordance with the Budget Officer's instructional memorandum dated April 17, 1985 to support the above requested actions:

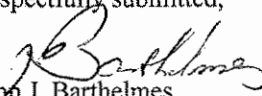
- 1) Does transfer involve continuing programs or one-time projects? *This transfer is to provide sufficient appropriations to cover primarily state agency level First Responder training and intelligence analysis activities funded through the Homeland Security Grant Program, which is a continuing program.*
- 2) Is this transfer required to maintain existing program level or will it increase the program level? *This transfer will allow the Department of Safety to maintain the current annual level of training and intelligence analysis activities and to implement the mission of the Homeland Security Grants Program through June 30, 2017.*
- 3) Cite any requirements which make this program mandatory. *There are no requirements that make this program mandatory. However, this program has been federally approved by enabling Congressional language and has been 100% funded by a federal award since 2003.*
- 4) Identify the source of funds on all accounts listed on this transfer. *100% federal funds.*
- 5) Will there be any effect on revenue if this transfer is approved or disapproved? *No. However, completion of a Statewide "If you see Something, Say Something" campaign with municipalities and the hiring of a part-time intelligence analyst at the NH IAC to focus on higher education anti-terrorism, precursor related intelligence analysis and information dissemination will not occur due to lack of funds. Specialized high level training for a State Police Explosive Ordinance Unit Sergeant out-of-State will not occur.*
- 6) Are funds expected to lapse if this transfer is not approved? *No.*
- 7) Are personnel services involved? *Yes. This funding will support the part-time position of Intelligence Analyst at the Information and Analysis Center.*

Org 1118

1118 Class 20	Increased to match the cost of advertising and printing for the See Something, Say Something Program and other grant eligible activities.
1118 Class 50	Increased to match the cost of part-time Intelligence Analyst at the Information and Analysis Center.
1118 Class 80	Increased to match the funding needs of out-of-state travel for training of State Police EOD personnel.
1118 Class 102	Increased to match the funding needs of contracts for program services of grant eligible activities.

Highway Funds or General Funds will not be used should federal funds become unavailable.

Respectfully submitted,


John J. Barthelme
Commissioner of Safety

284:21-b Organization.

I. The commissioners shall select one from their number to be chairperson and another to be secretary of the commission. Two of the members of the commission shall constitute a quorum to do business. It shall be the duty of the secretary to keep a record of all proceedings of the commission and to preserve all books, documents, papers and records addressed to its care.

II. The commissioners shall appoint an executive director who shall receive the annual salary prescribed by RSA 94:1-a. The lottery executive director shall hold office for a term of 4 years. If a vacancy shall occur, it shall be filled for the remainder of the term. The lottery executive director may be removed by the commission for cause. Upon the effective date of this paragraph, the person who was the executive director shall commence the beginning of the first 4-year term, subject to renewal.

276:197 New Paragraph; Building Code Review Board; Travel Reimbursement. Amend RSA 155-A:10 by inserting after paragraph VI the following new paragraph:

VII. Members of the board shall receive mileage at the rate established in the United States Internal Revenue Code and Regulations when attending meetings of the board for the round trip distance from their residences to the location of the board meeting.

~~276:198 Department of Safety; Transfer Among Accounts. Notwithstanding any provision of law to the contrary, except RSA 9:17-c and RSA 99:4, for the biennium ending June 30, 2017, the commissioner of the department of safety is hereby authorized to transfer funds between and among all class lines and accounting units within the department as the commissioner deems necessary and appropriate to address present or projected budget deficits, or to respond to changes in federal law, regulations, or programs, and otherwise as necessary for the efficient management of the department; provided that any transfer over \$75,000 shall require prior approval of the fiscal committee of the general court and the governor and council.~~

276:199 Health and Human Services; Nursing Home Appropriations. Amend 2013, 143:1, accounting unit 05-95-48-481510-5942 by replacing the organizational notes immediately following such accounting unit with the following:

ORGANIZATION NOTES

*The appropriation contained in classes 504, 505, 506, and 529 may only be transferred between and among said classes. The appropriations shall not lapse or be used for any other purpose. The appropriations shall not be considered for budget reductions required pursuant to sections 10 and 14 of this act or any other budget reduction, including executive orders required of the department of health and human services. Any balance remaining at the end of each fiscal year shall be paid as additional rates based upon the rate setting methodology in effect at that time in a special rate adjustment.

276:200 Health and Human Services; Nursing Home Appropriations. Pursuant to the

Item #	Meeting	Department	Chapter / RSA Reference	General	Federal	Other	Total	Positions Established		Comments
								Full-Time	Part-Time	
FISCAL YEAR 2016										
15-138	July'15	Cultural Resources, Department of	RSA 14:30-a, VI; RSA 124:15	-	594,691	-	594,691		3	Authorizes 3 part time positions (grants coordinator and 2 program specialists I)
15-167	Aug'15	Cultural Resources, Department of	RSA 14:30-a, VI; RSA 124:15	-	-	29,456	29,456			Extended authorization for consultants from 6/30/15 to 12/31/15.
Cultural Resources, Department of Total				-	594,691	29,456	624,147	-	3	
15-141	July'15	Education, Department of	RSA 14:30-a, VI; RSA 124:15	-	1,084,997	-	1,084,997			Item extends the end date for 2 temporary full time positions (program specialist III and program assistant II) originally established in FIS 15-033
15-143	July'15	Education, Department of	RSA 14:30-a, VI; RSA 124:15	-	1,586,127	-	1,586,127			Item extends the end date for 3 temp full time (Administrator II, Program Specialist III, Program Assistant II) and one part time position (Program Specialist III) originally established in FIS 14-026
15-263	Dec'15	Education, Department of	RSA 14:30-a, VI; RSA 124:15	-	763,462	-	763,462	1		Item allows for 1 temporary full time position (education consultant I)
Education, Department of Total				-	3,434,586	-	3,434,586	1	0	
16-002	Jan'16	Energy and Planning, Office of	RSA 14:30-a, VI	-	300,000	-	300,000			
Energy and Planning, Office of Total				-	300,000	-	300,000	0	0	

Item #	Meeting	Department	Chapter / RSA Reference	General	Federal	Other	Total	Positions Established		Comments
								Full-Time	Part-Time	
15-117	July'15	Environmental Services, Department of	RSA 124:15	-	-	-	-			Item extends the end date for 5 temp full time positions (administrator IV, Administrator III, Civil Engineer VI, and 2 Environmentalist IV) originally established in FIS 13-246. FIS 15-239 extends end date from 12/31/15 to 6/30/17.
16-010	Jan'16	Environmental Services, Department of	RSA 14:30-a, VI	-	127,420	-	127,420			
16-019	Feb'16	Environmental Services, Department of	RSA 14:30-a, VI	-	163,209	-	163,209			
16-026	Feb'16	Environmental Services, Department of	RSA 14:30-a, VI	-	-	75,000,000	75,000,000			
		Environmental Services, Department of Total		-	290,629	75,000,000	75,290,629	0	0	
15-213	Oct'15	Fish and Game Department	RSA 14:30-a, VI	-	595,800	-	595,800			
15-214	Oct'15	Fish and Game Department	RSA 14:30-a, VI	-	174,570	-	174,570			
16-003	Jan'16	Fish and Game Department	RSA 14:30-a, VI	-	29,359	10,000	39,359			
		Fish and Game Department Total		-	799,729	10,000	809,729	0	0	
15-152	July'15	Governor's Office	RSA 14:30-a, VI; RSA 124:15	-	-	112,500	112,500			Item extends the end date for one non-classified full time position originally established in FIS 14-196
		Governor's Office Total		-	-	112,500	112,500	-	-	
15-120	July'15	DHHS - Office of the Commissioner	RSA 14:30-a, VI	-	124,150	34,328	158,478			
15-270	Dec'15	DHHS - Office of the Commissioner	RSA 14:30-a, VI	-	9,129,830	1,219,378	10,349,208			
16-029	Feb'16	DHHS - Office of the Commissioner	RSA 14:30-a, VI	-	1,557,555	61,714	1,619,269			
16-030	Feb'16	DHHS - Office of the Commissioner	RSA 14:30-a, VI	-	4,000	-	4,000			
16-031	Feb'16	DHHS - Office of the Commissioner	RSA 14:30-a, VI	-	(74,999)	(9,630)	(84,629)			
		DHHS - Office of the Commissioner Total		-	10,740,536	1,305,790	12,046,326	-	-	
15-122	Aug'15	DHHS - Division of Children, Youth and Families	RSA 14:30-a, VI	-	219,342	-	219,342			
		DHHS - Division of Children, Youth and Families Total		-	219,342	-	219,342	-	-	
15-123	July'15	DHHS - Division of Public Health Services	RSA 14:30-a, VI	-	1,636,364	-	1,636,364			
15-124	Aug'15	DHHS - Division of Public Health Services	RSA 14:30-a, VI	-	127,089	-	127,089			
15-169	Aug'15	DHHS - Division of Public Health Services	RSA 14:30-a, VI	-	1,724,196	-	1,724,196			FIS 15-272 extends the end date from 12/31/15 to 6/30/17 and accepts additional funds (see below)
15-272	Dec'15	DHHS - Division of Public Health Services	RSA 14:30-a, VI	-	340,567	-	340,567			See above
15-170	Aug'15	DHHS - Division of Public Health Services	RSA 14:30-a, VI	-	103,396	-	103,396			
15-171	Aug'15	DHHS - Division of Public Health Services	RSA 14:30-a, VI	-	1,067,592	-	1,067,592			

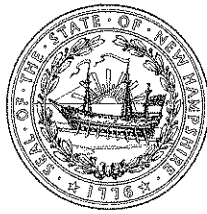
Item #	Meeting	Department	Chapter / RSA Reference	General	Federal	Other	Total	Positions Established		Comments
								Full-Time	Part-Time	
15-172	Aug'15	DHHS - Division of Public Health Services	RSA 14:30-a, VI; RSA 124:15	-	257,214	-	257,214	-	-	Item extends the end date for 3 positions (a senior management analyst and two program planner III) originally approved with FIS 15-002
15-224	Oct'15	DHHS - Division of Public Health Services	RSA 14:30-a, VI; RSA 124:15	-	1,038,641	-	1,038,641	5		Item creates 5 temporary full-time positions (Toxicologist IV, 2 toxicologist II, public health program manager, and program specialist IV)
15-255	Dec'15	DHHS - Division of Public Health Services	RSA 14:30-a, VI	-	-	300,000	300,000			
15-264	Dec'15	DHHS - Division of Public Health Services	RSA 14:30-a, VI	-	120,522	-	120,522			
15-258	Dec'15	DHHS - Division of Public Health Services	RSA 14:30-a, VI	-	286,867	-	286,867			
16-011	Jan'16	DHHS - Division of Public Health Services	RSA 14:30-a, VI	-	309,247	-	309,247			
16-012	Jan'16	DHHS - Division of Public Health Services	RSA 14:30-a, VI	-	1,727,822	-	1,727,822			
16-020	Feb'16	DHHS - Division of Public Health Services	RSA 14:30-a, VI; RSA 124:15	-	678,574	-	678,574	2		Item establishes two full time temporary positions
16-021	Feb'16	DHHS - Division of Public Health Services	RSA 14:30-a, VI; RSA 124:15	-	466,012	-	466,012	2		Item establishes two full time temporary positions
		DHHS - Division of Public Health Services Total		-	9,884,103	300,000	10,184,103	9	-	
15-240	Dec'15	DHHS - Office of Human Services	RSA 14:30-a, VI; RSA 124:15	-	362,852	-	362,852	1		Item establishes 1 full time temporary position
		DHHS - Office of Human Services Total		-	362,852	-	362,852	1	-	
15-139	July'15	DHHS - Office of Medicaid Business and Policy	RSA 14:30-a, VI	-	2,500,000	-	2,500,000			
15-215	Oct'15	DHHS - Office of Medicaid Business and Policy	RSA 14:30-a, VI	-	2,231,951	-	2,231,951			
15-228	Oct'15	DHHS - Office of Medicaid Business and Policy	RSA 14:30-a, VI	-	324,997	-	324,997			
16-004	Jan'16	DHHS - Office of Medicaid Business and Policy	RSA 14:30-a, VI	-	4,083,670	-	4,083,670			
		DHHS - Office of Medicaid Business and Policy Total		-	9,140,618	-	9,140,618	-	-	
15-153	July'15	Insurance Department	RSA 14:30-a, VI; RSA 124:15	-	444,179	-	444,179			Item extends the end date for consultants established in FIS 14-174
15-154	July'15	Insurance Department	RSA 14:30-a, VI; RSA 124:15	-	1,055,985	-	1,055,985			Item extends the end date for consultants established in FIS 13-247

Item #	Meeting	Department	Chapter / RSA Reference	General	Federal	Other	Total	Positions Established		Comments
								Full-Time	Part-Time	
15-155	July'15	Insurance Department	RSA 14:30-a, VI; RSA 124:15	-	529,678	-	529,678			Item provides approval for establishing consultant positions
		Insurance Department Total		-	2,029,842	-	2,029,842	-	-	
15-114	July'15	Justice, Department of	RSA 14:30-a, VI; RSA 124:15	-	106,177	-	106,177			Item provides approval for establishing consultant positions. FIS 15-250 extends end date from 12/31/15 to 6/30/17.
15-118	July'15	Justice, Department of	RSA 124:15	-	-	-	-			Item establishes one part time program specialist IV position
15-194	Sept'15	Justice, Department of	RSA 14:30-a, VI; RSA 124:15	-	-	138,902	138,902	1		Item establishes one temp full time planning analyst (LG 24) position and approval for establishing consultant position. FIS 15-247 extends the end date from 12/31/15 to 6/30/17 and accepts an additional \$138,902 of agency income.
15-216	Oct'15	Justice, Department of	RSA 14:30-a, VI	-	5,940,633	-	5,940,633			
15-246	Dec'15	Justice, Department of	RSA 14:30-a, VI	-	142,145	-	142,145			
15-248	Dec'15	Justice, Department of	RSA 14:30-a, VI	-	500,000	-	500,000			
15-267	Dec'15	Justice, Department of	RSA 14:30-a, VI	-	60,000	-	60,000	1		Establishes 1 drug prosecutor position
16-005	Jan'16	Justice, Department of	RSA 14:30-a, VI; RSA 124:15	-	-	140,000	140,000	1		Establishes 1 victim witness specialist (LG 26) position
		Justice, Department of Total		-	6,748,955	278,902	7,027,857	3	-	

Item #	Meeting	Department	Chapter / RSA Reference	General	Federal	Other	Total	Positions Established		Comments
								Full-Time	Part-Time	
15-137	July'15	Pharmacy, Board of	RSA 124:15	-	-	-	-			Item extends the end date for one temp full time Administrator I and one part time Administrator I as contained in FIS 14-015 and FIS 13-164, FIS 15-273 extends end date through 3/31/16.
Pharmacy, Board of Total				-	-	-	-	-	-	
15-178	Aug'15	Resources and Economic Development, Department of	RSA 14:30-a, VI	-	-	109,020	109,020			
15-179	Aug'15	Resources and Economic Development, Department of	RSA 14:30-a, VI	-	-	189,276	189,276			
15-181	Aug'15	Resources and Economic Development, Department of	RSA 14:30-a, VI; RSA 124:15	-	319,848	-	319,848			Item provides approval for establishing consultant position of consortium manager. FIS 15-253 extends end date from 12/31/15 to 5/31/16
15-193	Sept'15	Resources and Economic Development, Department of	RSA 14:30-a, VI	-	199,878	-	199,878			FIS 15-234 extends end date from 12/31/15 to 9/29/16
Resources and Economic Development, Department of Total				-	519,726	298,296	818,022	-	-	
15-133	July'15	Safety, Department of	RSA 124:15	-	-	-	-			Item extends the end date for consultant positions originally contained in FIS 14-203
15-134	July'15	Safety, Department of	RSA 124:15	-	-	-	-			Item extends the end date for consultant positions originally contained in FIS 15-032

Item #	Meeting	Department	Chapter / RSA Reference	General	Federal	Other	Total	Positions Established		Comments
								Full-Time	Part-Time	
15-144	July'15	Safety, Department of	RSA 124:15	-	-	-	-			Item extends the end date for consultant positions originally contained in FIS 14-152. FIS 15-242 extends enddate from 12/31/15 to 9/30/16.
15-132	Aug'15	Safety, Department of	RSA 14:30-a, VI; RSA 124:15	-	870,841	-	870,841			Item provides approval for establishing consultant positions. See FIS 15-249
15-249	Aug'15	Safety, Department of	RSA 14:30-a, VI; RSA 124:15	-	(471,861)	-	(471,861)			Item extends end date for FIS 15-132 from 12/31/15 to 6/30/17 and reduces the amount to reflect what has been appropriated.
15-164	Aug'15	Safety, Department of	RSA 14:30-a, VI	-	4,439,989	-	4,439,989			FIS 15-236 changes end date from 12/31/15 to 6/30/17
15-165	Aug'15	Safety, Department of	RSA 14:30-a, VI	-	2,237,568	-	2,237,568			FIS 15-237 changes end date from 12/31/15 to 6/30/17
15-166	Aug'15	Safety, Department of	RSA 14:30-a, VI	-	554,499	-	554,499			FIS 15-238 changes end date from 12/31/15 to 6/30/17
15-195	Sept'15	Safety, Department of	RSA 14:30-a, VI; RSA 124:15	-	7,747,351	-	7,747,351		2	Item provides approval to establish 2 temporary positions. FIS 15-241 extends end date from 12/31/15 to 6/30/17.
15-217	Oct'15	Safety, Department of	RSA 14:30-a, VI	-	1,200,143	-	1,200,143			

Item #	Meeting	Department	Chapter / RSA Reference	General	Federal	Other	Total	Positions Established		Comments
								Full-Time	Part-Time	
15-218	Oct'15	Safety, Department of	RSA 14:30-a, VI; RSA 124:15	-	-	165,714	165,714	1		Item provides approval to establish 1 temporary position (full-time State Police Captain)
15-235	Dec'15	Safety, Department of	RSA 14:30-a, VI	-	-	101,655	101,655			
15-265	Dec'15	Safety, Department of	RSA 14:30-a, VI; RSA 124:15	-	-	165,975	165,975			Item provides approval to establish consultant positions
15-266	Dec'15	Safety, Department of	RSA 14:30-a, VI; RSA 124:15	-	-	77,170	77,170	4		Item provides approval to establish 4 temporary part-time positions in class 50
16-016	Jan'16	Safety, Department of	RSA 14:30-a, VI; RSA 124:15	-	-	257,346	257,346	2		Item provides approval to establish 2 temporary full-time hearings examiner prosecutor
16-022	Feb'16	Safety, Department of	RSA 14:30-a, VI	-	-	329,164	329,164			
16-023	Feb'16	Safety, Department of	RSA 14:30-a, VI; RSA 124:15	-	-	109,960	109,960			Item provides approval to establish consultant positions
		Safety, Department of Total		-	16,578,530	1,206,984	17,785,514	3	6	
15-177	Aug'15	Transportation, Department of	RSA 14:30-a, VI	-	-	750,000	750,000			FIS 15-254 extended end date from 12/31/15 to 6/30/16.
15-188	Sept'15	Transportation, Department of	RSA 14:30-a, VI	-	300,000	-	300,000			FIS 15-259 extends end date from 12/31/15 to 6/30/17
		Transportation, Department of Total		-	300,000	750,000	1,050,000	-	-	
		FY 16 Total		-	61,944,139	79,291,928	141,236,067	17	9	



MICHAEL W. KANE, MPA
Legislative Budget Assistant
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CHRISTOPHER M. SHEA, MPA
Deputy Legislative Budget Assistant
(603) 271-3161

State of New Hampshire

OFFICE OF LEGISLATIVE BUDGET ASSISTANT
State House, Room 102
Concord, New Hampshire 03301

STEPHEN C. SMITH, CPA
Director, Audit Division
(603) 271-2785

March 10, 2016

Fiscal Committee of the General Court
The Honorable Neal M. Kurk, Chairman
State House
Concord, New Hampshire 03301

Dear Representative Kurk and Members of the Committee,

I am writing to inform you of actions taken under the authority granted to me to approve step increases for employees of the LBA Office. I approved step increases as of the increment date for the following employees:

Nathan White: Effective March 4, 2016, a one step increase from grade M-1 to M-2.
Date of hire: March 4, 2013 Date of previous increment: March 4, 2015

Please let me know if you have any questions.

Sincerely,

A handwritten signature in black ink, appearing to read "Michael W. Kane".

Michael W. Kane
Legislative Budget Assistant

MWK

JOINT LEGISLATIVE FACILITIES COMMITTEE
 LEGISLATIVE BRANCH
 DETAIL OF BALANCE OF FUNDS AVAILABLE
 FISCAL YEAR 2016
 As of 02/29/2016

Legislative Branch:	Org/ Class	Balance Forward	Appropriation	Income	Transfers	Expenditures	Encumb rances	Balance Available
Senate:	1170							
Personal srvs. - members	011		700.00			69.00		631.00
Personal srvs. - nonclassi	016		1,705,454.00			1,053,401.91		652,052.09
Current expenses	020		40,000.00			6,866.54		33,133.46
Rents-Leases other than state	022		9,500.00			5,992.35		3,507.65
Equipment	030		2,500.00					2,500.00
Telecommunications	039		18,000.00			9,304.85		8,695.15
Legal srvs.& consultants	046		82,000.00			80,227.68		1,772.32
Personal srvs. - temp/app	050		25,000.00			7,148.98		17,851.02
Benefits	060		790,570.00			418,890.29		371,679.71
Employee training	066		500.00					500.00
Travel:								
In state	070		140,000.00			74,646.33		65,353.67
Out of state	080		3,000.00			652.99		2,347.01
President's discretionary fund	285		4,500.00			2,606.44		1,893.56
Contingency	289		0.00					0.00
Total		0.00	2,821,724.00	0.00	0.00	1,659,807.36	0.00	1,161,916.64

Legislative Branch - continued:	Org/ Class	Balance Forward	Appropriation	Income	Transfers	Expenditures	Encumb rances	Balance Available
House	1180							
Personal srvs. - members	011		5,000.00			1,414.00		3,586.00
Personal srvs. - nonclassi	016		1,641,640.00		(30,000.00)	1,005,385.28		606,254.72
Current expenses	020		55,000.00			21,282.86		33,717.14
Rents-Leases Other than State	022		4,500.00			2,346.63		2,153.37
Maint. Other than bldg/grnd	024	23,556.38	6,000.00			23,000.00	556.38	6,000.00
Equipment	030		10,000.00		30,000.00	21,411.53		18,588.47
Telecommunications	039		30,000.00			15,041.34		14,958.66
Consultants	046		80,000.00			8,225.00		71,775.00
Personal srvs. - temp/app	050		246,932.00			82,328.89		164,603.11
Benefits	060		852,302.00			390,735.44		461,566.56
Employee training	066		1,000.00					1,000.00
Travel:								
In state	070		1,000,000.00			377,339.06		622,660.94
Out of state	080		95,000.00			41,972.66		53,027.34
Speaker's special fund	286		10,000.00			2,065.79		7,934.21
Democratic Leader's Account	287		4,500.00			1,509.61		2,990.39
Republican Leader's Account	288		4,500.00			998.61		3,501.39
Total		23,556.38	4,046,374.00	0.00	0.00	1,995,056.70	556.38	2,074,317.30

Legislative Branch - continued:

		Balance Forward	Appropriation	Income	Transfers	Expenditures	Encumb rances	Balance Available
Operations	1160							
Personal svcs. - nonclassi	016		239,949.00			132,718.39		107,230.61
Current expenses	020		2,000.00			300.74		1,699.26
Equipment New/Replacement	030		750.00					750.00
Telecommunications	039		7,500.00			4,628.88		2,871.12
Benefits	060		151,801.00			80,085.99		71,715.01
Total		0.00	402,000.00		0.00	217,734.00	0.00	184,266.00

Joint Expenses	8677							
Current expenses	020		48,000.00			6,380.93		41,619.07
Rents-Leases Other Than State	022		18,000.00			6,811.67		11,188.33
Organizational Dues	026		130,000.00			130,000.00		0.00
Equipment New/Replacement	030		1,000.00			249.00		751.00
Consultants	046		3,000.00					3,000.00
Transfer to Other State Agencies	049		3,000.00			3,000.00		0.00
Legislative Printing & Binding	290		280,000.00			124,230.07		155,769.93
Joint Orientation	291		0.00					0.00
Redistricting	292		2,000.00					2,000.00
Total		0.00	485,000.00	0.00	0.00	270,671.67	0.00	214,328.33
Less estimated Revenue		-2,050.65	-9,000.00	5,619.63	(A)			-5,431.02
Total		-2,050.65	476,000.00	5,619.63	0.00	270,671.67	0.00	208,897.31

Joint Legislative Historical Committee	8870-216	136,076.11	10,000.00			24,071.55		122,004.56
Flag Preservation Revenue	8870-3586	0.00						0.00
Total		136,076.11	10,000.00	0.00	0.00	24,071.55	0.00	122,004.56

Legislative Branch - continued:		Balance					Encumb	Balance
		Forward	Appropriation	Income	Transfers	Expenditures	rances	Available
Visitor's Center:	1229							
Personal srvs. - nonclassi	016		107,997.00			70,747.05		37,249.95
Current Expenses	020		750.00		700.00	834.82		615.18
Equipment New/Replacement	030		400.00			339.98		60.02
Telecommunications	039		750.00			274.19		475.81
Personal srvs. - temp/app	050		55,862.00		(55,862.00)			0.00
Benefits	060		700.00		55,162.00	34,737.81		21,124.19
Total		0.00	166,459.00		0.00	106,933.85	0.00	59,525.15
Visitor's Ctr. Revolving Fund (G)	1230							
Souvenir Purchases	106	7,100.70	0.00		42,873.51	9,333.75		40,640.46
Revenue	2016	42,873.51	0.00	32,195.72	(42,873.51) (B)			32,195.72
Total		49,974.21	0.00	32,195.72	0.00	9,333.75	0.00	72,836.18
Legislative Accounting:	1166							
Personal srvs. - nonclassi	016		225,030.00			148,139.92		76,890.08
Current expenses	020		2,000.00			223.72		1,776.28
Equipment New/Replacement	030		1,000.00			239.99		760.01
Telecommunications	039		750.00			435.53		314.47
Personal srvs. - temp/app	050		22,583.00					22,583.00
Benefits	060		80,550.00			50,414.77		30,135.23
Total		0.00	331,913.00		0.00	199,453.93	0.00	132,459.07
General Court Info. Systems:	4654							
Personal srvs. - nonclassi	016		342,707.00			221,219.56		121,487.44
Current expenses	020		39,200.00			15,881.54		23,318.46
Equipment New/Replacement	030		750.00					750.00
Technology - Hardware	037		87,025.00			33,952.99		53,072.01
Technology - Software	038		101,797.00			27,463.79	0.00	74,333.21
Telecommunications	039		2,500.00			900.96		1,599.04
Personal srvs. - temp/app	050		8,640.00					8,640.00
Benefits	060		184,091.00			114,004.85		70,086.15
Employee training	066		2,500.00					2,500.00
Total		0.00	769,210.00		0.00	413,423.69	0.00	355,786.31

Legislative Branch - continued:

	Org/ Class	Balance Forward	Appropriation	Income	Transfers	Expenditures	Encumb rances	Balance Available
Protective Services:		1164						
Personal srvs. - nonclassi	016		407,200.00			268,189.26		139,010.74
Current expenses	020		7,500.00			17.44		7,482.56
Equipment New/Replacement	030		10,200.00			5,086.61		5,113.39
Telecommunications	039		2,200.00			1,033.54		1,166.46
Personal srvs. - temp/app	050		1.00					1.00
Benefits	060		216,167.00			135,145.91		81,021.09
Total		0.00	643,268.00		0.00	409,472.76	0.00	233,795.24
Health Services:		1165						
Current expenses	020		1,600.00			770.10		829.90
Equipment New/Replacement	030		300.00					300.00
Telecommunications	039		350.00			162.72		187.28
Personal srvs. - temp/app	050		34,232.00			21,522.51		12,709.49
Benefits	060		2,619.00			1,646.47		972.53
Employee training	066		400.00					400.00
Total		0.00	39,501.00		0.00	24,101.80	0.00	15,399.20
Legislative Services:		1270						
Personal srvs. - nonclassi	016		1,691,023.00			1,132,077.14		558,945.86
Current expenses	020		19,000.00			13,769.00		5,231.00
Rents-Leases other than State	022		5,500.00			3,160.36		2,339.64
Equipment New/Replacement	030		3,000.00			375.00		2,625.00
Telecommunications	039		6,500.00			4,078.25		2,421.75
Personal srvs. - temp/app	050		25,000.00			7,310.48		17,689.52
Benefits	060		722,406.00			463,909.26		258,496.74
Employee training	066		1,500.00			984.00		516.00
Out of state Travel	080		10,000.00					10,000.00
Printing and binding	290		8,000.00			4,502.47	0.00	3,497.53
Total		0.00	2,491,929.00		0.00	1,630,165.96	0.00	861,763.04
Less estimated revenue	009/2045	170.68	-750.00	534.00				-45.32
Total		170.68	2,491,179.00	534.00	0.00	1,630,165.96	0.00	861,717.72

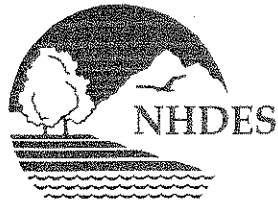
Legislative Branch - continued:		Balance Forward	Appropriation	Income	Transfers	Expenditures	Encumb rances	Balance Available
Budget Division:	1221							
Personal srvs. - nonclassi	016		909,818.00		215,000.00	673,360.70		451,457.30
Current expenses	020		10,000.00			2,906.01		7,093.99
Rents-Leases other than State	022		6,000.00			4,074.00		1,926.00
Organizational Dues	026		1,050.00			1,025.00		25.00
Equipment	030		17,500.00			7,300.00		10,200.00
Telecommunications	039		3,300.00			1,970.21		1,329.79
Consultants	046		15,000.00			188.00		14,812.00
Personal srvs. - temp/app	050		65,000.00		(40,000.00)			25,000.00
Benefits	060		375,568.00		135,000.00	236,050.53		274,517.47
Employee training	066		3,500.00			156.15		3,343.85
In state travel	070		500.00					500.00
Out of state travel	080		2,000.00					2,000.00
Total		0.00	1,409,236.00		310,000.00	927,030.60	0.00	792,205.40

Legislative Budget Assistant:

Audit Division:	1222							
Personal srvs. - nonclassi	016		1,977,295.00		(90,000.00)	1,239,694.62		647,600.38
Current expenses	020		12,860.00			5,749.67		7,110.33
Rents-Leases other than State	022		100,000.00			97,524.00		2,476.00
Equipment	030		20,000.00			1,130.00		18,870.00
Telecommunications	039		2,500.00			1,093.08		1,406.92
Consultants	046		570,000.00		75,000.00	639,626.00		5,374.00
Personal srvs. - temp/app	050		10,000.00		(1,500.00)			8,500.00
Benefits	060		895,942.00		25,000.00	492,004.04		428,937.96
Employee training	066		25,000.00			19,142.00		5,858.00
In state travel	070		7,500.00			1,113.10		6,386.90
Out of state travel	080		100.00		1,500.00	1,261.40		338.60
Total		0.00	3,621,197.00	0.00	10,000.00	2,498,337.91	0.00	1,132,859.09
Less estimated revenue	006/1251	559,023.00	-650,000.00	111,400.00	(320,000.00) (D)			-299,577.00
Total		559,023.00	2,971,197.00	111,400.00	(310,000.00)	2,498,337.91	0.00	833,282.09

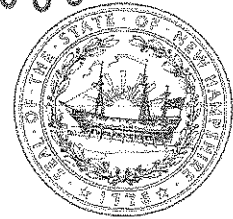
Total		766,749.73	16,578,061.00	149,749.35	0.00	10,385,595.53	556.38	7,108,408.17
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- (A) Proceeds from the sale of legislative subscriptions, advance sheets, permanent journals, and rosters, and royalties from Lexis Law Publishing.
- (B) Pursuant to Chapter 177:151 State House Visitor's Center Revolving Fund established - Proceeds from sales of souvenirs and expenditures from souvenir purchases transferred to V.C. Revolving account effective 10/18/06.
- (C) Proceeds from sales of photocopies and rulemaking registers.
- (D) Auditing fees



The State of New Hampshire
Department of Environmental Services

FIS 16 038



Thomas S. Burack, Commissioner

January 20, 2016

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court
State House
Concord, NH 03301

Informational Item

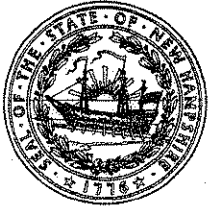
Pursuant to RSA 21-O:23, III, the New Hampshire Department of Environmental Services is providing the Fiscal Committee with this report for expenses associated with the participation of department staff in regional discussions regarding a low carbon fuel standard for the period including July 1 to December 31, 2015. During this period department staff spent six hours participating in conference calls or reviewing documents associated with this regional discussion for a total cost, including salary and benefits, of \$361.59.

If you have any questions regarding this report please feel free to contact Rebecca Ohler (rebecca.ohler@des.nh.gov; 271-6749) or me at (thomas.burack@des.nh.gov; 271-2958).

Sincerely,

Thomas S. Burack
Commissioner

Cc: Rebecca Ohler, NHDES



STATE OF NEW HAMPSHIRE
 DEPARTMENT of RESOURCES and ECONOMIC DEVELOPMENT
 OFFICE OF THE COMMISSIONER

172 Pembroke Road Concord, New Hampshire 03301
 Phone: 271-2411 Fax: 271-2629

February 2, 2016

Her Excellency, Governor Margaret Wood Hassan
 and the Honorable Executive Council
 State House
 Concord, New Hampshire 03301

The Honorable Neal M. Kurk, Chairman
 Fiscal Committee of the General Court
 State House
 Concord, New Hampshire 03301

The Honorable Shawn Jasper, Speaker
 New Hampshire House of Representatives
 State House – Room 311
 Concord, New Hampshire 03301

The Honorable Chuck Morse, President
 New Hampshire Senate
 State House – Room 302
 Concord, New Hampshire 03301

The Honorable Chris Christensen, Chairman
 Resources, Recreation and Development
 Legislative Office Building – Room 305
 Concord, New Hampshire 03301

The Honorable Jeb Bradley, Chairman
 Energy and Natural Resources
 State House – Room 100
 Concord, New Hampshire 03301

INFORMATIONAL ITEM

In accordance with RSA 216-A:3-m and II, RSA 12-A:28, the Department of Resources and Economic Development (Department) reports the following transfers of funds for the quarter ending December 31, 2015.

• Account Titled "Mt. Washington Commission"

From: 03-35-35-351510-37420000-020	Current Expenses	\$25,000
		Total: \$25,000

To: 03-35-35-351510-37420000-048	Contractual Maintenance B&G	\$25,000
		Total: \$25,000

• Account Titled "Cannon Mountain"

From: 03-35-35-351510-37030000-080	Out-of-State Travel Reimbursement	\$ 1,000
		Total: \$ 1,000

To: 03-35-35-351510-37030000-070	In-State Travel Reimbursement	\$ 1,000
		Total: \$ 1,000

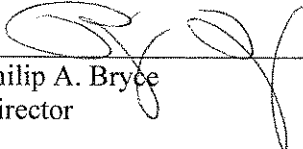
EXPLANATION


RSA 216-A:3-m, II, authorizes the Commissioner of the Department to transfer funds among the appropriations for the Division of Parks and Recreation and to report such transfers on a quarterly basis to the Fiscal Committee of the General Court, the Governor and Executive Council, the Speaker of the House and Senate President, and the chairpersons of the Resources, Recreation and Development and the Energy and Natural Resources committees. The transfer reported above for the account titled "Mt. Washington Commission" was necessary to adequately cover 2nd quarter expenditures.

RSA 12-A:28, authorizes the Commissioner of the Department to transfer funds within and among the appropriations for the operation of Cannon Mountain and to report such transfers annually 60 days after the close of each fiscal year to the Fiscal Committee of the General Court and the Governor and Executive Council. Note: The Department reports quarterly on Cannon's fund transfers to maintain consistency with its other transfer reporting. The transfer reported above for the account titled "Cannon Mountain" was necessary to adequately cover 2nd quarter expenditures.

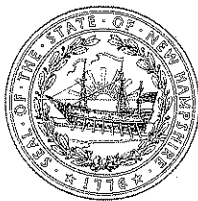
Respectfully submitted,

Concurred,


Philip A. Bryce
Director


Jeffrey J. Rose
Commissioner

PAB/JJR/lml



FIS 16 040

William F. Dwyer
STATE TREASURER

**THE STATE OF NEW HAMPSHIRE
STATE TREASURY**

25 CAPITOL STREET, ROOM 121
CONCORD, N.H. 03301
603-271-2621
FAX 603-271-3922
E-mail: bdwyer@treasury.state.nh.us
TDD Access: Relay NH 1-800-735-2964

February 10, 2015

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court
State House
Concord, NH 03301

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
State House
Concord, New Hampshire 03301

INFORMATIONAL ITEM

Pursuant to RSA 6-B:2,VII, it is hereby requested that you accept the attached quarterly reports of the New Hampshire State Treasury for the periods ended September 30, 2015 and December 31, 2015.

EXPLANATION

I am pleased to present the third and fourth quarter (calendar year 2015) Quarterly Balance Reports, summarizing the total amount of funds in the State Treasury, the amount belonging to each separate fund, the funds on deposit, investments held, and the net interest income earned thereon. The mission of the New Hampshire State Treasury is to deliver professional financial management services to state government, the legislature, and New Hampshire citizens.

Sincerely,

William F. Dwyer
State Treasurer

CC: Vicki V. Quiram, Commissioner, Department of Administrative Services

Attachments: Treasury Quarterly Balance Report – September 30, 2015
Treasury Quarterly Balance Report – December 31, 2015



Treasury Quarterly Balance Report

SEPTEMBER 30, 2015 (Q1, FY16)

RSA 6-B:2 (VII)

General Ledger by Separate Fund

General Fund	\$	416,897,266
Liquor	\$	30,719,337
Lottery	\$	11,955,061
Racing/Gaming	\$	340,798
Highway	\$	109,518,838
Turnpike	\$	163,701,421
DES-SRF	\$	255,190,841
Fish & Game	\$	6,547,500
Capital Fund	\$	55,956,357
Education	\$	(99,494,949)
Employee Benefit	\$	43,185,414
<u>Sub-total</u>	\$	<u>994,517,883</u>
T&A*	\$	35,376,821
PEAP*	\$	19,099,489
<u>TOTAL</u>	\$	<u>1,048,994,193</u> **

Deposits and Investments Held

Funds on Deposit	\$483,005,655
Investments Held	\$560,753,985
<u>TOTAL</u>	<u>\$ 1,043,759,640</u> **
<u>Net Interest Income</u>	\$ 423,317.45
<u>FY16 Interest Income</u>	\$ 423,317.45

*Trust and Agency Accounts (T&A) and Pre-escheat Abandoned Property (PEAP) securities are not reported in the General Ledger.

** The variance between the two totals results from timing differences between accounting entries and actual cash transactions, as well as the reporting of certain enterprise funds. The State Treasury reconciles General Ledger to Bank Statements.

S://CashManagement/QuarterlyReport/RSA6-B:2



Treasury Quarterly Balance Report

December 31, 2015 (Q2, FY16)

RSA 6-B:2 (VII)

General Ledger by Separate Fund

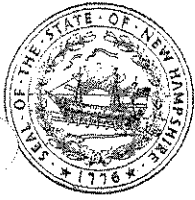
General Fund	\$	319,415,759
Liquor	\$	16,543,296
Lottery	\$	10,597,272
Racing/Gaming	\$	352,722
Highway	\$	97,736,465
Turnpike	\$	160,289,649
DES-SRF	\$	249,782,708
Fish & Game	\$	5,296,413
Capital Fund	\$	38,400,142
Education	\$	(271,574,158)
Employee Benefit	\$	42,004,129
<u>Sub-total</u>	\$	<u>668,844,396</u>
T&A*	\$	38,082,833
PEAP*	\$	25,668,031
TOTAL	\$	732,595,260 **

Deposits and Investments Held

Funds on Deposit	\$226,630,385
Investments Held	\$504,768,094
TOTAL	\$ 731,398,479 **
<u>Net Interest Income</u>	\$ 483,915.51
<u>FY16 Interest Income</u>	\$ 907,232.96

*Trust and Agency Accounts (T&A) and Pre-escheat Abandoned Property (PEAP) securities are not reported in the General Ledger.

** The variance between the two totals results from timing differences between accounting entries and actual cash transactions, as well as the reporting of certain enterprise funds. The State Treasury reconciles General Ledger to Bank Statements.



State of New Hampshire

DEPARTMENT OF HEALTH AND HUMAN SERVICES

129 PLEASANT STREET, CONCORD, NH 03301-3857
603-271-9200 FAX: 603-271-4912 TDD ACCESS: RELAY NH 1-800-735-2964

FIS 16 058

JEFFREY A. MEYERS
COMMISSIONER

March 8, 2016

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court
State House
Concord, NH 03301

Re: INFORMATIONAL ITEM: Health and Human Services Dashboard

Information

The Department of Health and Human Services (DHHS) hereby submits as an information item the Department's monthly dashboard in order to inform the legislature and the public on the current status of the utilization of the Department's programs and services and the related implications for the Department's budget. The monthly dashboard also includes a status report on significant initiatives being implemented to transform and improve the Department's programs. Please note that financial information contained in this monthly dashboard is current through February 2016.

In response to the Committee's comments at the last Fiscal meeting, we have made several adjustments to the Dashboard. Now, in addition to the Medicaid and NHHPP caseload data, included on the second page of the cover letter, caseloads have been added for Food Stamps, FANF, APTD and LTC Elderly clients. This caseload data is inclusive of all programs previously reported in prior year dashboard reports. The Financial Summary, now labeled as Table A, includes the legislative lapse target amount of \$20,856,000 in addition to the 3.3% reference. In Table A, in prior years, the Department had included a section titled "Reduction Plan." In this month's dashboard that section is now labeled "Funds that would otherwise lapse." At this point in time, there has been no reduction in programs or services to fund the projected deficit. As such, the Department plans to use funds that would otherwise lapse to cover the anticipated shortfall.

Explanation

Funding Issues

As of February 29, 2016, the Department has identified a budget deficit of \$27.6 million, on a cash basis, prior to accounting for lapse. This deficit results from unexpected costs not budgeted and budget assumptions that either have not been realized or that are not now anticipated to be realized. Current deficits in the Medicaid program (Non NHHPP) account for 80% of the identified shortfall.

General Fund Only -Figures in \$Millions

Medicaid	\$22.3
SYSC	\$1.7
Other	<u>\$3.6</u>
Total Deficit	\$27.6
Funds that would otherwise lapse	<u>\$29.8</u>
Net Surplus	<u>\$2.2</u>

Caseload Trends

	SFY 14	SFY 15	SFY 16		
	6/30/2014	6/30/2015	12/31/2015	1/31/2016	2/29/2016
Medicaid Standard	139,105	138,252	138,959	138,697	138,819
<i>% increase over prior</i>		-0.6%	0.5%	-0.2%	0.1%
NHHPP	-	41,657	46,996	47,902	49,135
<i>% increase over prior</i>			12.8%	1.9%	2.6%
Food Stamps (SNAP)	110,590	105,322	100,495	99,978	99,486
<i>% increase over prior</i>		-4.8%	-4.5%	-0.1%	-0.1%
FANF Persons	7,116	6,138	5,425	5,435	5,307
<i>% increase over prior</i>		-13.7%	-11.6%	0.0%	-2.4%
APTD Persons	7,745	7,526	7,116	7,081	7,117
<i>% increase over prior</i>		-2.8%	-5.5%	0.0%	0.0%
LTC - Persons	7,271	7,109	7,191	7,114	7,206
<i>% increase over prior</i>		-2.2%	1.2%	-1.1%	1.3%

Medicaid Shortfall

The current Medicaid shortfall is primarily the result of caseloads not trending as budgeted and increases in the PMPM. When the budget was passed, the caseload was expected to drop 2% beginning July 1, 2015. As seen from the table above, caseloads are trending slightly higher than last year. The aggregate PMPM paid to the MCO's as of June 30, 2015, was \$331.01 and the current contract rate is \$345.01 (effective 2/1/16).

The Medicaid shortfall has dropped as compared to last month's dashboard, \$27.8 million to the current \$22.3 million. The drop is from estimated MCO and BBH FFS actual claims coming in slightly lower than projected.

Sununu Youth Services Center (SYSC)

NH Laws of 2015, Chap. 276, (HB2), requires a reduction in appropriation to SYSC of \$1.7 million general funds for SFY16 and \$3.5 million for SFY17 and for the Department to develop a plan around the use of SYSC. The Department believes that establishing a residential treatment center at SYSC would place the Center on a more sustainable financial footing in future years. A Psychiatric Residential Treatment Facility (PTRF) will support a continuum of care model for juvenile justice youth and those at risk for being involved with the juvenile justice system who experience a mental or behavioral health diagnosis. The time periods established in HB2 for the reductions, however, do not allow sufficient time to apply for and obtain the necessary CMS approvals for the PTRF in time to achieve the mandated savings during SFY16 or SFY 17. The Department anticipates that the reduction of \$1.7 million for the current fiscal year will be from funds that would otherwise lapse. The Department could not absorb the SFY 17 reduction mandated by HB 2 without having to consider closing the facility.

NHH Inpatient Stabilization Unit & Nurse Recruitment

As a result of the approval to enhance nurse salaries by 15%, under the N130 pay scale, NHH is experiencing an upturn in recruiting efforts. Four nurse vacancies have been filled with others in various stages of interviews, background checks and reference calls since the enhancement took effect. It is anticipated that a number of nurse vacancies will be filled and NHH has announced the anticipated opening of the Inpatient Stabilization Unit on July 1, 2016.

NHH will continue to aggressively market the enhanced rate in order to fill all nurse vacancies and assure the provision of safe clinical care. Once the Inpatient Stabilization Unit is fully operational, it is hoped future short-term vacancies will be able to be covered by floating staff and part-time staff from other areas of the Hospital.

Enhanced Child Protective Service Workers (CPSW's)

DCYF Child Protection Workers (CPSWs) perform child protective investigations in response to child abuse or neglect reports. DCYF has made a concerted effort to examine the capacity of the agency to establish a reasonable and sustainable plan for expanded coverage for abuse and neglect inquiries and reports. The agency reviewed its current child protection business practices, staffing patterns, met with law enforcement and other stakeholders, as well as discussed with other New England child welfare commissioners and directors what they currently have in place to provide continuous coverage.

Increasing the current staffing levels along with increased coverage hours, would enhance the Department's ability to investigate and respond to reports of abuse and neglect. The Department's proposal would re-purpose existing State money to bring on 18 new child protective workers and supervisors who would primarily cover a new shift from Noon to 8 PM with some workers covering overnights and weekends.

Transformation Initiatives

The Department is currently engaged in a number of significant initiatives that will help transform the delivery of services and programs. This new section provides a summary of key initiatives. While the list is not all inclusive of the Department's projects, it does highlight several of the key projects of highest importance at this time. While the fiscal year progresses, this section will include updates to these projects and will include new initiatives. The initiatives included in this month's dashboard are:

- Community Mental Health Agreement Compliance
- 1115 Transformation Waiver
- Medicaid Care Management Step 2

- Substance Use Disorder (SUD) Benefit for Standard Medicaid
- Therapeutic Cannabis
- Food Protection – LBA Performance Audit

Respectfully submitted,



Jeffrey A. Meyers
Commissioner

Enclosure

cc: Her Excellency, Governor Margaret Wood Hassan
The Honorable Neal M. Kurk, Chairman, House Finance Committee
The Honorable Chuck W. Morse, President, NH State Senate
The Honorable Shawn Jasper, Speaker, NH House of Representatives
Michael W. Kane, Legislative Budget Assistant

Executive Council

The Honorable Colin Van Ostern The Honorable Christopher Sununu
The Honorable Christopher Pappas The Honorable David Wheeler
The Honorable Joseph D. Kenney

House Finance Committee

The Honorable Mary Allen	The Honorable Richard Barry	The Honorable Thomas Buco
The Honorable Frank Byron	The Honorable David Danielson	The Honorable Daniel Eaton
The Honorable Frank Edelblut	The Honorable J. Tracy Emerick	The Honorable Susan Ford
The Honorable William Hatch	The Honorable Peter Leishman	The Honorable Dan McGuire
The Honorable Betsy McKinney	The Honorable Sharon Nordgren	The Honorable Lynne Ober
The Honorable Joseph Pitre	The Honorable Katherine Rogers	The Honorable Cindy Rosenwald
The Honorable Marjorie Smith	The Honorable Peter Spanos	The Honorable Timothy Twombly
The Honorable Karen Umberger	The Honorable Mary Jane Wallner	The Honorable Robert Walsh
The Honorable Kenneth Wylar		

Senate Finance Committee

The Honorable Jeanie Forrester	The Honorable Lou D'Allesandro	The Honorable Andrew Hosmer
The Honorable Gerald Little	The Honorable John Reagan	

DEPARTMENT OF HEALTH AND HUMAN SERVICES



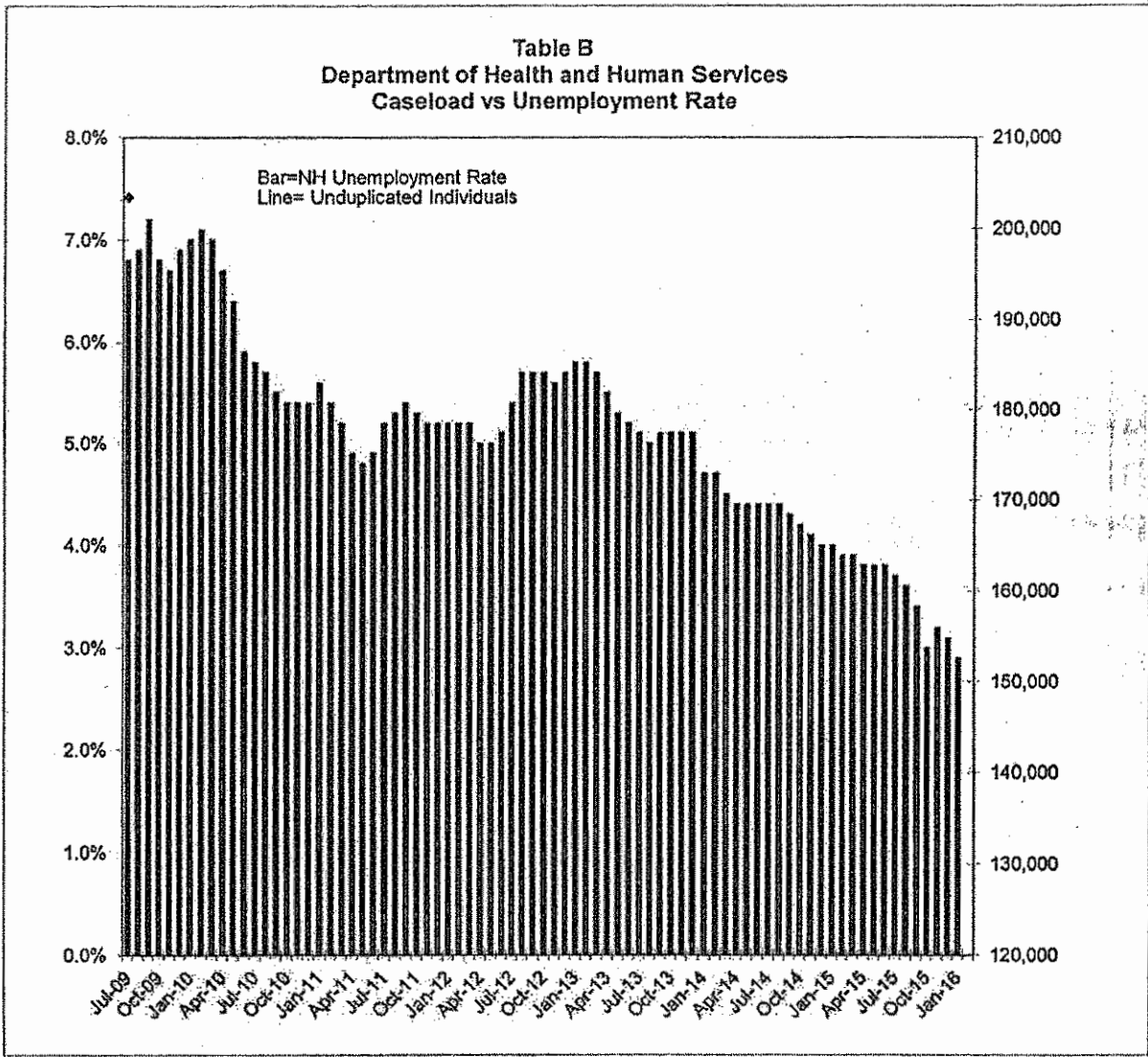
OPERATING STATISTICS DASHBOARD

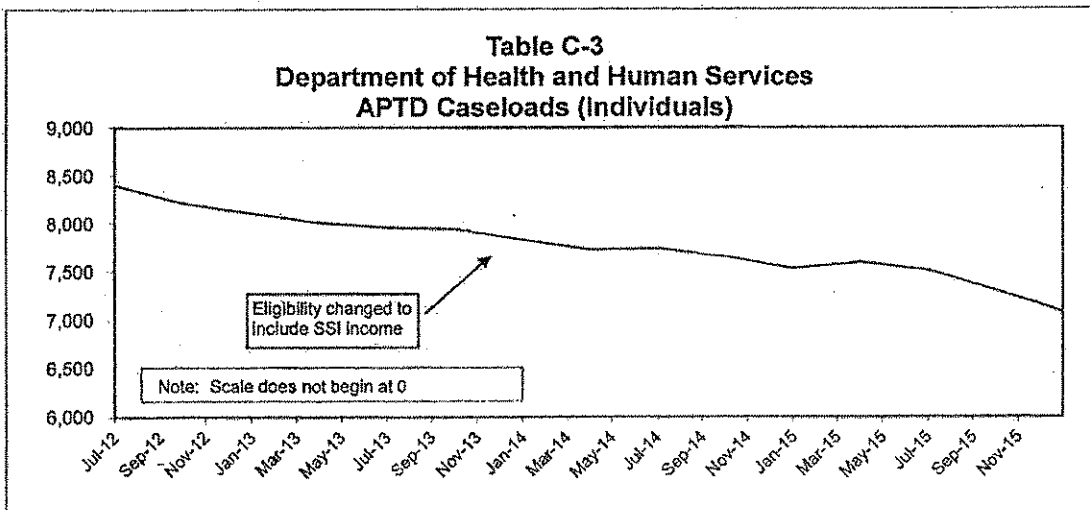
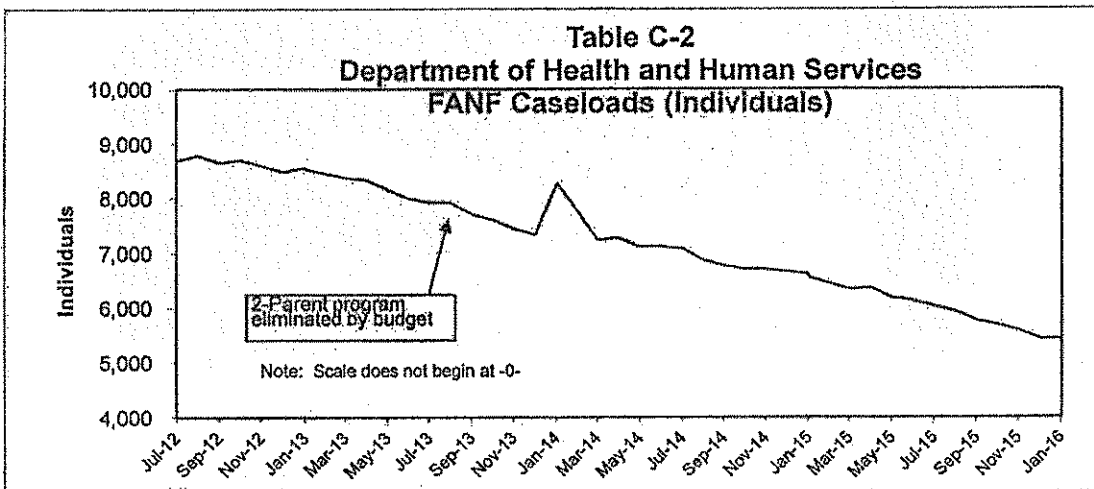
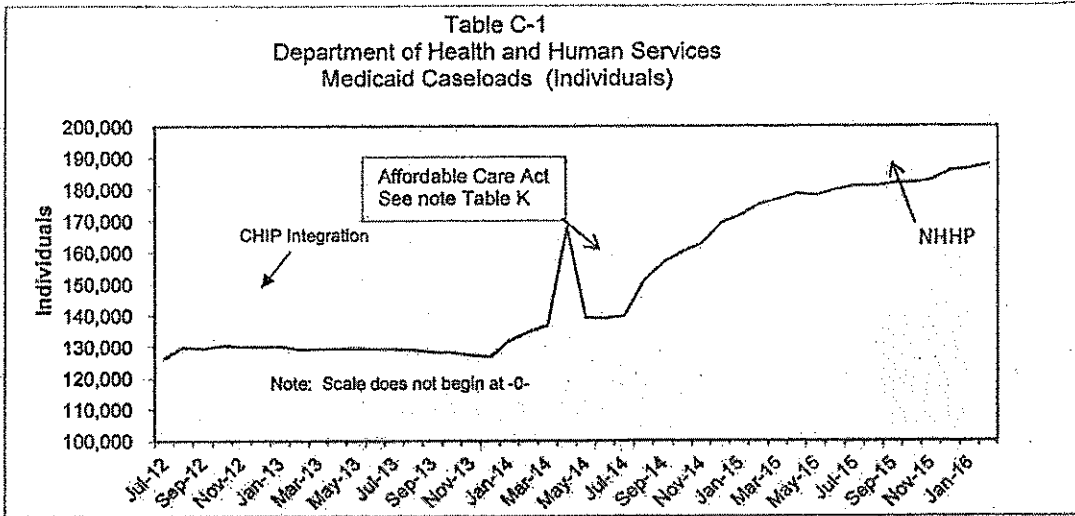
Fiscal Meeting March 2016

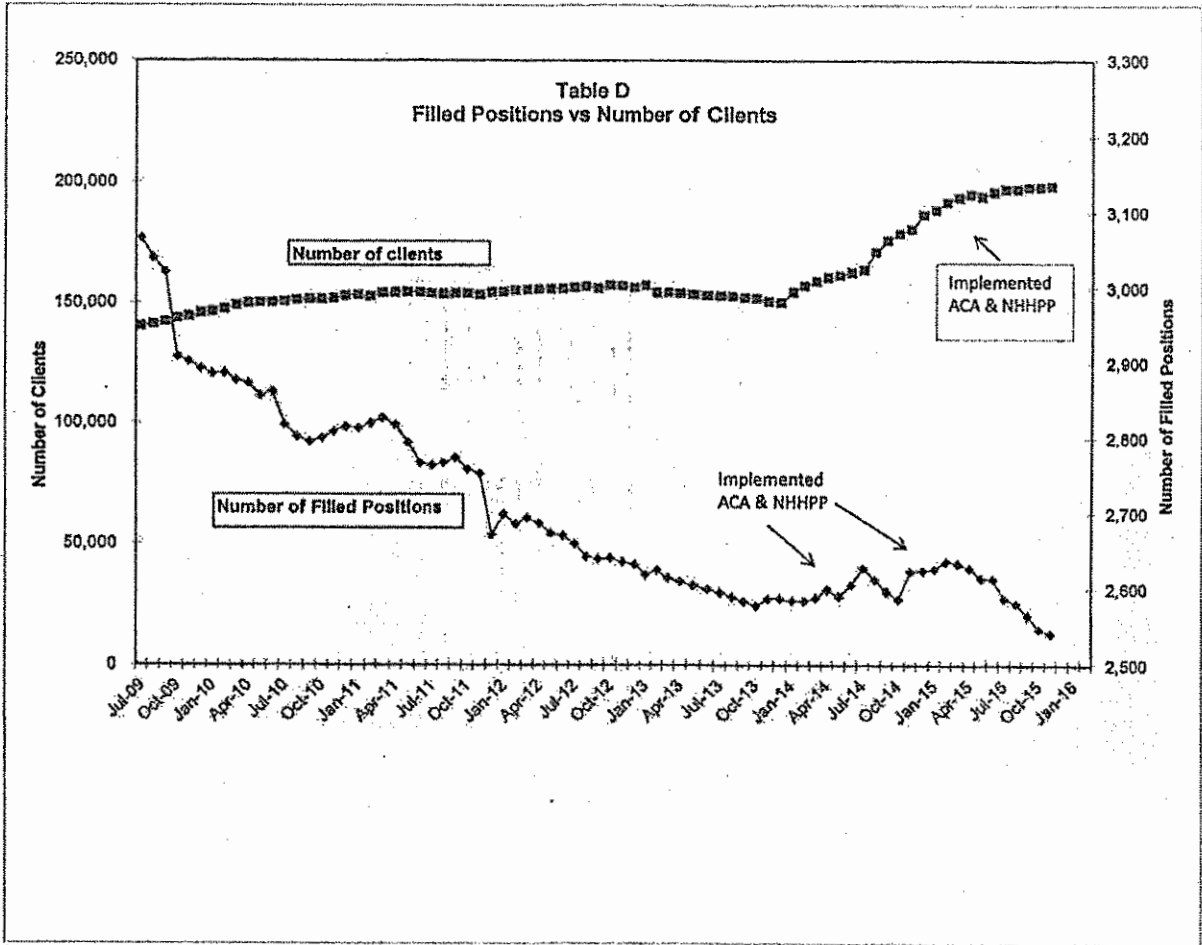
SFY16

Budget Summary as of 2/29/16
Data/Caseloads as of 2/29/16 (except for MH as of 1/31/16)

	A	B	C	E	F	G
1	Department of Health and Human Services					
2	Financial Summary - CASH BASIS					
3	As of February 29 -- SFY16					
4	General Funds Rounded to \$000					
5						
6	The budget for SFY16-17 provides insufficient general funds to address the legislative intents for services and obligations that are expected to be incurred. This summary identifies the shortfalls as currently anticipated for SFY16 and potential sources of funding.					
7	The items reported on the list include only those which a) are likely to be incurred and b) for which amounts can be reasonably estimated.					
8	There has been no reduction in programs or services to fund the projected deficit. As such, the Department would need to use funds that would otherwise lapse to cover the anticipated shortfall					
9						
10	Legislative Lapse Target per Final Budget (3.3%) = \$20,866					
11						
12				<u>As of</u> 1/31/16	<u>As of</u> 2/29/16	
13	Shortfalls					
14	Programs					
15	Medicaid (step 1 svcs)	Medicaid services (excluding BDS waivers & Nursing/CFI)		\$20,500	\$15,400	
16	Medicaid	MCO Health Reimbursement Fee		\$3,250	\$3,250	
17	Medicaid	Part A&B		\$994	\$994	
18	Medicaid	Part D: State Phasedown		\$3,055	\$2,700	
19		Subtotal Medicaid		\$27,799	\$22,344	
20						
21	SYSC	Footnote reduction HB2		\$1,722	\$1,722	
22	DFA	APTD & Old Age Assistance cost per case		\$507	\$300	
23	NHH	Nursing shortfall - salary enhancement		\$465	\$465	
24	DCYF	Enhanced CPSW coverage			\$252	
25						
26	Litigation					
27		Chase Home Settlement		TBD	TBD	
28		Harbor Homes Settlement		TBD	\$1,300	
29						
30	Operational Challenges					
31	Medicaid	Contracts: Actuarial		\$609	\$0	
34	Medicaid	Non-Emergency Medical Transportation		\$522	\$522	
36	Public Health	Water Testing Pease		\$225	\$225	
37	Medicaid	HIPP program		\$50	\$50	
38	Glenciff	Revenue Shortfall - Census Down			\$425	
39		Total Estimated Shortfalls		\$31,899	\$27,605	
40						
41	Funds that would otherwise Lapse					
42						
43	Medicaid	Drug Rebate Revenue		\$ 10,000	\$ 10,000	
44	Medicaid	UCC payments reduction		TBD	TBD	
45	DHHS	Salary & Benefits - Department Wide		\$ 7,000	\$ 7,000	
46	Non Salary & Benefit Accounts					
47	DHHS	Utilities, Rent, Fuel		\$ 2,500	\$ 2,500	
48	OIS	IT		\$ 500	\$ 500	
49	Client Services	Misc Contracts (DDU, Transportation, Broker)		\$ 500	\$ 475	
50	SYSC	Utilities, Prescriptions, misc operations		\$ 400	\$ 400	
51	GH	Utilities		\$ 100	\$ 250	
52	NHH	Maintenance, Utilities, Misc Contracts		\$ 500	\$ 425	
53	Human Services	Misc Operations		\$ 750	\$ 500	
54	DFA	State Asst Non TANF Interim Disabled Parent (IDP)		\$ 300	\$ 300	
55	BEAS	Projected spend under budget from Step 2 FFS		\$ 1,250	\$ 2,750	
56	BEAS	Social Services Non-Medicaid Contracts		\$ 1,045	\$ 1,045	
57	BBH	Transfer pending to OMBP to cover BBH FFS			\$ 2,500	
58	PH	Rent, Lab Supplies, Contracts: Emerg Prep & Maternal Child Health			\$ 585	
59	Other	Other misc lapses		TBD	\$ 550	
60		Total Estimated Lapse		\$ 24,845	\$ 29,780	
61						
62						
63		Net Deficit		(\$7,054)	\$2,175	
64						
65						







	A	B	C	D	E	F	G	H
1	Table E							
2	Department of Health and Human Services							
3	Operating Statistics							
4	Children In Services							
5								
6		DCYF	DCYF	Family Foster	Residential	Child Care	Child Care	SYSC
7		Referrals	Assessments	Care	Placement	Enplmnt	Wait List	Secure
8				Placement		Related		Census
9		Actual	Actual	Actual	Actual	Actual	Actual	Actual
10								
47	Jul-12	1,100	681	605	323	5,175	0	60
48	Aug-12	1,050	744	611	317	5,219	0	57
49	Sep-12	1,151	681	619	295	5,050	0	56
50	Oct-12	1,344	898	612	306	5,076	0	60
51	Nov-12	1,098	856	609	321	5,061	0	57
52	Dec-12	1,086	856	601	325	4,995	0	59
53	Jan-13	1,245	715	594	322	5,184	0	54
54	Feb-13	1,072	674	609	318	5,113	0	58
55	Mar-13	1,180	842	619	318	5,231	0	57
56	Apr-13	1,269	852	612	339	5,368	0	60
57	May-13	1,383	852	589	331	5,357	0	69
58	Jun-13	1,147	685	594	332	5,345	0	72
59	Jul-13	1,124	772	571	315	5,568	0	61
60	Aug-13	1,045	591	570	323	5,517	0	60
61	Sep-13	1,276	544	560	297	5,345	0	55
62	Oct-13	1,276	603	567	305	5,357	0	58
63	Nov-13	1,083	536	565	304	5,350	0	61
64	Dec-13	1,111	649	559	299	5,322	0	61
65	Jan-14	1,260	706	542	290	5,298	0	66
66	Feb-14	982	688	531	309	5,238	0	59
67	Mar-14	1,307	1,016	537	311	5,459	0	62
68	Apr-14	1,324	972	539	313	5,612	0	62
69	May-14	1,370	866	531	317	5,737	0	59
70	Jun-14	1,267	684	535	324	5,694	0	59
71	Jul-14	1,049	890	510	319	5,742	0	52
72	Aug-14	1,273	827	510	254	5,628	0	52
73	Sep-14	1,485	921	501	282	5,543	0	48
74	Oct-14	1,356	790	519	301	5,341	0	47
75	Nov-14	1,090	681	512	308	5,384	0	50
76	Dec-14	1,312	768	544	313	5,438	0	47
77	Jan-15	1,169	587	532	303	5,370	0	41
78	Feb-15	1,079	467	550	301	5,259	0	38
79	Mar-15	1,427	630	554	319	5,494	0	40
80	Apr-15	1,281	874	564	334	5,474	0	42
81	May-15	1,298	958	568	341	5,497	0	43
82	Jun-15	1,314	859	578	348	5,581	0	47
83	Jul-15	1,120	908	564	322	5,651	0	46
84	Aug-15	1,074	743	571	319	5,588	0	51
85	Sep-15	1,298	895	570	304	5,628	0	49
86	Oct-15	1,336	863	591	308	5,192	0	54
87	Nov-15	1,182	680	605	303	5,219	0	59
88	Dec-15	1,280	825	647	316	5,267	0	65
89	Jan-16	1,178	736	658	335	5,370	0	72
90	Feb-16	1,143	2,569	665	336	5,201	0	73
91	Mar-16							
92	Apr-16							
93	May-16							
94	Jun-16							
95	YEAR-TO-DATE AVERAGE							
96	SFY11	1,087	712	631	411	4,646	1,767	57
97	SFY12	1,151	744	591	312	5,009	0	57
98	SFY13	1,143	713	608	315	5,107	0	58
99	SFY14	1,142	836	558	305	5,374	0	60
100	SFY15	1,227	741	522	298	5,463	0	47
101	SFY16	1,201	1,027	609	318	5,377	0	59
102								
103	Source of Data							
104	Column							
105	B	DCYF SFY Management Database Report: Bridges.						
106	C	DCYF Assessment Supervisory Report: Bridges.						
107	D	Bridges placement authorizations during the month, unduplicated.						
108	E	Bridges placement authorizations during the month, unduplicated.						
109	F	Bridges Expenditure Report, NHB-OAR8-128						
110	G	Child Care Wait List Screen: New Heights						
111	H	Bridges Service Day Query - Bed days divided by days in month						

	A	B	C	D	E	F	G	H	I
1	Table F								
2	Department of Health and Human Services								
3	Operating Statistics								
4	Social Services								
5									
6		FANF	APTD Persons	Food Stamps Persons	Child Support Cases				
7					Current Cases	Former Cases	Never Cases	Total Cases	
8					Actual	Actual	Actual	Actual	
9		Actual	Actual	Actual	Actual	Actual	Actual	Actual	
46	Jul-12	8,690	8,405	117,625	4,184	17,771	12,928	34,683	
47	Aug-12	8,793	8,296	117,916	4,031	17,760	12,899	34,690	
48	Sep-12	8,657	8,218	117,569	4,038	17,722	12,853	34,613	
49	Oct-12	8,704	8,216	119,101	4,281	17,528	12,865	34,652	
50	Nov-12	8,599	8,181	118,992	4,066	17,650	12,862	34,578	
51	Dec-12	8,493	8,164	118,817	4,051	17,653	12,893	34,597	
52	Jan-13	8,559	8,115	120,153	4,136	17,542	12,836	34,514	
53	Feb-13	8,538	8,059	117,654	4,175	17,545	12,857	34,577	
54	Mar-13	8,378	8,011	117,409	4,041	17,723	13,006	34,770	
55	Apr-13	8,337	8,011	114,147	4,162	17,606	13,054	34,822	
56	May-13	8,169	8,001	119,317	3,973	17,780	13,102	34,856	
57	Jun-13	8,005	7,951	116,087	3,917	17,850	13,148	34,913	
58	Jul-13	7,928	7,962	115,691	4,035	17,724	13,193	34,952	
59	Aug-13	7,922	7,955	115,499	3,866	17,901	13,180	34,947	
60	Sep-13	7,709	7,889	114,725	3,772	17,913	13,183	34,888	
61	Oct-13	7,609	7,945	114,915	3,938	17,797	13,227	34,962	
62	Nov-13	7,449	7,882	113,514	3,793	17,908	13,325	35,026	
63	Dec-13	7,334	7,820	112,908	3,803	17,774	13,331	34,908	
64	Jan-14	7,330	7,834	113,326	3,762	17,783	13,316	34,861	
65	Feb-14	7,353	7,803	112,791	3,767	17,695	13,329	34,791	
66	Mar-14	7,242	7,704	112,511	3,723	17,734	13,361	34,818	
67	Apr-14	7,277	7,727	112,144	3,883	17,593	13,453	34,909	
68	May-14	7,119	7,751	111,362	3,828	17,592	13,518	34,938	
69	Jun-14	7,116	7,745	110,590	3,700	17,766	13,683	35,149	
70	Jul-14	7,085	7,741	109,239	3,672	17,849	13,748	35,269	
71	Aug-14	6,871	7,727	108,767	3,671	17,803	13,741	35,215	
72	Sep-14	6,767	7,679	108,434	3,598	17,831	13,738	35,185	
73	Oct-14	6,705	7,657	108,343	3,702	18,674	13,214	35,590	
74	Nov-14	6,705	7,607	107,214	3,711	18,814	13,347	35,872	
75	Dec-14	6,660	7,532	107,900	3,753	18,868	13,529	36,150	
76	Jan-15	6,622	7,530	107,934	3,917	18,811	13,735	36,463	
77	Feb-15	6,547	7,542	107,224	3,956	18,906	13,981	36,843	
78	Mar-15	6,339	7,538	107,521	3,803	19,202	14,294	37,299	
79	Apr-15	6,366	7,596	107,283	3,842	19,249	14,538	37,629	
80	May-15	6,179	7,561	106,042	3,914	19,180	14,666	37,760	
81	Jun-15	6,138	7,526	106,322	3,820	19,207	14,742	37,769	
82	Jul-15	6,120	7,513	104,705	3,852	19,228	14,937	38,017	
83	Aug-15	5,934	7,438	103,544	3,866	19,211	15,004	38,081	
84	Sep-15	5,764	7,343	102,869	3,885	19,344	15,133	38,182	
85	Oct-15	5,688	7,307	101,917	3,808	19,263	15,257	38,328	
86	Nov-15	5,583	7,227	100,525	3,763	19,319	15,345	38,427	
87	Dec-15	5,425	7,116	100,495	3,614	19,366	15,373	38,353	
88	Jan-16	5,435	7,081	99,978	3,699	19,261	15,402	38,362	
89	Feb-16	5,307	7,117	99,486	3,658	19,256	15,506	38,422	
90	Mar-16								
91	Apr-16								
92	May-16								
93	Jun-16								
94	YEAR-TO-DATE AVERAGE								
95	SFY11	13,803	8,688	111,258	5,642	17,239	13,035	35,916	
96	SFY12	11,833	8,891	115,213	5,306	17,135	12,795	35,238	
97	SFY13	8,629	8,207	118,478	4,118	17,646	12,874	34,638	
98	SFY14	7,579	7,886	114,171	3,842	17,812	13,261	34,914	
99	SFY15	6,745	7,627	108,132	3,748	18,445	13,629	35,821	
100	SFY16	5,657	7,268	101,690	3,743	19,281	15,245	38,269	
101									
102	Source of Data								
103	Column								
104	B	Office of Research & Analysis, Caseload Statistics							
105	C	Budget Document							
106	D	Budget Document							
107	E-H	DCSS Caseload (Month End Actual from NECSES)							
108									
109	Note	* Effective 3/1/12, SSI or SSP is considered when determining FANF							
110		eligibility. Those child support cases no longer eligible, are now "Former"							
111		assistance cases.							
112									

	A	B	C	D	E
1	Table G-1				
2	Department of Health and Human Services				
3	Operating Statistics				
4	Clients Served by Community Mental Health Centers				
5					
6	Annual Totals				
7		Adults	Children	Total	
8	FY2012	36,407	13,122	49,529	
9	FY2013	34,819	13,013	47,832	
10	FY2014	35,657	14,202	49,859	
11	FY2015	34,725	10,736	45,461	
12					
13		Adults	Children	Total	
14					
15	Jul-14	14,818	5,179	19,997	
16	Aug-14	14,436	5,132	19,568	
17	Sep-14	14,981	5,382	20,363	
18	Oct-14	15,172	5,651	20,823	
19	Nov-14	14,142	5,591	19,733	
20	Dec-14	14,734	5,775	20,509	
21	Jan-15	14,960	5,257	20,217	
22	Feb-15	14,024	4,757	18,781	
23	Mar-15	15,083	5,044	20,127	
24	Apr-15	14,641	5,073	19,714	
25	May-15	15,467	5,996	21,463	
26	Jun-15	15,935	6,044	21,979	
27	Jul-15	15,467	5,741	21,208	
28	Aug-15	15,213	5,806	21,019	
29	Sep-15	15,232	5,769	21,001	
30	Oct-15	15,324	6,027	21,351	
31	Nov-15	14,438	5,957	20,395	
32	Dec-15	14,753	6,084	20,837	
33	Jan-16	15,150	5,637	20,787	
34	Feb-16				
35	Mar-16				
36	Apr-16				
37	May-16				
38	Jun-16				
39					
40	Notes:				
41	1. Monthly data is a duplicated count.				
42	2. Year-end data is unduplicated.				

Table H Department of Health and Human Services Operating Statistics Elderly & Adult Long Term Care													
6.		Total Nursing Clients		CFI Home Health	CFI Midlevel	Other Nursing	Nursing Home Beds		Pct In NF	APS Clients Assmnts	APS Cases Ongoing	SSBG AIHC Waitlist	Total SSBG IHCS
		Actual	Budget			Note 1	3 mo. Avg	Budget					
44	Jul-12	7,225	7,578	2,401	444	34	4,380	4,422	60.6%	238	1,096	9	
45	Aug-12	7,448	7,578	2,488	471	39	4,509	4,422	60.5%	251	1,087	5	
46	Sep-12	7,281	7,578	2,454	462	37	4,385	4,422	60.0%	208	1,092	6	518 YTD
47	Oct-12	7,293	7,578	2,478	464	35	4,354	4,422	59.7%	243	1,137	1	
48	Nov-12	7,254	7,578	2,478	482	34	4,294	4,422	58.2%	200	1,203	1	
49	Dec-12	7,263	7,578	2,433	484	35	4,336	4,422	59.6%	178	1,188	1	636 YTD
50	Jan-13	7,194	7,578	2,421	461	37	4,312	4,422	59.9%	255	1,201	1	
51	Feb-13	7,092	7,578	2,415	443	33	4,234	4,422	59.7%	159	1,202	1	
52	Mar-13	7,052	7,578	2,487	438	38	4,127	4,422	58.5%	220	1,198	1	705 YTD
53	Apr-13	6,858	7,578	2,390	238	9	4,030	4,422	60.5%	205	1,228	1	
54	May-13	7,037	7,578	2,511	362	11	4,164	4,422	59.2%	174	1,208	1	
55	Jun-13	7,038	7,578	2,405	421	10	4,212	4,422	59.6%	194	1,224	1	789 YTD
56	Jul-13	7,153	7,356	2,452	421	72	4,280	4,380	59.8%	276	1,230	1	
57	Aug-13	7,284	7,356	2,532	439	25	4,313	4,380	59.2%	263	1,225	1	
58	Sep-13	7,145	7,356	2,480	449	20	4,216	4,380	59.0%	264	1,247	1	474 YTD
59	Oct-13	7,280	7,356	2,435	459	24	4,395	4,380	60.3%	291	1,255	1	
60	Nov-13	7,264	7,356	2,422	488	38	4,354	4,380	59.9%	224	1,242	6	
61	Dec-13	7,342	7,356	2,417	454	27	4,471	4,380	60.9%	255	1,287	3	573 YTD
62	Jan-14	7,265	7,356	2,428	481	27	4,356	4,380	60.0%	319	1,269	3	
63	Feb-14	7,041	7,356	2,372	449	37	4,220	4,380	58.9%	258	1,270	0	
64	Mar-14	7,121	7,356	2,386	455	27	4,300	4,380	60.4%	283	1,266	0	652 YTD
65	Apr-14	7,125	7,356	2,317	493	24	4,315	4,380	60.6%	298	1,238	0	
66	May-14	7,439	7,356	2,418	477	24	4,544	4,380	61.1%	312	1,285	0	
67	Jun-14	7,271	7,356	2,386	475	32	4,440	4,380	61.1%	282	1,218	0	675 YTD
68	Jul-14	7,337	7,421	2,431	444	44	4,482	4,380	60.8%	363	801	0	0
69	Aug-14	7,094	7,421	2,403	439	44	4,252	4,380	59.9%	278	786	0	1168 3
70	Sep-14	7,088	7,421	2,428	431	37	4,229	4,380	58.7%	270	794	0	1438 3
71	Oct-14	7,242	7,421	2,453	492	38	4,287	4,380	58.3%	301	757	0	2177
72	Nov-14	7,160	7,421	2,422	460	38	4,278	4,380	58.7%	212	752	0	1276
73	Dec-14	7,181	7,421	2,431	469	35	4,281	4,380	59.6%	263	764	0	1950
74	Jan-15	6,996	7,421	2,404	489	32	4,123	4,380	58.9%	246	736	0	1845
75	Feb-15	7,028	7,421	2,400	472	32	4,154	4,380	59.1%	221	739	0	1569
76	Mar-15	7,109	7,421	2,432	448	32	4,229	4,380	59.5%	278	716	0	1802
77	Apr-15	7,230	7,421	2,422	484	30	4,324	4,380	59.8%	244	723	0	1958
78	May-15	7,170	7,421	2,428	484	29	4,278	4,380	59.7%	210	716	0	1838
79	Jun-15	7,109	7,421	2,404	479	32	4,228	4,380	59.4%	294	726	0	1410
80	Jul-15	7,045	7,232	2,409	463	33	4,173	4,325	59.2%	316	738	0	1410
81	Aug-15	6,949	7,232	2,339	453	35	4,157	4,325	59.8%	301	750	0	1762
82	Sep-15	7,042	7,232	2,335	481	40	4,228	4,325	60.0%	320	756	0	1645
83	Oct-15	7,058	7,232	2,302	502	35	4,252	4,325	60.3%	332	756	0	1320
84	Nov-15	7,047	7,232	2,317	444	40	4,286	4,325	60.8%	278	763	0	1842
85	Dec-15	7,191	7,232	2,428	463	39	4,300	4,325	59.8%	284	734	0	1743
86	Jan-16	7,114	7,232	2,434	435	35	4,245	4,325	59.7%	289	732	0	1712
87	Feb-16	7,205	7,232	2,505	450	35	4,251	4,325	59.0%	269	742	0	1561
88	Mar-16												
89	Apr-16												
90	May-16												
91	Jun-16												
92	YEAR-TO-DATE AVERAGE												
93	SFY11	7,217	7,740	2,522	392	33	4,303	4,063	59.6%	209	1,080	3	560
94	SFY12	7,154	7,515	2,387	440	33	4,327	4,400	60.5%	222	1,089	3	600
95	SFY13	7,265	7,578	2,443	464	36	4,348	4,422	59.9%	217	1,151	3	577
96	SFY14	7,223	7,356	2,442	455	34	4,326	4,380	59.9%	289	1,251	2	524
97	SFY15	7,141	7,421	2,422	460	37	4,260	4,380	59.7%	269	766	0	1,435
98	SFY16	7,081	7,232	2,384	461	37	4,236	4,325	59.8%	301	746	0	1,624
99													
100	Note 1: These clients are also captured under OMBP Provider Payments												
101	Note 2: CFI Home Health = CFI Home Support and Home Health Care Waiver Services												
102	Note 3: In preparation for 2016, Converted IHCS to monthly paid basis												
103													
104	Source of Data												
105	Columns												
106													
107	D-F	MDSS monthly client counts											
108	G	3 month Avg of the number of paid bed days in the month/days in prior month by the number of days in the previous month. MDSS											
109													
110	J	Options Monthly Protective Reports											
111	K	Options Monthly Activity Report											
112	L	SSBG Adult In-Home Care verbal report from Adult Protective Services Administrator											
113	M	Quarterly Options Paid Claims from Business Systems Unit Manager											
114													

	A	B	C	D	E	F	G	H	I	
1	2									
2	Developmental Services Long Term Care									
3										
4		BDS Programs served FYTD**	BDS Programs FYTD Unduplicated Count	Early Supports & Services	Special Medical Services	Partners in Health Program	Devl. Serv. Priority #1 DD Waitlist	Devl. Serv. ABD Waitlist		
5					(8-09 to 8-12 Actual)	(8-09 to 8-12 Actual)	Actual*	Actual*		
41	Jul-12	9,742	7,055	1,891	1,689	998	123	0		
42	Aug-12	10,324	7,590	2,083	1,738	996	123	0		
43	Sep-12	11,000	8,156	2,288	1,814	1,030	154	0		
44	Oct-12	11,701	8,774	2,601	1,876	1,051	169	0		
45	Nov-12	12,207	9,209	2,861	1,935	1,063	172	0		
46	Dec-12	12,562	9,502	3,033	1,980	1,080	190	0		
47	Jan-13	13,217	10,065	3,255	2,063	1,089	219	0		
48	Feb-13	13,660	10,438	3,521	2,123	1,099	225	1		
49	Mar-13	14,057	10,694	3,706	2,253	1,110	242	3		
50	Apr-13	14,480	10,992	3,925	2,342	1,126	240	1		
51	May-13	14,863	11,289	4,132	2,430	1,144	265	4		
52	Jun-13	15,205	11,580	4,323	2,460	1,165	288	8		
53	Jul-13	8,995	6,364	1,865	1,646	985	373	15		
54	Aug-13	10,041	7,291	2,074	1,755	995	186	5		
55	Sep-13	10,978	8,160	2,381	1,813	1,005	103	6		
56	Oct-13	11,573	8,648	2,618	1,903	1,022	108	10		
57	Nov-13	12,129	9,122	2,978	1,963	1,044	116	12		
58	Dec-13	12,764	9,658	3,231	2,047	1,059	51	16		
59	Jan-14	13,265	10,043	3,404	2,142	1,080	40	14		
60	Feb-14	13,712	10,409	3,640	2,208	1,095	59	16		
61	Mar-14	14,174	10,730	3,663	2,325	1,119	69	18		
62	Apr-14	14,702	11,093	4,112	2,464	1,145	81	17		
63	May-14	15,144	11,488	4,383	2,508	1,148	10	0		
64	Jun-14	15,525	11,742	4,577	2,614	1,169	79	19		
65	Jul-14	9,996	7,049	1,810	1,979	968	86	0		
66	Aug-14	10,721	7,697	2,152	2,040	984	95	0		
67	Sep-14	11,675	8,467	2,545	2,212	996	120	3		
68	Oct-14	12,567	9,127	2,785	2,421	1,019	139	2		
69	Nov-14	13,078	9,567	3,010	2,476	1,035	132	3		
70	Dec-14	13,538	9,880	3,187	2,618	1,040	152	3		
71	Jan-15	14,027	10,286	3,406	2,708	1,033	98	6		
72	Feb-15	14,424	10,600	3,613	2,778	1,046	115	4		
73	Mar-15	14,837	10,893	3,837	2,876	1,068	97	5		
74	Apr-15	15,389	11,313	4,172	2,995	1,081	114	8		
75	May-15	15,787	11,604	4,384	3,102	1,081	138	8		
76	Jun-15	16,229	11,919	4,624	3,210	1,100	101	8		
77	Jul-15	9,683	6,663	2,099	2,088	932	186	8		
78	Aug-15	11,567	8,421	2,597	2,199	947	195	17		
79	Sep-15	12,228	8,984	2,816	2,298	966	186	0		
80	Oct-15	12,859	9,503	3,095	2,372	984	196	0		
81	Nov-15	13,340	9,919	3,317	2,432	989	149	0		
82	Dec-15	13,776	10,264	3,546	2,515	997	153	0		
83	Jan-16	14,097	10,521	3,720	2,569	1,007	150	0		
84	Feb-16	14,448	10,794	3,911	2,632	1,022	152	0		
85	Mar-16									
86	Apr-16									
87	May-16									
88	Jun-16									
89	YEAR-TO-DATE AVERAGE ***									
90	SFY11	11,843	9,020	2,044	1,693	1,130	20	0		
91	SFY12	11,717	8,865	2,720	1,757	1,095	53	5		
92	SFY13	11,802	8,849	2,692	1,902	1,051	172	0		
93	SFY14	11,682	8,712	2,774	1,935	1,036	130	12		
94	SFY15	12,503	9,084	2,814	2,404	1,015	117	3		
95	SFY16	12,750	9,381	3,138	2,388	981	171	3		
96	*** (1/1/16 - formulas corrected)									
97	Data Sources:	NHLeads	NHLeads	NHLeads	SMSdb	PIHdb	Registry	Registry		
98										
99	*G & *H Represent the number of individuals waiting at least 90-days for DD or ABD									
100	Waiver funding.									
101	** BDS count excludes MTS Students served									
102	E & F	Represents year-to-date total number served								

	A	B	C	D	E	F	G	H	I	J
1	Table I									
2	Department of Health and Human Services									
3	Operating Statistics									
4	Shelters & Institutions									
5										
6		NHH					BHHS			Glencloff
7		APS & APC Census	APS & APC Admissions	Waiting List Actual	Waiting List Actual	THS Census Actual	All Shelters		% of	GH Census
8		Actual	Actual	Actual	Actual	Actual	Capacity	Actual	Capacity	Actual
9				Adult	Adolescent					
46	Jul-12	145	161			n/a				118
47	Aug-12	149	193			n/a				118
48	Sep-12	151	162			n/a				119
49	Oct-12	150	176			n/a				119
50	Nov-12	150	161			n/a				117
51	Dec-12	150	126			n/a				117
52	Jan-13	161	173			n/a				117
53	Feb-13	164	135			n/a				118
54	Mar-13	155	149			n/a				119
55	Apr-13	152	173			n/a				119
56	May-13	146	187			n/a				118
57	Jun-13	155	175			n/a				119
58	Jul-13	155	187			n/a				117
59	Aug-13	161	104			n/a				118
60	Sep-13	163	165			n/a				115
61	Oct-13	161	184			n/a				116
62	Nov-13	164	149			n/a				119
63	Dec-13	151	144			n/a				118
64	Jan-14	160	190			n/a				118
65	Feb-14	161	105			n/a				116
66	Mar-14	160	181			n/a				118
67	Apr-14	163	193			n/a				118
68	May-14	164	184			n/a				116
69	Jun-14	162	184			n/a				114
70	Jul-14	141	153	23	1	n/a	13,828	11,737	85%	118
71	Aug-14	135	142	30	1	n/a	13,826	12,121	88%	117
72	Sep-14	145	173	33	5	n/a	13,380	11,625	87%	118
73	Oct-14	148	181	29	4	n/a	13,828	12,783	92%	116
74	Nov-14	150	166	27	6	n/a	13,360	12,064	90%	117
75	Dec-14	149	180	15	4	n/a	15,004	14,068	94%	118
76	Jan-15	150	159	22	3	n/a	15,748	15,016	95%	118
77	Feb-15	152	169	18	4	n/a	14,224	13,640	98%	116
78	Mar-15	156	171	16	8	n/a	15,748	14,906	95%	113
79	Apr-15	153	185	10	8	n/a	13,380	11,900	90%	115
80	May-15	150	170	14	7	n/a	13,826	11,598	84%	117
81	Jun-15	150	180	14	5	n/a	13,380	10,830	81%	114
82	Jul-15	148	169	13	1	n/a	14,694	11,628	79%	112
83	Aug-15	150	152	20	1	n/a	14,694	12,229	83%	115
84	Sep-15	151	162	17	5	n/a	14,220	11,881	83%	116
85	Oct-15	146	154	19	6	n/a	14,694	12,452	85%	116
86	Nov-15	144	163	18	5	n/a	14,220	12,684	89%	113
87	Dec-15	152	165	24	7	n/a	14,694	12,759	87%	114
88	Jan-16	153	133	28	5	n/a	14,694	12,351	84%	112
89	Feb-16	153	137	31	7	n/a	13,746	12,160	88%	113
90	Mar-16									
91	Apr-16									
92	May-16									
93	Jun-16									
94	YEAR-TO-DATE AVERAGE									
95	SFY11	152	162			42	10,984	9,055	82%	111
96	SFY12	145	199			39	11,116	10,659	96%	115
97	SFY13	153	181							118
98	SFY14	160	169							117
99	SFY15	146	165	25	4		14,152	12,918	91%	117
100	SFY16	150	154	21	5		14,457	12,265	85%	114
101										
102	Source of Data									
103	Column									
104	B	Daily in-house midnight census averaged per month*								
105	C	Daily census report of admissions totaled per month								
106	D	Daily Average wait list for adults								
107	E	Daily average wait list for adolescents								
108	F	Daily Average census in Transitional Housing (privatized 12/2011)								
109	G	Total number of individual bednights available in emergency shelters								
110	H	Total number of individual bednights utilized in emergency shelters								
111	I	Percentage of individual bednights utilized during month								
112	J	Daily in-house midnight census averaged per month								
113										
114		* July 2014 average Census no longer reflects Pts on Leave								

	A	B	E	H	K	N	O	S	T	U	V	W	X	Y	Z	AA	AB
1	Table J																
2	Medicaid Medical Caseloads (Persons)																
3																	
4	Enrollment as of	12/30/13	3/31/14	6/30/14	9/30/14	12/31/14	3/31/2015	5/31/2015	6/30/2015	7/31/2015	8/31/2015	9/30/2015	10/31/2015	11/30/2015	12/31/2015	1/31/2016	2/29/2016
5																	
6	1. Low-Income Children (Age 0-18)	82,129	88,084	88,881	85,702	90,618	90,249	89,400	89,849	90,104	89,934	90,345	90,197	90,298	91,099	91,085	91,135
7	2. Children With Severe Disabilities (Age 0-18)	1,604	1,580	1,670	1,619	1,622	1,631	1,629	1,623	1,613	1,623	1,613	1,602	1,584	1,593	1,589	1,571
8	3. Foster Care & Adoption Subsidy (Age 0-25)	1,948	2,003	2,004	2,048	2,085	2,173	2,192	2,168	2,160	2,139	2,152	2,163	2,175	2,161	2,173	2,227
9	4. Low-Income Parents (Age 19-64)	10,324	12,965	13,976	13,287	13,212	13,595	13,558	13,677	13,869	13,581	14,272	14,179	13,927	13,851	13,599	13,571
10	5. Low-Income Pregnant Women (Age 19+)	2,275	3,051	3,246	2,846	2,802	2,532	2,412	2,432	2,430	2,355	2,297	2,290	2,220	2,244	2,208	2,189
11	6. Adults With Disabilities (Age 19-64)	19,997	19,961	20,222	19,830	19,540	19,627	19,730	19,727	19,529	19,543	19,413	19,346	19,206	19,111	19,139	19,218
12	7. Elderly & Elderly With Disabilities (Age 65+)	8,828	8,778	8,822	8,771	8,714	8,545	8,594	8,606	8,544	8,650	8,652	8,714	8,756	8,741	8,747	8,788
13	8. BCCP (Age 19-64)	295	200	204	194	189	177	177	172	168	167	154	154	153	149	149	150
14	Sub-Total:	127,310	136,693	139,105	138,297	138,582	138,629	137,892	138,252	138,617	137,993	138,908	138,645	138,319	138,959	138,697	138,819
15	9. NH Health Protection Program (Age 19-64)				18,617	30,711	38,402	40,456	41,657	42,579	43,126	43,107	43,577	44,568	46,996	47,902	48,135
16	Total By Category	127,310	136,693	139,105	156,914	169,293	176,931	178,148	179,909	181,195	181,119	182,015	182,222	182,887	185,955	186,599	187,954
17	Reconciling Differences (Detail to Summary)	(405)	122	0	(1)	1	2	0	0	0	0	0	0	0	0	0	0
18	Reported On-Summary	126,905	136,815	139,105	156,913	169,294	176,933	178,148	179,909	181,196	181,119	182,015	182,222	182,887	185,955	186,599	187,954
19	ENROLLMENT IN MEDICAID CARE MANAGEMENT																
20																	
21	Enrollment as of	01/01/14	04/01/14	07/01/14	10/01/14	1/1/2015	4/1/2015	6/1/2015	7/1/2015	8/1/2015	9/1/2015	10/1/2015	11/1/2015	12/1/2015	1/1/2016	2/1/2016	3/1/2016
22																	
23	Enrolled in Care Management:	108,206	116,299	120,915	133,716	145,763	155,873	158,636	161,224	162,128	162,654	163,779	163,411	161,387	128,349	136,854	138,033
24	Premium Assistance Program (NHIPP formerly w/MCO, previously shown in Care Management and not new enrollees)														36,884	38,063	38,675
25	Enrolled in Fee-For-Service	25,186	17,708	15,549	22,080	22,067	20,197	18,067	17,594	17,219	17,098	17,191	17,117	19,867	19,100	10,217	9,951
26	Total	133,392	134,007	136,464	155,806	167,830	176,070	176,703	178,818	179,347	179,752	180,970	180,528	181,274	184,333	185,134	186,659
27																	
28		(8,822)	2,680	2,841	1,108	1,493	851	1,445	1,091	1,849	1,387	1,045	1,694	1,613	1,822	1,485	1,295
29	Figures by category versus figures by coverage are taken from two points in time. Medicaid Care Management is first of the month and the some people drop off during the month and go into Fee-For-Service. FFS is end of the month and builds during the month to include the spend down clients excluded from MCM. The early data points are switched because the MCM data includes retroactive FFS enrollment for those earlier months.																

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R	S
68	Jul-13	153,075	-2.3%	-0.1%	129,255	-0.2%	-0.1%	7,153	-1.0%	1.6%	7,926	-8.8%	-1.0%	7,962	-5.3%	0.1%	115,691	-1.6%	-0.3%
69	Aug-13	153,065	-2.5%	0.0%	129,063	-0.7%	-0.1%	7,284	-2.2%	1.8%	7,922	-9.9%	-0.1%	7,955	-4.1%	-0.1%	115,499	0.5%	-0.2%
70	Sep-13	152,338	-2.4%	-0.5%	128,364	-0.9%	-0.5%	7,145	-1.9%	-1.9%	7,709	-11.0%	-2.7%	7,889	-4.0%	-0.8%	114,725	-2.4%	-0.7%
71	Oct-13	152,132	-3.3%	-0.1%	128,276	-1.6%	-0.1%	7,290	0.0%	2.0%	7,609	-12.6%	-1.3%	7,945	-3.3%	0.7%	114,915	-3.5%	0.2%
72	Nov-13	150,798	-4.1%	-0.9%	127,359	-2.1%	-0.7%	7,264	0.1%	-0.4%	7,449	-13.4%	-2.1%	7,882	-3.7%	-0.8%	113,514	-4.6%	-1.2%
73	Dec-13	150,372	-4.0%	-0.3%	126,905	-2.4%	-0.4%	7,342	1.2%	1.1%	7,334	-13.6%	-1.5%	7,820	-4.2%	-0.8%	112,908	-5.0%	-0.5%
74	Jan-14	154,862	-1.6%	3.0%	132,034	1.4%	4.0%	7,265	1.0%	-1.0%	7,330	-14.4%	-0.1%	7,834	-3.5%	0.2%	113,326	-5.7%	0.4%
75	Feb-14	157,397	2.0%	1.6%	134,728	4.3%	2.0%	7,041	-0.7%	-3.1%	7,353	-13.9%	0.3%	7,803	-3.2%	-0.4%	112,791	-4.1%	-0.5%
76	Mar-14	159,213	3.0%	1.2%	136,815	5.7%	1.5%	7,121	1.0%	1.1%	7,242	-13.6%	-1.5%	7,704	-3.8%	-1.3%	112,511	-4.2%	-0.2%
77	Apr-14	160,682	4.2%	0.9%	138,157	6.8%	1.0%	7,125	n/a	0.1%	7,277	-12.7%	0.5%	7,727	-3.5%	0.3%	112,144	-4.3%	-0.3%
78	May-14	161,647	5.2%	0.6%	138,562	6.9%	0.3%	7,439	5.7%	4.4%	7,119	-12.9%	-2.2%	7,751	-3.1%	0.3%	111,362	-6.7%	-0.7%
79	Jun-14	162,897	6.3%	0.8%	139,105	7.5%	0.4%	7,271	3.3%	-2.3%	7,116	-11.1%	0.0%	7,745	-2.6%	-0.1%	110,590	-4.7%	-0.7%
80	Jul-14	163,903	7.1%	0.6%	139,881	8.2%	0.6%	7,337	2.6%	0.9%	7,085	-10.6%	-0.4%	7,741	-2.8%	-0.1%	109,239	-5.6%	-1.2%
81	Aug-14	171,328	11.9%	4.5%	150,820	16.9%	7.8%	7,094	-2.6%	-3.3%	6,871	-13.3%	-3.0%	7,727	-2.9%	-0.2%	108,767	-5.8%	-0.4%
82	Sep-14	176,192	15.7%	2.8%	156,913	22.2%	4.0%	7,088	-0.8%	-0.1%	6,767	-12.2%	-1.5%	7,679	-2.7%	-0.6%	108,434	-5.5%	-0.3%
83	Oct-14	178,952	17.6%	1.6%	160,334	25.0%	2.2%	7,242	-0.7%	2.2%	6,705	-11.9%	-0.9%	7,657	-3.6%	-0.3%	108,343	-5.7%	-0.1%
84	Nov-14	180,798	19.9%	1.0%	162,848	27.9%	1.6%	7,160	-1.4%	-1.1%	6,705	-10.0%	0.0%	7,607	-3.5%	-0.7%	107,214	-5.5%	-1.0%
85	Dec-14	186,837	24.2%	3.3%	169,294	33.4%	4.0%	7,181	-2.2%	0.3%	6,660	-9.2%	-0.7%	7,532	-3.7%	-1.0%	107,900	-4.4%	0.6%
86	Jan-15	188,750	21.9%	1.0%	171,732	30.1%	1.4%	6,996	-3.7%	-2.6%	6,622	-9.7%	-0.6%	7,530	-3.9%	0.0%	107,934	-4.8%	0.0%
87	Feb-15	192,008	22.0%	1.7%	175,266	30.1%	2.1%	7,026	-0.2%	0.4%	6,547	-11.0%	-1.1%	7,542	-3.3%	0.2%	107,224	-4.9%	-0.7%
88	Mar-15	193,829	21.7%	0.9%	176,933	29.3%	1.0%	7,109	-0.2%	1.2%	6,339	-12.5%	-3.2%	7,538	-2.2%	-0.1%	107,521	-4.4%	0.3%
89	Apr-15	195,333	21.6%	0.8%	178,752	29.4%	1.0%	7,230	1.5%	1.7%	6,366	-12.5%	0.4%	7,596	-1.7%	0.8%	107,283	-4.3%	-0.2%
90	May-15	194,555	20.4%	-0.4%	178,143	28.6%	-0.3%	7,170	-3.6%	-0.8%	6,179	-13.2%	-2.9%	7,561	-2.5%	-0.5%	106,042	-4.8%	-1.2%
91	Jun-15	196,212	20.5%	0.9%	179,910	29.3%	1.0%	7,109	-2.2%	-0.9%	6,138	-13.7%	-0.7%	7,526	-2.8%	-0.5%	105,322	-4.8%	-0.7%
92	Jul-15	197,379	20.4%	0.6%	181,192	29.5%	0.7%	7,045	-4.0%	-0.9%	6,120	-13.6%	-0.3%	7,513	-2.9%	-0.2%	104,705	-4.2%	-0.6%
93	Aug-15	197,305	15.2%	0.0%	181,115	20.1%	0.0%	6,949	-2.0%	-1.4%	5,934	-13.6%	-3.0%	7,438	-3.7%	-1.0%	103,544	-4.8%	-1.1%
94	Sep-15	198,157	12.5%	0.4%	182,017	16.0%	0.5%	7,042	-0.6%	1.3%	5,764	-14.8%	-2.9%	7,343	-4.4%	-1.3%	102,869	-5.1%	-0.7%
95	Oct-15	198,265	10.8%	0.1%	182,225	13.7%	0.1%	7,056	-2.6%	0.2%	5,688	-15.2%	-1.3%	7,307	-4.6%	-0.5%	101,917	-5.9%	-0.9%
96	Nov-15	198,716	9.9%	0.2%	182,889	12.3%	0.4%	7,047	-1.6%	-0.1%	5,583	-16.7%	-1.8%	7,227	-5.0%	-1.1%	100,525	-6.2%	-1.4%
97	Dec-15	201,743	8.0%	1.5%	185,957	9.8%	1.7%	7,191	0.1%	2.0%	5,425	-18.5%	-2.8%	7,116	-5.5%	-1.5%	100,495	-6.9%	0.0%
98	Jan-16	202,248	7.2%	0.3%	186,599	8.7%	0.3%	7,114	1.7%	-1.1%	5,435	-17.9%	0.2%	7,081	-6.0%	-0.5%	99,978	-7.4%	-0.5%
99	Feb-16	203,485	6.0%	0.6%	187,954	7.2%	0.7%	7,206	2.6%	1.3%	5,307	-18.9%	-2.4%	7,117	-5.6%	0.5%	99,486	-7.2%	-0.5%
100	Mar-16																		
101	Apr-16																		
102	May-16																		
103	Jun-16																		
104	ANNUAL YEAR-TO-DATE AVERAGES																		
105	SFY10	143,977			115,947			7,298			13,945			8,150			95,300		
106	SFY11	154,215	7.1%		119,527	3.1%		7,154	-2.0%		11,833	-15.2%		8,885	9.0%		115,213	20.9%	
107	SFY12	152,465	-1.1%		119,344	-0.2%		7,197	0.6%		13,779	16.5%		8,725	-1.8%		111,869	-2.9%	
108	SFY13	156,560	2.7%		129,868	n/a		7,255	0.8%		8,629	-37.4%		8,207	-5.9%		118,103	5.6%	
109	SFY14	153,005	-2.3%		129,498	-0.3%		7,223	-0.4%		7,579	-12.2%		7,886	-3.9%		114,171	-3.3%	
110	SFY15	179,846	17.5%		160,886	24.2%		7,141	-1.1%		6,745	-11.0%		7,627	-3.3%		108,132	-5.3%	
111	SFY16	199,662	11.0%		183,744	14.2%		7,081	-0.8%		5,657	-16.1%		7,268	-4.7%		101,690	-6.0%	
112																			

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COMMUNITY MENTAL HEALTH AGREEMENT

DESCRIPTION	PURPOSE	
For adults with Severe Mental Illness (SMI), establish and enhance community-based programs, including: mobile crisis services; supported employment; Assertive Community Treatment (ACT); supported housing; peer and family support; transition planning; and quality assurance of programs.	To meet the terms of the Community Mental Health Agreement (CMHA) to provide immediate and long-term support to individuals with SMI to reduce the institutionalization and risk of institutionalization of adults with SMI.	
STATUS		
<ul style="list-style-type: none"> Continued progress made toward objectives of the CMHA including: (a) Operationalizing the DHHS Behavioral Health Central Team to facilitate transitions from NH Hospital and the Glencliff Home to community-based settings; (b) Implementation of the NH Hospital policy for referrals to ACT for conditional discharges, (c) Working with stakeholders on the draft rule for the Bridge Subsidy Housing Program; and (d) Improving standard data measures and reporting processes. Request for Proposals issued for Mobile Crisis Team and Crisis Apartments for Greater Manchester area. Work on Quality Service Review (QSR) process continues, in coordination with Expert Reviewer and Plaintiffs. Working to establish a clear linkage between the performance expectations, standards and results expressed in the CMHA and the qualitative and quantitative information to be collected through the DHHS QI/QSR process. Two-day on site sessions scheduled for March 7th and March 8th as part of the provision of QSR Technical Assistance. Continued work with the Community Mental Health Centers and other community partners to improve data reporting Continued to work with the CMHCs and other community partners to address milestones that are not yet met, including (a) Supported employment penetration rate of individuals with SMI; (b) Capacity of ACT teams, and (c) Transitions of individuals from Glencliff Home. 		
TOP ISSUES (I) & RISKS (R)	RECENT & UPCOMING MILESTONES	DATE
1 (I) Redoubling efforts in areas of concerns outlined in the Expert Reviewer's January 2016 Report	ACT Teams w/ capacity to serve 1300 individuals by 6/30/15	TBD
	Transition 4 individuals from Glencliff by 6/30/15	TBD
	Achieve 16.1% SMI penetration rate of SMI eligible by 6/30/15	TBD
2 (R) Capability of the Community Mental Health Centers (CMHCs) to meet ACT/SE requirements	ACT Teams w/ capacity to serve 1500 individuals	6/30/16
	Achieve 18.1% Supported Employment penetration rate of SMI eligible	6/30/16
	Mobile Crisis capacity in Manchester area	6/30/16
3 Identify methods to provide blended funding to support transitions	Add mobile crisis capacity in Nashua	6/30/16
	Create an additional 110 supported housing units to a total of 450	6/30/16

1115 TRANSFORMATION WAIVER

DESCRIPTION	PURPOSE	
<p>The 1115 Transformation Waiver for Medicare and Medicaid Services will provide access to new federal funding to help transform its behavioral health delivery system to</p> <ol style="list-style-type: none"> 1. deliver integrated physical and behavioral health care that better addresses the full range of individuals' needs 2. expand capacity to address emerging and ongoing behavioral health needs in an appropriate setting 3. reduce gaps in care during transitions across care settings by improving coordination across providers and linking patients with community supports. 	<p>Under the waiver, New Hampshire will receive up to \$30 million in federal funding each of four years to create a transformation fund which will make performance-based incentive payments to new regional networks of health care and community service providers (Integrated Delivery Networks) who propose specific projects that will strengthen the capacity of the state's behavioral health system, integrate mental health and substance use disorder care with primary care, and lower the long-term growth in health care costs for the state. By providing funding to support delivery system transformation—rather than to cover the costs of specific services rendered by providers—the waiver will encourage and enable health care providers and community partners within a region to form relationships focused on transforming care.</p>	
STATUS		
<ul style="list-style-type: none"> • CMS approved the waiver application January 2016. Federal funding is valued at \$150 million over a four year period • The State procured the services of Manatt, Inc and began work January 2016 • Eight stakeholder information sessions throughout the state are being confirmed and will begin March 4 in Concord. • Integrated Delivery Network Design criteria has been drafted and will be published 3/31/16 • Funding and Project Protocols being finalized for submission to CMS this week • IDN application and project and metric specifications guide is currently being drafted • Website for DSRIP • CMS monthly monitoring calls begin 2/25/16 		
TOP ISSUES (I) & RISKS (R)	RECENT & UPCOMING MILESTONES	DATE
1 (R) Procuring for independent assessor won't get RFP out in time to get I.A. on board by 5/31 to score IDN Apps by 6/31 and develop project plan applications by 8/1	<i>CMS Approves 1115 Transformation Waiver</i>	1/5/16
	<i>Draft Funding Mechanics & Project Menu Submitted to CMS</i>	3/1/16
	9 Stakeholder Information Sessions Completed	3/18/16
	Draft IDN Application and Project and Metric Spec Guide posted for public comment	3/22/16
	Non-binding letters of intent due from IDN leads	4/5/16
	State posts final IDN application	4/30/16
	2 (R) Procuring for IT lead and Workforce lead won't happen in time to advise IDNS in the fall	IDNs Submit Applications to state
State Announces IDN award		7/1/16
IDNs Submit Project Plans to State		9/1/16
State Distributes Project Plan Awards		11/1/16

MEDICAID CARE MANAGEMENT STEP 2

DESCRIPTION	PURPOSE	
<p>A phased approach to transition additional populations and services into care management:</p> <ol style="list-style-type: none"> 1. Populations that can no longer opt out [Mandatory] 2. Choices for Independence waiver services 3. Nursing Facilities and DCYF Medicaid services 4. Waiver services for development disabilities, acquired brain disorder and in-home supports 	<p>To achieve Medicaid health care reform that is whole person-centered with the goal to improve beneficiary health, reimburse providers based upon outcomes, support continuity of care, ensure access to primary care and prevention, promote shared decision making, improve budget predictability, comply with federal and state law, and realize savings</p>	
STATUS		
<ul style="list-style-type: none"> • Medical services coverage for the newly mandatory population began on schedule 2/1/16, increasing the total covered population to 136, 854 (74,214 Wellsense and 62,640 NH Healthy Families) • Enrollment period yielded a successful 66% self-selection rate from clients prior to the 1/5/16 auto-assignment of the remaining newly mandatory population • High touch process in place and working to help ensure continuity of care for those members with complex needs. • Guardianship / Authorized Representative protocols strengthened to reduce risk of uninvited contact • Rapid Response team meeting regularly to quantify, prioritize and resolve any/all issues. No major issues to report. 		
TOP ISSUES (I) & RISKS (R)	RECENT & UPCOMING MILESTONES	DATE
<p>3 (R) High Touch transition process required for clients with complex needs. Special care needed to ensure "warm hand-off" and sensitivity to individual history and needs.</p>	<i>Enrollment Begins</i>	<i>10/30/15</i>
	<i>Auto-Assignment Begins</i>	<i>01/04/16</i>
	<i>Go No-Go Decision for Feb 1 Coverage</i>	<i>01/04/16</i>
	<i>Rapid Response Team & Process in Place</i>	<i>01/22/16</i>
<p>4 (R) Close monitoring of prior authorization response will be required as services begin February 1.</p>	<i>Medical Services Coverage Begins</i>	<i>02/01/16</i>
	<i>Services for CFI, Nursing Facilities, DCYF Begin</i>	<i>09/01/16</i>

SUBSTANCE USE DISORDER (SUD) BENEFIT FOR STANDARD MEDICAID

DESCRIPTION	PURPOSE																		
<p>HB2 Chapter 276:231 requires the commissioner of the department of health and human services to submit a state plan amendment (SPA) to the Centers of Medicare and Medicaid (CMS) to provide substance use disorder services to Title XIX and Title XXI beneficiaries. The commissioner shall design the benefit consistent with Substance Abuse and Mental Health Service Administration (SAMHSA) treatment guidelines. The commissioner shall also determine the process and timeline for implementing services and, if necessary, phase in the benefit.</p>	<p>To implement the already defined SUD Benefit array offered to the NH Health Protection Program population to the Standard Medicaid population. The benefits include a continuum of SUD services to meet the range of needs from misuse, addiction and withdrawal.</p>																		
STATUS																			
<ul style="list-style-type: none"> Project Charter signed by State Medicaid Director Katie Dunn Policy decisions made to include same benefit as NHHPP, same rates as NHHPP and implementation of the entire benefit on 7/1/16. Fiscal Impact Statement drafted and in review Administrative Rules in development MMIS systems requirements in development Communications regarding important information and policy decisions sent to MCOs on 2/11/16 Stakeholder meeting scheduled for 3/3/16 																			
TOP ISSUES (I) & RISKS (R)	RECENT & UPCOMING MILESTONES																		
<p>1 (I) Limited SUD provider Network</p> <p>2 (R) As a result of a limited provider network, recipients may not be able to access services in a timely manner.</p>	<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr style="background-color: #cccccc;"> <th style="width: 80%;">MILESTONE</th> <th style="width: 20%;">DATE</th> </tr> </thead> <tbody> <tr> <td>Kick off meeting</td> <td style="text-align: right;">11/16/15</td> </tr> <tr> <td>Systems changes identified</td> <td style="text-align: right;">1/19/16</td> </tr> <tr> <td>Policy Decisions Communicated to MCOs</td> <td style="text-align: right;">2/11/16</td> </tr> <tr> <td>SUD Rules approved by JLCAR</td> <td style="text-align: right;">5/20/16</td> </tr> <tr> <td>Stakeholder Engagement Completed</td> <td style="text-align: right;">3/3/16</td> </tr> <tr> <td>State Plan Approved by CMS</td> <td style="text-align: right;">9/15/15</td> </tr> <tr> <td>MCO Contract Approved by G&C</td> <td style="text-align: right;">6/15/16</td> </tr> <tr> <td>SUD Benefits Available to Expanded Population</td> <td style="text-align: right;">7/1/16</td> </tr> </tbody> </table>	MILESTONE	DATE	Kick off meeting	11/16/15	Systems changes identified	1/19/16	Policy Decisions Communicated to MCOs	2/11/16	SUD Rules approved by JLCAR	5/20/16	Stakeholder Engagement Completed	3/3/16	State Plan Approved by CMS	9/15/15	MCO Contract Approved by G&C	6/15/16	SUD Benefits Available to Expanded Population	7/1/16
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SUD Benefits Available to Expanded Population	7/1/16																		

THERAPEUTIC CANNABIS

DESCRIPTION	PURPOSE	
The Department is responsible for the administration of the New Hampshire Therapeutic Cannabis Program (Program) by designing and implementing a comprehensive process for the distribution of therapeutic cannabis in the State of New Hampshire, pursuant to RSA 126-X.	The Department's goal is the implementation and continued operation of a self-sustaining (budget neutral) Program that safely and efficiently provides therapeutic cannabis to qualified individuals and their caregivers. Success will be measured by: Program efficiency and security, Program accessibility; and Program financial sustainability	
STATUS		
<p>After a comprehensive and detailed review of applications in response to the RFA released 12/19/14, the Department selected three qualifying entities to begin the post-selection registration: Prime Alternative Treatment Centers of NH, Inc, Temescal Wellness, Inc, and Sanctuary ATC.</p> <p>On 11/25/15 the Department issued the first qualifying patient registry identification card. As of 2/26/16, 422 applications for registration cards were received for qualifying patients and 24 for caregivers. The Department has issued 197 qualifying patient cards and 12 designated caregiver cards.</p> <p>On 10/23/15 changes to the ATC rules became effective allowing the Department to grant conditional registration certificates to cultivation centers in order to allow ATCs to begin growing therapeutic cannabis. On 01/08/16, Sanctuary ATC was granted the first conditional registration certificate to operate its cultivation center. On 01/22/16, Temescal Wellness, Inc., was granted a conditional registration certificate to operate its cultivation center. The third ATC, Prime Alternative Treatment Centers will not be ready for inspection until sometime in March or April.</p>		
TOP ISSUES (I) & RISKS (R)	RECENT & UPCOMING MILESTONES	DATE
1 (R) Until ATC dispensaries are operational, qualifying patients have no legal access to therapeutic cannabis in NH	<i>RFA for ATCs Issued</i>	<i>10/20/14</i>
	<i>Registry Rules Adopted</i>	<i>11/30/14</i>
	<i>ATCs Selected</i>	<i>01/23/15</i>
2 (R) Litigation regarding ATC selection could delay implementation	<i>Inspection Program Established</i>	<i>04/10/15</i>
	<i>Begin Inspection of ATC Cultivation Sites</i>	<i>09/27/15</i>
3 (I) Level of effort and expertise required to administer and oversee this new, fee-funded program will continue to be a significant challenge for the Department	<i>Issuance of Registry ID Cards Begins</i>	<i>11/15/15</i>
	<i>1st ATC Conditionally Certified to Cultivate</i>	<i>01/08/16</i>
	<i>2nd ATC Conditionally Certified to Cultivate</i>	<i>01/22/16</i>
	<i>All ATCs certified and operational to dispense cannabis</i>	<i>05/31/16</i>

**FOOD PROTECTION
RESPONSE TO 2015 LEGISLATIVE BUDGET ASSISTANT PERFORMANCE AUDIT**

DESCRIPTION	PURPOSE
The Division of Public Health Services (DPHS) Food Protection Section (FPS) underwent a performance audit by the NH Legislative Budget Assistant (LBA) over a 6 month period. Approximately 150 recommendations within 29 Observation categories were provided. The full report is available on the LBA website: http://www.gencourt.state.nh.us/LBA/AuditReports/	The purpose of the audit was to determine how efficient and effective the Food Protection Section was in preventing foodborne illness during State fiscal years 2013 and 2014.

STATUS
<ul style="list-style-type: none"> • A detailed tracking document created to log completion of steps and tasks that address audit findings • DHHS Oversight subcommittee has had 5 meetings as of 2/26/16 to review current RSAs that are unclear, not aligned with DPHS rule/practice or not able to be implemented due to lack of program staff reductions and lack of capacity. RSAs related to audit Observations 2 & 8 Scope of Practice and 23, 19 & 9 Fees and subprogram costs, 18 & 20 Fines and Sanctions have been reviewed with committee. Action items for RSA changes have been identified. 3 sessions scheduled for March. • Report will be done (draft by mid-April). with list of recommended changes from Oversight subcommittee to support filing of legislation in the SFY 17 session, followed by changes to rule and program practice. • A second area of focus is on internal management practices which are being addressed either immediately or on a planned sequence track as time allows. Approximately 10 recommendations (not RSA related) have been implemented. Detailed quarterly progress report was provided to Fiscal Committee January meeting and to HHS Oversight Committee in February meeting. • The third major component of response to address the audit recommendations for practice improvement involves use of a more integrated data system that can track selected metrics for oversight and quality improvement. The FPS is completing the configuration of the new system working with a contracted vendor and expects to begin using this system to track and manage metrics in mid-2016. • Oversight committee recognizes FPS capacity issues. May recommend adding inspectors in report. Asking FPS to explore coordination with 3rd party inspection service. (Check with Maine.) • Committee will recommend more food inspection training from FPS of DHHS Facilities Licensing and DES – will collaborate with MHD to develop. Goal for strategic plan.

TOP ISSUES (I) & RISKS (R)	RECENT & UPCOMING MILESTONES	DATE
1 (I) Realistic alignment of law with FPS/state capacity	<i>Completed five meetings with HHS Oversight subcommittee, with substantial consensus on RSA revisions.</i>	<i>On-going</i>
2 (I) Awaiting approval from finance office to activate vacant position. Critical to added oversight/mgt		
3 (R) Could end with more work but not more capacity.	<i>Reviewed and added/clarified action items to Oversight Committee meeting notes.</i>	<i>2/5/16</i>
4 (I) Setting program performance metrics		
5 (R) New IT system delays	<i>Contract with CHI for strategic planning Negotiated</i>	<i>Feb 2016</i>
6 (I) Risk-based inspection matrix developed (R) only 1/3 of FDA recommended frequency due to staffing	<i>internal planning meeting held. Contract G&C approval in early March?</i>	
7 (R) Oversight over self-inspecting towns	<i>Food Protection System Implementation (revised)</i>	<i>8/30/16</i>
8 (I) Re-activate vacant position to improve capacity for inspections and oversight.		
9 (I) SOP manual		



State of New Hampshire
 DEPARTMENT OF ADMINISTRATIVE SERVICES
 OFFICE OF THE COMMISSIONER
 25 Capitol Street – Room 120
 Concord, New Hampshire 03301

FIS 16 059

VICKI V. QUIRAM
 Commissioner
 (603)-271-3201

JOSEPH B. BOUCHARD
 Assistant Commissioner
 (603)-271-3204

March 9, 2016

The Honorable Neal M. Kurk, Chairman
 Fiscal Committee of the General Court
 State House
 Concord, New Hampshire 03301

Dear Representative Kurk:

INFORMATIONAL ITEM

In accordance with Chapter 319:32, Laws of 2003, State Employee Health Insurance; Administrative Services Reporting, I respectfully submit this report regarding the State's self-funded Health Benefits Plan (HBP).

A. Program Activity:

Cash Basis: The beginning Cumulative Cash Fund Balance as of July 1, 2015 was \$51.2m. To this balance subtract \$10.8m, which represents Revenue less Expenditures from July 1, 2015 through February 29, 2016. The Ending Cumulative Cash Fund Balance at February 29, 2016 is \$40.4m.

	FY 2016 (000's)
Cumulative Cash Fund Balance (July 1, 2015).....	<u>\$ 51,240</u>
Plus: Program Revenue Collected.....	<u>\$160,467</u>
Less: Total Expenditures	<u>\$171,315</u>
Revenue less Expenditures (July 1 – February 29, 2016).....	<u>\$(10,848)</u>
Cumulative Cash Fund Balance (February 29, 2016).....	<u>\$40,392</u>

Source: NH FIRST

Accrual Basis: The above amounts are cash basis only and do not take into consideration IBNR, statutory reserve, accounts payable or receivables. To arrive at a true fund balance as of February 29, 2016, we must start with the Cumulative Cash Fund Balance as of that date and add outstanding receivables earned and realized or realizable and payables incurred as of February 29, 2016. Then we must subtract the IBNR (Incurred but not Reported) reserve and the statutory reserve.

FY2016		
Line Item	Description	Total (000's)
1	Cumulative Cash Fund Balance (February 29, 2016)	\$ 40,392
2	Add: Program Revenue Earned (estimate as of February 29, 2016)	\$ 15,396
3	Less: Program Expenses Incurred (estimate as of February 29, 2016)	(\$ 7,628)
4	Subtotal: Cumulative Accrual Fund Balance (February 29, 2016)	\$ 48,160
5	Less: IBNR	(\$ 14,990)
6	Less: Statutory Reserve*	(\$ 17,066)
	*(Actives & Retiree Plans = 5% of FY16 Projected Expenses, Troopers Plan = 100% of FY16 Projected Expenses)	
8	Cumulative Accrual Fund Balance: Net of IBNR and Reserves (February 29, 2016)	\$ 16.104

As indicated above, the HBP's cumulative accrual fund balance, net of IBNR and statutory reserves, as of February 29, 2016 is \$16.1 million and encompasses surplus for actives and retirees.

It is important to note that working rates are set on a calendar year basis based on an average rate for the midpoint of the year. Accordingly, working rates are expected to generate a surplus at the beginning of the calendar year that may be spent down in the last six months of the year.

B. Retiree Health Budget Update:

As DAS has discussed with the Fiscal Committee at several of the last meetings, running a health benefit plan is complicated and unpredictable from month to month. Every month, the health benefit plan brings in revenue and every month it must pay the claims expenses based on the health services and prescription drugs required by our plan members. From month to month, the plan fund balance goes up and down depending on claims experience, the number of enrolled members, and estimated revenues, including federal subsidies and rebates. The ideal position for the health benefit plan is to run just enough of a surplus to cover expenses should they rise above an average amount in a given month. It is not possible to run a health benefit plan without a surplus unless we are able to access the reserve on a month to month basis, which is not the intent of the reserve.

The Department of Administrative Services (DAS) recently updated its budget estimates for FY 16/17 biennium and as of 2/29/16 DAS estimates that it will be able to meet the expenses of the retiree health benefit plan within the funds appropriated by the legislature and employing the retiree health benefit program surplus. This positive change in position increases the amount of the retiree health surplus from \$4.4 million as of 12/31/15 to \$5.5 million as of 2/29/16 and is attributable to updated estimates by the health benefit plan's Pharmacy Benefit Manager, Express Scripts, for prescription drug rebates and Employer Group Waiver Program (EGWP) program subsidies due to the state. (DAS moved the Over 65 Medicare eligible retirees to the EGWP program effective 1/1/15 in order to maximize federal revenue to the plan.)

Express Scripts recently provided the State with an updated estimate for the Calendar Year (CY) 2015 prescription drug rebates earned and due to the state that increased Retiree rebate receivables for CY 2015 by \$400,000. These rebates are driven by the prescriptions filled by plan members and they are due to the State in May 2016. In addition to the higher rebates, Express Scripts also updated its estimates for EGWP federal subsidies earned and due to the State for CY 2015 by a total of \$788,700. \$400,000 of this total is attributable to an increase in estimated payments due to the State under the Coverage Gap Discount Program that provides quarterly

payments equal to 50% of the cost of brand drugs paid for by the State for its EGWP members who are in the coverage gap, otherwise known as the "donut hole". In addition, Express Scripts provided an estimate of \$388,700 for the Low-Income Cost Share Subsidy which is paid on behalf of qualified low-income EGWP members; this estimate was delayed because the Centers for Medicare and Medicaid Services (CMS) conducts an annual reconciliation of low-income EGWP members after the end of a calendar year. For example, CMS performs the reconciliation for plan year 2015 in 2016; CMS will pay this estimated Low-Income Cost Share Subsidy to Express Scripts in December 2016 and Express Scripts will pay the subsidy to the State in January 2017.

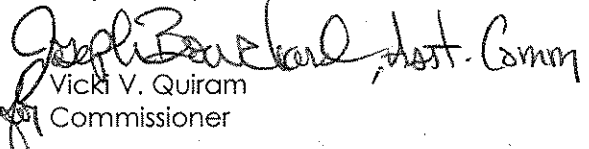
C. Retiree Health Benefits Update: 2016 Legislation:

As mentioned in the last bimonthly update, there are five bills this session that address retiree health: HB 1591, HB 1592, SB 388, SB 495 and SB 414. The House Finance Committee recently voted HB 1591 ought to pass. HB 1591 contains provisions to continue the percentage of premium contribution for people becoming Medicare Part A and B eligible after 7/1/16 and through 12/31/16; it also contains provisions to give the DAS Commissioner the authority to request a premium contribution amount for both Under 65, non-Medicare eligible retirees and Over 65, Medicare eligible retirees that would require the approval of the Fiscal Committee. The House Finance Committee also recently voted HB 1592 inexpedient to legislate. Both HB 1591 and 1592 will now go before the full House of Representatives for vote.

The Senate recently passed SB 388 that provides for a public hearing before the Fiscal Committee of any proposed changes to the retiree health benefit plan. The Senate Finance Committee held a hearing on SB 495 and this bill remains in committee. There has been no action on SB 414.

I am available to address any questions you may have.

Respectfully Submitted,


Vicki V. Quiram
Commissioner

Attachment: Appendix A: Health and Dental Employee and Retiree Benefit Fund Detail

Appendix A: Health and Dental Employee and Retiree Benefit Fund Detail

	A	B	C	D	E	F	G	H	I	J	O										
1	PLAN		FY 2016																		
2	Actives	Fund Balance	Jul-15	Aug-15	Sep-15	Oct-15	Nov-15	Dec-15	Jan-16	Feb-16	ALL FUNDS (FYTD)										
3	Revenue																				
4	Total Revenue	\$	10,855,064	\$	13,390,410	\$	13,574,963	\$	20,233,268	\$	13,459,257	\$	13,578,996	\$	13,514,292	\$	13,546,482	\$	112,152,731		
5	Expenditures																				
6	Total Expenditures	\$	17,540,840	\$	12,485,160	\$	11,302,519	\$	15,488,227	\$	14,408,479	\$	13,622,014	\$	15,588,899	\$	14,165,557	\$	114,601,697		
7	Net Plan Activity	\$	(6,685,777)	\$	905,250	\$	2,272,443	\$	4,745,041	\$	(949,223)	\$	(43,018)	\$	(2,074,607)	\$	(619,075)	\$	(2,448,966)		
8	Cumulative Plan Activity	\$	29,780,537	\$	23,094,760	\$	24,000,010	\$	26,272,454	\$	31,017,495	\$	30,068,272	\$	30,025,254	\$	27,950,646	\$	27,331,571	\$	27,331,571
9	Troopers																				
10	Revenue																				
11	Total Revenue	\$	251,747	\$	273,679	\$	274,480	\$	406,974	\$	273,964	\$	272,793	\$	265,839	\$	272,442	\$	2,291,916		
12	Expenditures																				
13	Total Expenditures	\$	318,157	\$	219,268	\$	273,515	\$	215,038	\$	231,018	\$	291,856	\$	253,857	\$	321,639	\$	2,124,348		
14	Net Plan Activity	\$	(66,410)	\$	54,411	\$	965	\$	191,936	\$	42,946	\$	(19,063)	\$	11,982	\$	(49,197)	\$	167,569		
15	Cumulative Plan Activity	\$	4,097,210	\$	4,030,800	\$	4,085,210	\$	4,086,175	\$	4,278,111	\$	4,321,057	\$	4,301,994	\$	4,313,976	\$	4,264,779	\$	4,264,779
16	Retiree - U65																				
17	Revenue																				
18	Total Revenue	\$	2,188,157	\$	2,781,872	\$	2,748,985	\$	2,750,080	\$	20,558	\$	5,502,076	\$	18,756	\$	5,465,813	\$	21,476,296		
19	Expenditures																				
20	Total Expenditures	\$	3,364,974	\$	2,491,801	\$	2,476,523	\$	3,167,095	\$	2,812,120	\$	3,068,822	\$	3,463,355	\$	2,744,481	\$	23,589,170		
21	Net Plan Activity	\$	(1,176,818)	\$	290,070	\$	272,463	\$	(417,015)	\$	(2,791,562)	\$	2,433,254	\$	(3,444,598)	\$	2,721,332	\$	(2,112,875)		
22	Cumulative Plan Activity	\$	14,858,564	\$	13,681,746	\$	13,971,817	\$	14,244,280	\$	13,827,264	\$	11,035,702	\$	13,468,956	\$	10,024,357	\$	12,745,689	\$	12,745,689
23	Retiree - O65																				
24	Revenue																				
25	Total Revenue		(117,745)		3,125,886		3,364,798		3,195,278		302,710		6,849,564		262,854		7,562,700		24,546,045		
26	Expenditures																				
27	Total Expenditures		4,404,185		3,628,320		2,688,694		4,217,136		5,170,118		3,366,594		4,056,906		3,467,534		30,999,487		
28	Net Plan Activity		(4,521,930)		(502,434)		676,105		(1,021,858)		(4,867,408)		3,482,970		(3,794,052)		4,095,166		(6,453,441)		
29	Cumulative Plan Activity		2,503,216		(2,018,714)		(2,521,148)		(1,845,043)		(2,866,901)		(7,734,309)		(4,251,339)		(8,045,391)		(3,950,225)		(3,950,225)
30	Total Retirees	\$	17,361,780	\$	11,663,032	\$	11,450,669	\$	12,399,236	\$	10,960,363	\$	3,301,393	\$	9,217,617	\$	1,978,966	\$	8,795,464	\$	8,795,464
31	Plan Summary Information:																				
32	Total Program Revenue																				
33	001 State Agency Revenue		16,695,431		16,783,848		16,969,938		23,336,744		12,725,589		21,224,028		12,725,887		21,373,785		141,835,249.89		
34	005 Cobra/NHRS Subsidy		1,421,985		1,419,909		1,440,824		1,415,150		16,898		2,879,130		1,523		3,015,287		11,610,705.92		
35	006 Rx Rebate		(5,948,418)		255,032		415,738		217,846		219,420		953,628		182,798		1,314,846		(2,389,110.26)		
36	007 Interest Earned																				
37	008 Employee Contributions		831,772		825,563		823,095		1,236,023		821,343		820,684		825,802		824,314		7,008,595.23		
38	009 Non-GHRS		176,451		287,495		313,631		379,837		173,239		325,960		264,454		319,205		2,240,271.86		
39	Performance Guarantees/Recov										100,000				61,276				161,276.36		

Appendix A: Health and Dental Employee and Retiree Benefit Fund Detail

	A	B	C	D	E	F	G	H	I	J	O
1	PLAN		FY 2016								
2	Actives	Fund Balance	Jul-15	Aug-15	Sep-15	Oct-15	Nov-15	Dec-15	Jan-16	Feb-16	ALL FUNDS (FYTD)
48	Total Combined Revenue		13,177,222	19,571,846	19,963,226	26,585,600	14,056,489	26,203,429	14,061,742	26,847,436	\$ 160,466,989.00
49											
50	Total Program Expenditures										
51	HB Employee Salary Costs		-	-	-	188,777	-	-	220,557	-	\$ 409,334
52	HB Employee Benefit Costs										
53	Medical Claims		19,223,759	12,309,375	12,395,631	16,310,898	12,979,868	12,758,755	16,195,439	13,937,266	\$ 116,110,991
54	Medical Administration		580,788	638,060	624,344	560,689	599,798	616,733	609,263	663,842	\$ 4,893,516
55	Exercise Incentive									288,222	\$ 288,222
56	Consulting		73,547	10,147	69,282	-	131,975	-	27,550	-	\$ 312,501
57	Pharmacy Claims		5,458,246	5,543,311	3,439,375	5,725,639	8,555,865	5,656,310	5,881,061	5,558,756	\$ 45,818,562
58	Pharmacy Administration		89,590	96,352	80,595	80,897	87,593	80,796	80,852	80,211	\$ 676,887
59	HRA Claims		124,539	160,060	109,681	117,444	251,882	197,810	221,526	153,671	\$ 1,336,613
60	HRA Administration		11,198	10,622	10,925	10,394	10,513	10,713	10,854	5,688	\$ 80,907
61	Other Expenses		66,491	709	8,573	(2,963)	211	11,372	3,012	10,251	\$ 97,655
62	ACA Taxes and Fees							1,016,797			\$ 1,016,797
63	Vaccination Assessment Fees			1,282	2,844	1,236	4,031		1,282	1,303	\$ 11,978
64	Contracts for Program Svcs			54,632							\$ 54,632
65	Total Combined Expenses		25,628,157	18,769,917	16,741,250	23,087,496	22,621,735	20,349,286	23,363,017	20,699,211	\$ 171,314,702
66											
67	Net Plan Fund Activity		(12,450,935)	801,929	3,221,976	3,498,104	(8,565,247)	5,854,143	(9,301,276)	6,148,225	(10,847,713)
68											
69	Cumulative Cash Fund Balance	51,239,527	38,788,592	39,590,521	42,812,497	46,310,601	37,745,354	43,599,497	34,298,221	40,446,446	40,391,814
70											
71											\$ 15,396,274
72											\$ (7,628,019)
73											48,160,070
74											
75											
76											
77											Less:
78											IBNR
79											Statutory Reserve (25%)
80											Actives
81											Troopers
82											Retirees
83											Total Statutory Reserve
84											(14,990,000)
85											(9,159,000)
86											(3,844,000)
87											(4,063,000)
88											(17,066,000)
89											Cumulative Accrual Fund Balance: Net of IBNR & Stat Reserve
90											16,104,070
91											
92											
93											
94											
95											
96											
97											
98											
99	DENTAL										
100	Revenue										

